IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

Lecture notes of G. Q. Maguire Jr.

http://web.it.kth.se/~maguire/

KTH Information and Communication Technology

For use in conjunction with the text: Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

3. GSM, GPRS, SMS, International Roaming, OAM.... 151

4. Number portability, VoIP, Prepaid, Location Based Services

242

5. WAP, Heterogeneous PCS, 3G..................................... 286

8. Bluetooth: Piconets, Scatternets..................... 401

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

1. Introduction

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For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

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Welcome to the course!

The course should be fun.

We will dig deeper into Personal Communication Systems - with a focus on their **architectures**, but we will also examine some of the *protocols* which are used.

Information about the course is available from the course web page:

`http://www.it.kth.se/courses/IK2555/`

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Welcome to the course!
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Introduction 2 of 110
Mobile and Wireless Network Architectures

Staff Associated with the Course

Instructor (Kursansvarig)

prof. Gerald Q. Maguire Jr. <maguire at kth.se>

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Staff Associated with the Course

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Introduction 3 of 110

Mobile and Wireless Network Architectures

Learning Outcomes

Following this course a student should be able to:

- Understand the architecture of existing mobile and wireless networks at a sufficient level to recognize the common features of such networks in **any** mobile or wireless network.

- Based upon recognition of common features, the student should be able to compare and contrast one network architecture with another.

- Describe differences between different types of mobility (such as user mobile, terminal mobility, session mobility) and understand how each type of mobility can be supported.

- Understand the core network protocols and applications in third generation mobile networks.

- Read the current literature at the level of conference papers in this area.

  - While you may not be able to understand all of the papers in journals, magazines, and conferences in this area - you **should** be able to read 90% or more of them and have good comprehension. In this area it is especially important that develop a habit of reading the journals, trade papers, etc. *In addition, you should also be aware of standardization activities, new products/services, and public policy in the area.*

- Demonstrate knowledge of this area both orally and in writing.

  - By *writing* a paper suitable for submission to conferences and journals in the area.

This course should prepare you for starting an exjobb in this area (for undergraduate students) or beginning a thesis or dissertation (for graduate students).

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Learning Outcomes
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Introduction 4 of 110
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Prerequisites

- Internetwork (IK1550) **or**
- Equivalent knowledge in Computer Communications (in this case you need permission of the instructor)

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Prerequisites

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Introduction 5 of 110

Mobile and Wireless Network Architectures

Contents

The focus of the course is on personal communication systems and their network architecture. This spans the range from piconets to space probes, but the emphasis will be primarily focus on the range from LEO satellites down to personal area networks.

The course consists of 10 hours of lectures and a project of ~50 hours effort.

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Contents
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Introduction 6 of 110
Mobile and Wireless Network Architectures

Topics

- Personal Communication Systems (PCS): handoff, mobility, paging
- Network Signaling
- CDPD
- GSM, GPRS, SMS, International Roaming, Operation/Administration/Maintenance
- Number portability, VoIP, Prepaid
- WAP
- Heterogeneous PCS
- Wireless Local Loop (WLL), Enterprise Networks
- Personal Area Networks (PANs), such as Bluetooth and Ultrawideband (UWB)
- Wireless Local Area Networks (WLANs)
- Broadband Wireless Access (BWA)
- Sensor Networks

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Topics
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Introduction 7 of 110
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Examination requirements

- Written and Oral project reports

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Examination requirements
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Introduction 8 of 110
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Grades: A..F (ECTS grades)

- To get an "A" you need to write an outstanding or excellent paper and give an outstanding or excellent oral presentation. (Note that at least one of these needs to be excellent.)

- To get a "B" you need to write a very good paper, i.e., it should be either a very good review or present a new idea; and you have to give a very good oral presentation.

- To get a "C" you need to write a paper which shows that you understand the basic ideas underlying mobile and wireless networks and that you understand one (or more) particular aspects at the level of an average masters student. In addition, you must be able to present the results of your paper in a clear, concise, and professional manner - and answer questions (as would be expected at a typical international conference in this area.)

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Grades: A..F (ECTS grades)
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Introduction 9 of 110
Mobile and Wireless Network Architectures

- To get a "D" you need to demonstrate that you understand the basic ideas underlying mobile and wireless networks, however, your depth of knowledge is shallow and you are unable to orally answer indepth questions on the topic of your paper.

- If your paper has some errors (including incomplete references) or you are unable to answer any indepth questions following your oral presentation the grade will be an "E".

- If your paper has serious errors or you are unable to answer basic questions following your oral presentation the grade will be an "F".

- If your paper or oral presentation are close to passing, but not at the passing level, then you will be offered the opportunity for "komplettering", i.e., students whose written paper does not pass can submit a revised version of their paper (or a completely new paper) - which will be evaluated; similarly students whose oral presentation is unacceptable may be offered a second opportunity to give their oral presentation. If a student fails the second oral presentation, they must submit a new paper on a new topic in order to give an oral presentation on this new topic.

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Grades: A..F (ECTS grades)
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Introduction 10 of 110
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- Note that there is **no** opportunity to raise your grade, once you have a grade of "E" or higher.

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Grades: A..F (ECTS grades)

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Introduction 11 of 110

Mobile and Wireless Network Architectures

Project

Goals: to gain **_analytical_ or _practical_** experience and to show that you have mastered some knowledge in this area and to encourage you to find a topic which interests you (since this will motivate you to really understand the material)

- Can be done in a group of **1 to 3** students (formed by yourself). **Each** student must contribute to the final written and oral reports.

- Discuss your ideas about topics with the instructor **before** starting.

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Project
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Introduction 12 of 110
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Assignment Registration and Report

- Registration: Monday 8-Feb-10, to <maguire at kth.se>
  with the subject: "IK2555 topic"
  - Group members, leader, and topic selected

- Written report
  - The length of the final report should be ~10 pages (roughly 5,000 words) for each student; it should **not** be longer than 12 pages for each student - papers which are longer than 12 pages per student will be graded as "F".
  - The paper style should be that of a conference paper.
  - Papers should not focus on physical and link layer issues as this is **not** a course in radio communication systems, but rather the papers should look at things which have an impact on the architecture or upon which the architecture has an effect.
  - If there are multiple students in a project group, the report may be in the form of a collections of papers, with each paper suitable for submission to a conference or journal.
  - Contribution by each member of the group - must be clear (inthe case where the report is a collection of papers - the role of each member of the group can be explain in the overall introduction to the papers.
  - The report should clearly describe: 1) what you have done; 2) who did what; if you have done some implementation and measurements you should describe the methods and tools used, along with the test or implementation results, and your analysis.

Final Report: written report due Sunday 7-Mar-10 at 23:59 + **oral presentations** scheduled during week 11 (15-19 March 2010).

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Introduction 13 of 110
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- Send email with URL link for a **PDF** or **PostScript** file to <maguire at kth.se>
- Late assignments will <u>not</u> be accepted (i.e., there is no guarantee that they will be graded before the end of the term)

Note that it is permissible to start working *well in advance* of the deadlines!

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Assignment Registration and Report

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Introduction 14 of 110

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Literature

The course will mainly be based on the book: Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2 and the earlier *Wireless and Mobile Network Architectures*, by Yi-Bing Lin and Imrich Chlamtac, John Wiley & Sons, 2001, ISBN 0-471-39492-0.

We will not focus on Mobile IP in the lectures (since an introduction was given in the internetworking course), see also: [13] and [14].

We will refer to other books, articles, and RFCs as necessary - see notes and web.

In addition, you will be searching & reading the literature in conjunction with your projects. Please make sure that you properly reference your sources in your report - keep in mind the **KTH Ethics policies.**

Pay attention to copyrights - if you want to use such material you need the copyright owner's **permission** and the source **must** be properly cited.

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Literature
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Introduction 15 of 110
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Ethics, Rights, and Responsibilities

There is a policy of zero tolerance for **cheating, plagiarism, etc.** - for details see

http://www.kth.se/dokument/student/student_rights.pdf

See also the KTH Ethics Policies at:

http://www.kth.se/info/kth-handboken/I/7/1.html

See the book: Jude Carroll and Cal-Mikael Zetterling, Guiding students away from plagiarism, KTH Learning Lab, 2009, ISBN 987-91-7415-403-0

http://www.kth.se/vil/learninglab/plagiat

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Ethics, Rights, and Responsibilities
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Introduction 16 of 110
Mobile and Wireless Network Architectures

Lecture Plan

- ## 1: Introduction
  - Course arrangement
  - Personal Communication Systems (PCS): handoff, mobility, paging
- ## 2: Network Signaling; CDPD
- ## 3: GSM, GPRS, SMS, International Roaming, Operation/Administration/Maintenance
- ## 4: Number portability, VoIP, Prepaid
- ## 5: WAP, Heterogeneous PCS, 3G
- ## 6: Wireless Local Loop (WLL), Enterprise Networks
- ## 7: Wireless Local Area Networks (WLANs)
- ## 8: Bluetooth: Piconets, Scatternets
- ## 9: Ultrawideband (UWB)
- ## 10: Broadband Wireless Access (BWA)
- ## 11: Sensor Networks
- ## 12: Misc. topics

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Lecture Plan
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Introduction 17 of 110
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Context of the course

Personal Communication Systems have been both increasing their number of users and increasing the variety of personal communication systems. Some of these system (such as GSM) have had *growth* rates of millions of new customers each month! This system was very successful in very many places around the world.

In many countries the 3G license fees were many thousand of euros per potential customer.

Europe is now in the process of introducing so-called fourth generation (4G) cellular systems.

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Context of the course
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Introduction 18 of 110
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Context of the module

Communication systems have been both increasing their number of users and increasing the variety of communication systems. Additionally, increasingly communicating entities are ***not*** people, but rather things.

	Number	
Micro controllers	6×10^9 **per year**	http://doi.ieeecomputersociety.org/10.1109/MM.2002.10015
People	6.7×10^9	http://en.wikipedia.org/wiki/World_population
Mobile subscribers	$\sim 4 \times 10^9$	http://www.itu.int/ITU-D/ict/newslog/Worldwide+Mobile+Cellu-lar+Subscribers+To+Reach+4+Billion+Mark+Late+2008.aspx
PCs	$>1 \times 10^9$	http://www.gartner.com/it/page.jsp?id=703807
Automobiles	53×10^6 produced in 2007	http://oica.net/category/production-statistics/
Commercial vehicles	20×10^6 produced in 2007	http://oica.net/category/production-statistics/

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Context of the module
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Introduction 19 of 110
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Personal Communication Systems (PCS)

The goals of PCS are to provide a mobile user with voice, data, and multimedia at any place, at any time, and in any format.

Thus the system has to *either* provide **universal coverage** <u>or</u> it has to include **interworking with other communication systems**. Thus far, attempts at providing universal coverage by a **globally standard system** have failed (for various technical, historic, economic, and political reasons).

The market has often been fragmented based on: **wide area coverage** (especially for business users), **enterprise** (focused on in-building and on campus), and **homes** (often equated with "personal" or "free-time usage"). However, this market separation is increasingly **converging** rather than further diverging.

Traditionally, various PCS systems were connected to the Public Switched Telephony System (PSTN) and driven by *telephony standards* (and at the *rate* of change of telephony standards). Today, these systems are increasingly connected to the internet and driven by the internet standards & change at internet speeds.

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Personal Communication Systems (PCS)
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Introduction 20 of 110
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High Tier and Low Tier Cellular, and Cordless

Generally the PCS market has been divided into these three classes:

System	High Tier Cellular	Low Tier Cellular	Cordless
Cell size	large (0.25-38km)	medium (10-100m)	small (10-20m)
User speed	high (≤ 260 km/h)	medium (≤100km/h)	low (≤50km/h)
Handset complexity	high	low	low
Handset power consumption	high (100-800mW)	low (5-20mW)	low (5-10mW)
Speech coding rate	low (8-13kbps)	high (32kbps)	high (32kbps)
Delay or latency	high (≤600ms)	low (≤10 ms)	low (≤10ms)
Costs	high	medium	low (often flat rate)
Examples	GSM, D-AMPS, PDC, cdmaOne, UMTS, …	CT2, DECT, PHS, PACS	

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High Tier and Low Tier Cellular, and Cordless
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Introduction 21 of 110
Mobile and Wireless Network Architectures

Cellular Telephony

Different means of defining channels:

- **F**requency **D**ivision **M**ultiple **A**ccess (FDMA)
  - Advanced Mobile Phone Service (AMPS)
- **T**ime **D**ivision **M**ultiple **A**ccess (TDMA)
  - D-AMPS, Global System for Mobile Communications (GSM)
- **C**ode **D**ivision **M**ultiple **A**ccess (CDMA)
  - IS-95 (developed by Qualcomm), cdma2000, W-CDMA, …

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Cellular Telephony
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Introduction 22 of 110
Mobile and Wireless Network Architectures

Low Tier Cellular and Cordless Telephony

- **C**ordless **T**elephony, second generation (CT2)
  - 40 FDMA channels, within each 100kHz frequency channel the base station⇒user (**downlink**) and user⇒base station (**uplink**) channels are separated with time division duplexing (TDD) (in every 2ms long **frame** there is 64bits of downlink user data followed by 64 bits of uplink user data).
  - Does **not** support handoffs, primarily supports out-going calls (incoming calls are hard as there is no defined mobility database).

- **D**igital **E**nhanced **C**ordless **T**elephony (DECT)
  - formerly: **D**igital **E**uropean **C**ordless **T**elephony
  - utilizes a picocellular design using TDMA with 24 time slots (generally: 12 voice slots for downlink and 12 voice slot for uplink, i.e., TDD) per frequency channel and 12 frequency channels, automatic dynamic channel allocation based on signal strength measurements
  - a call can move from one time slot in one frequency channel to another time slot in another channel - supporting seamless handoffs.

- **P**ersonal **H**andy Phone **S**ystem (PHS)
  - another TDMA TDD system also supporting dynamic channel allocation - it has been used in Japan for a public low tier cellular system.

- **P**ersonal **A**ccess **C**ommunications **S**ystem (PACS)
  - a TDMA system supporting both TDD and frequency division duplex (FDD); it utilized **m**obile-**c**ontrolled **h**and**o**ff (MCHO). It supports both circuit switched and packet switched access protocols.

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Low Tier Cellular and Cordless Telephony
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Introduction 23 of 110
Mobile and Wireless Network Architectures

Mobile Data

- RAM Mobile Data (now Cingular Interactive, based on the Swedish Mobitex system)
  - Backbone behind Xpress Mail with **BlackBerry**, Interactive Messaging PLUS, and Wireless Internet PLUS, …
  - Coverage maps: *http://www.mobitex.org/*
  - Mobitex had greater national coverage[1] 90% of Sweden's land and 99.5% of the population, than even the analog 450Mhz cellular system, because the Swedish military used it.
  - Both public Mobitex systems (such as that formerly operated by Telia, now by Multicom Security AB) and private systems (such as the one at Arlanda Airport).

- **A**dvanced **R**adio **D**ata **I**nformation **S**ystem (ARDIS) {developed for IBM's customer engineers $\Rightarrow$ offered indoor coverage} (now *TerreStar*, formerly Motient[2] -- note TerreStar is building a "4th Generation" all IP network featureing "seamless integration between satellite and terrestrial systems"[24])

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1. see *http://www.mobitex.telia.com/taeckning.htm* or *http://www.multicomsecurity.se/Script/ShowPic.asp?FileID=396*
2. Motient (founded in 1988 as American Mobile Radio Corporation) spun off its XM Satellite Radio unit in 2001; the later has now merged with Sirius Satellite Radio

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Mobile Data
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Introduction 24 of 110
Mobile and Wireless Network Architectures

- **C**ellular **D**igital **P**acket **D**ata (CDPD) {developed to provide data as an overlay on analog cellular systems; based on Mobile IP; See "CDPD" on page 132.}

Generally low rate systems 2.4 - 8 kbps

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Mobile Data
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Introduction 25 of 110
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Paging

Within local paging areas or via satellite.

The key to paging device's high performance is that they **sleep** *most of the time*.

North America utilizes two way paging systems (i.e., the paging system can both send and receive traffic).

Due to the lack of allocation for a return channel two way paging languished in Europe.

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Paging
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Introduction 26 of 110
Mobile and Wireless Network Architectures

Specialized Mobile Radio (SMR)

Taxis dispatching, fleet dispatching, …

The basis for Nextel (_http://www.nextel.com/_) - using a handset built for them by Motorola to operate over the wide variety of SMR channels which Nextel bought (this is a case where the radio design came *after* the frequencies were "assembled").

See also the Nextel® Walkie-Talkie service

http://www.nextel.com/en/services/walkietalkie/overview.shtml

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Specialized Mobile Radio (SMR)
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Introduction 27 of 110
Mobile and Wireless Network Architectures

Satellite

Especially **L**ow **E**arth **O**rbit **Satellite** (LEO)

- numerous attempt to field systems - one problem is that most of the time the satellites are over regions {primarily oceans} with few possible customers. Also each satellite is only in range for ~10 minutes or so - so there are frequent handoffs.

- 500 - 2000 km orbit

- US DoD Enhanced Mobile Satellite Service (EMSS) {successor to Iridium, features secure phones and US government secure voice gateway} - *http://www.disa.mil/main/prodsol/emss.html*

The footprint (i.e., coverage area of a satellite transponder) for **M**id-**e**arth **o**rbit (MEO) and **Geo**stationary (GEO) satellite - generally cover too large an area and does so with very long delays (due to the distance of these satellites from the earth). However, they are widely used for both their wide coverage area (for example, for paging) and for *one way services* (often broadcast or spot coverage).

For more about LEO systems see [10].

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Satellite
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Introduction 28 of 110
Mobile and Wireless Network Architectures

Wideband systems

- ## Wideband Code Division Multiple Access (W-CDMA)
  - With data rates in rural areas 1.44kbps, in cities 384kps, and indoors up to 2 Mbps
  - *http://www.umtsworld.com/technology/overview.htm*
  - Also known as (AKA) UMTS terrestrial radio access (UTRA)

- ## cdma2000
  - Also known as IS-2000; an evolution of cdmaOne/IS-95 to 3rd generation services
  - CDMA2000 1X, an average of 144 kbps packet data; 1XEV-DO up to 2 Mbits/sec.; 1XEV-DV even higher peak rates - simultaneous voice and high speed data + improved QoS

- ## TD-SCDMA - **one** of the several competing Chinese 3G standards
  - *http://www.tdscdma-forum.org/nenglish/index.html*

See also:

- ## 3rd Generation Partnership Project (3GPP) *http://www.3gpp.org/*
  - based on evolved GSM core networks and the radio access technologies

- ## Third Generation Partnership Project 2 (3GPP2) *http://www.3gpp2.org/*
  - ITU's "IMT-2000" initiative:
    - high speed, broadband, and Internet Protocol (IP)-based mobile systems
    - "featuring network-to-network interconnection, feature/service transparency, global roaming and seamless services independent of location."
  - includes cdma2000 enhancements

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Wideband systems
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Introduction 29 of 110
Mobile and Wireless Network Architectures

Local Metropolitan Area Networks (LMDS)

Point-to-point or Point-to-multipoint (generally wide band) links

- some operators have more than 700MHz worth of bandwidth available (in aggregate) in a given market (geographic) area
- line-of-sight coverage over distances up to 3-5 kilometers
- data rates from 10s of Mbps to 1Gbps or more
    - Ericsson's MINI-LINK BAS up to 37 Mbit/s per sector

      http://www.ericsson.com/transmission/wba/
- Frequency bands between 24 to 31 GHz (licensed spectrum)
    - UK: 28 GHz band and 10 GHz band
    - Rest of Europe: 26 GHz band
    - US: 24 GHz used by Teligent and 39 GHz band licensed by Winstar (now part of IDT)
        - at least one experimental license in the US in 41.5 GHz to 43.5 GHz
    - Biggest problem is price of the necessary high frequency components!

For further info see: *http://www.lmdswireless.com/* and

http://www.networkcomputing.com/netdesign/1223wireless13.html

See also fixed Broadband Wireless Access (BWA) systems (i.e., "Broadband Wireless Access (BWA)" on page 445)

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Local Metropolitan Area Networks (LMDS)
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Introduction 30 of 110
Mobile and Wireless Network Architectures

Point-to-Point Optical links

Free-Space Optics (FSO)

- using laser light sources it is possible to achieve very high speeds (typically OC-3 (155Mbps), OC-12 (622Mbps), or 1.25Gbps; but some systems operate at 2Gbps and 10GBps) for point-to-point links
- uses Terahertz (THz) spectrum range
- short ranges - typically below 2km

See also: _http://www.freespaceoptics.org/_

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Point-to-Point Optical links
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Introduction 31 of 110
Mobile and Wireless Network Architectures

Wireless Local Area Networks (WLANs)

Generally using one of the following schemes:

- **F**requency **H**opping **S**pread Spectrum (FH-SS)
- **D**irect **S**equence **S**pread Spectrum (DS-SS)
- **O**rthogonal **F**requency **D**ivision **M**ultiplexing (OFDM)
- IR links

Most of the radios have either used the **I**nstrumentation, **S**cientific, and **M**edical (ISM) bands, **N**ational **I**nformation **I**nfrastructure (NII) bands, or the HiperLAN band.

Data rates have ranged from 100s of kbps to 54 Mbps, now 100Mbps.

See IEEE 802.11 (in its many variants) - some of the standards are available at (those published more than one year ago are free):

http://standards.ieee.org/getieee802/

See "Wireless LAN (WLAN)" on page 359.

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Wireless Local Area Networks (WLANs)
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Introduction 32 of 110
Mobile and Wireless Network Architectures

Short range radio

low speed wireless links (door locks, wireless sensors, RF ID tags, …)

Personal Area Networks (PANs) - these have generally be relatively low data rate systems, such as Bluetooth (1Mbps in aggregate).

See "Bluetooth: Piconets, Scatternets" on page 401

Near Field Communication (NFC) - typical range of centimeters (when operating in the 13.56 MHz frequency range)

see *http://www.nfc-forum.org/*

See Near Field Communication on page 526 and RFID (separate notes).

Ultrawideband

- US FCC gave regulatory approval 14 Feb. 2002
- Intel demo'd transmitter and receiver at 100Mbps
- Intel expects to be able to get 500Mbps at a few meters dropping to 10Mbps at 10m.

See "Ultrawideband (UWB)" on page 440.

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Short range radio
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Introduction 33 of 110
Mobile and Wireless Network Architectures

From PANs to RANs and beyond

The communication range of users - range from ~10^{-3} m to >>10^6 m:

Inter-galactic
Inter-planatary
Planatary

Standards?

RAN
<100km

IEEE 802.22
18-24 Mbps

WAN
<15km

IEEE 802.20, GSM, GPRS, 2.5G, 3G
10kbps-2.4 Mbps

MAN
<5km

IEEE 802.16a/d/e - 70 Mbps
LMDS - 28 Mbps

LAN
<125m

IEEE 802.11a/b/g/h - 11-54 Mbps
IEEE 802.11n - 100 Mbps

PAN
<10m

IEEE 802.15.1 (Bluetooth) - 1 Mbps
IEEE 802.15.3 > 20 Mbps
IEEE 802.15.3a (UWB) <480 Mbps
IEEE 802.15.4 (Zigbee) < 250 kbps

NFC
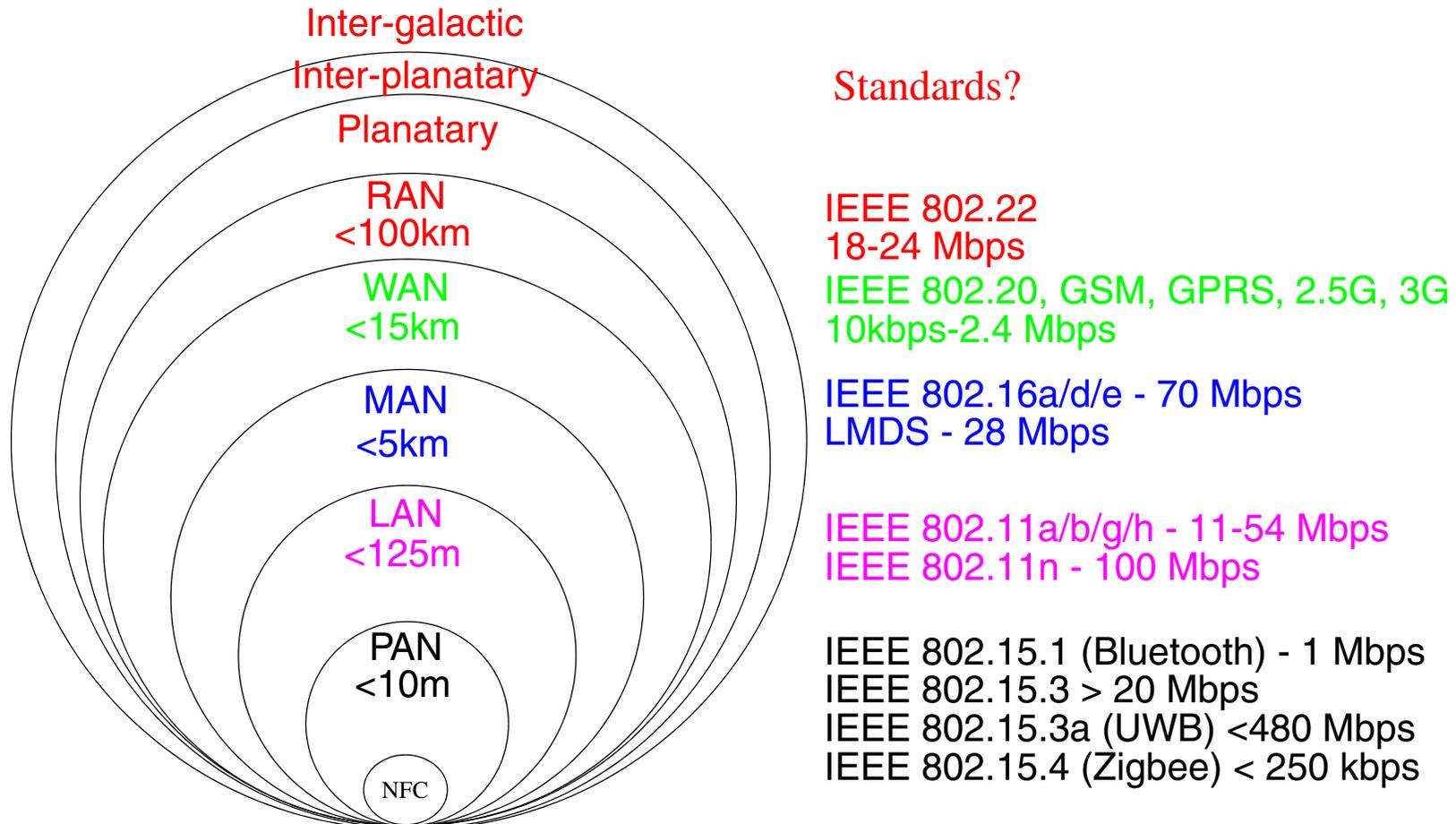
Figure 1: From Personal Area Networks (PANs) to Regional Area Networks (RANs) inspired by slide 5 of [195]

⇒ This implies that solutions will involve heterogeneous networks.

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From PANs to RANs and beyond
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Introduction 34 of 110
Mobile and Wireless Network Architectures

Are interplanetary and intergalactic networks relevant to us?

1 Some customers: NASA, ESA, … ; but also scientists/astronomers who want to look back in time

2 Future space explorers & tourists?

3 ⇒ delay tolerant networks (DTNs) - since the one-way delays are very high - perhaps this offers insights into other DTNs

- For example, content distribution by the physical movement of "carriers" near to someone who wants the content or someone who will pass it on (and on and on and on!)

4 The communication is going to have to remain uncorrupted for a very long period of time *(http://www.digitalpreservationeurope.eu/)*

- Note the Austrian goal of preserving digital documents for 200 years; this is much harder than just copying the bits from one media to another, but also includes issues such as the validity period for a digital signature (for authentication) or encryption (for privacy) and how these properties can be extended for much longer periods of time
- Where is this storage/archiving/ … going to be ?
- Who is going to transfer the "documents" to and from the storage repository (repositories)?

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Are interplanetary and intergalactic networks relevant to us?
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Introduction 35 of 110
Mobile and Wireless Network Architectures

How can we deal with all of these different networks?

- Force a single winner
  - Use a single network architecture
    - Everything will be ATM
    - Everything will be UMTS
    - …
  - Use a single network protocol
    - IP
    - IPX
    - SNA
    - …

- Live with multiple winners
  - Specialized networks: a circuit switched PSTN, a cable TV network, …
  - Niche networks: local point to point links (such as IRDA, Bluetooth, …), RFID, …

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How can we deal with all of these different networks?
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Introduction 36 of 110
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Internet Architecture
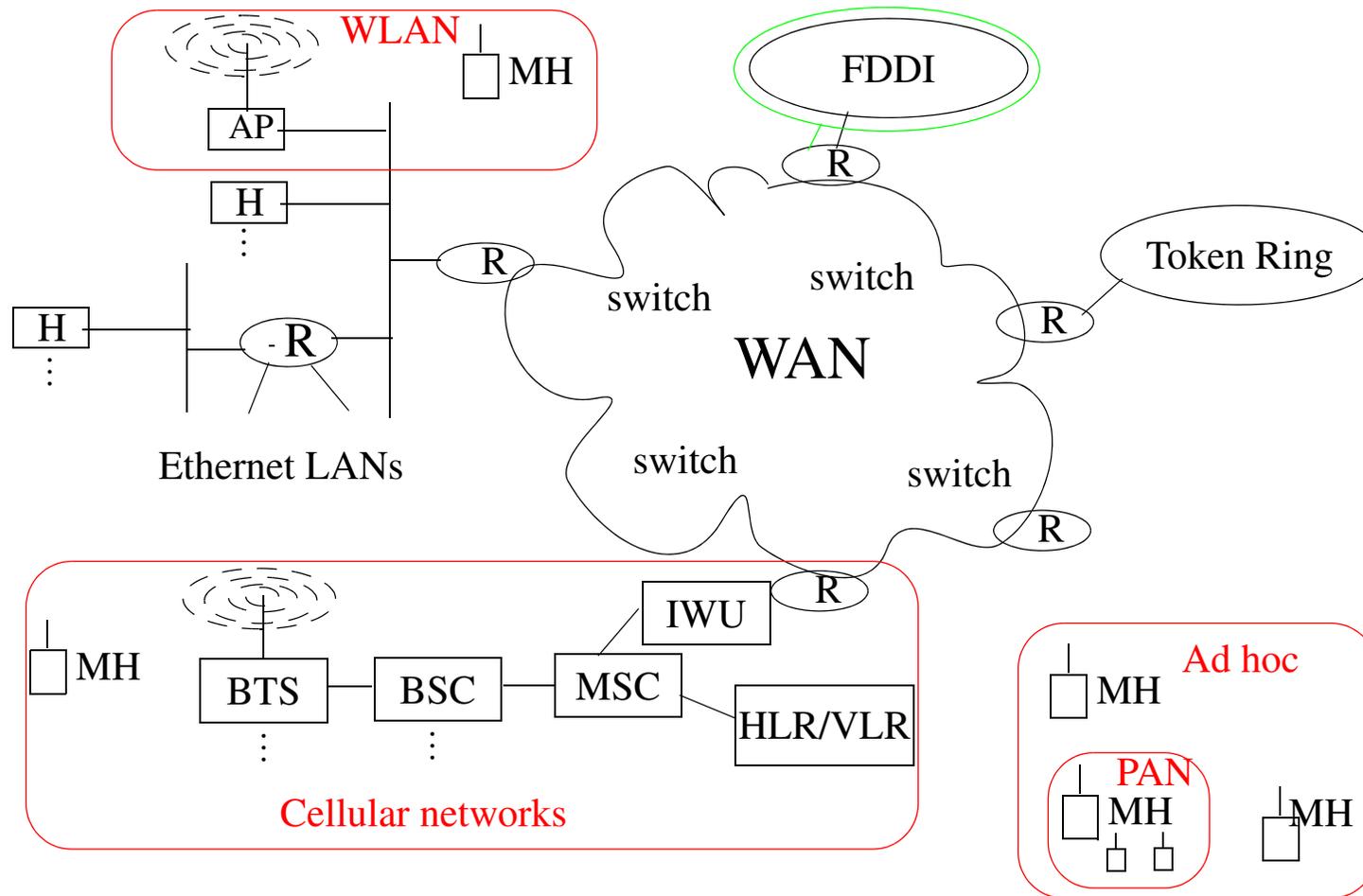
Figure 2: Multiple network technologies - *internetworked* together

- Facilitates disaggregation - since **functionality** can be located anywhere on the internet

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Internet Architecture
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Introduction 37 of 110
Mobile and Wireless Network Architectures

More complete Architecture
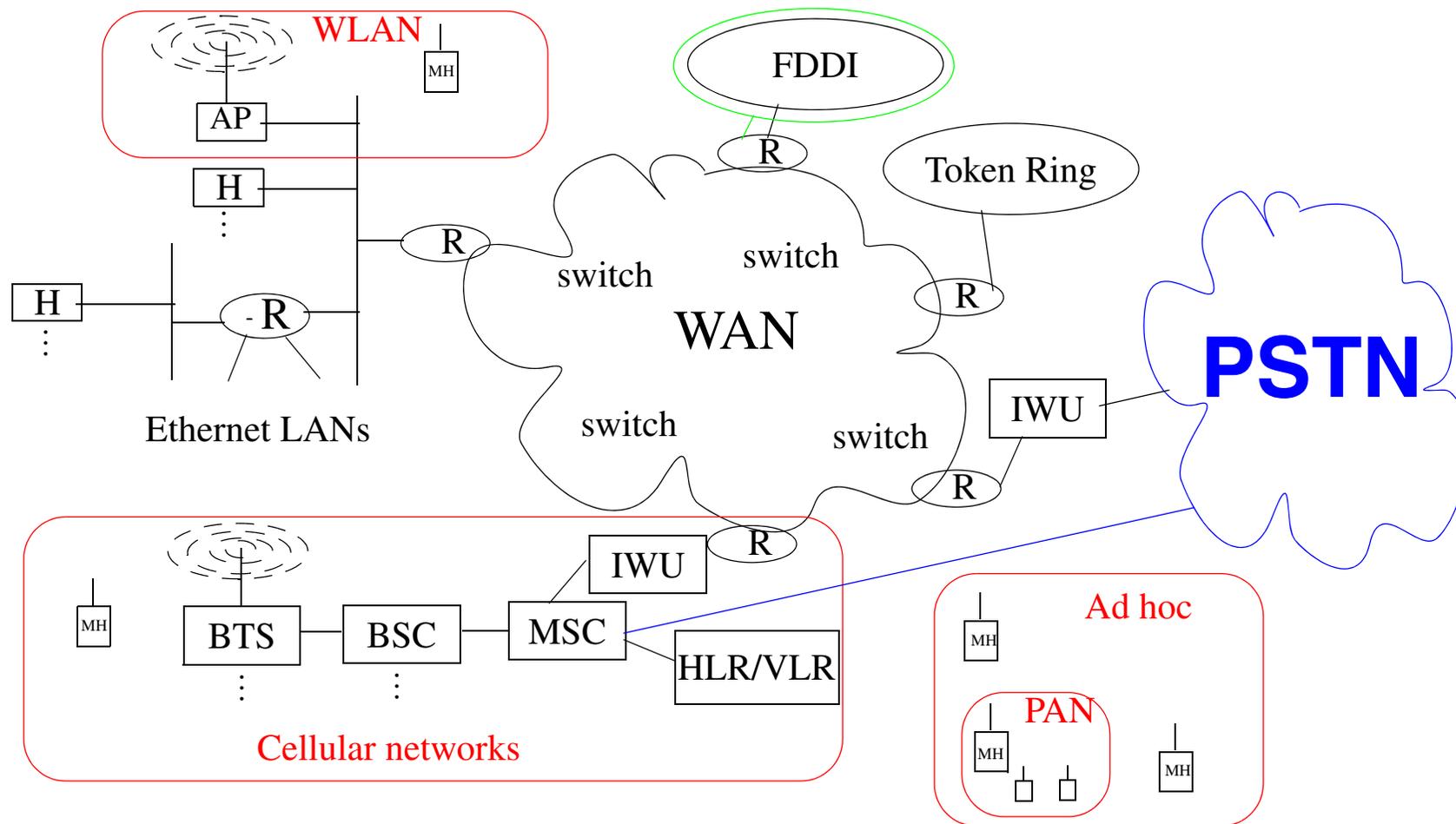
Figure 3: Internet and PSTN

- We will focus on the parts marked in red in the above figure, i.e., Cellular, WLAN, and PAN (and Ad hoc) networks.

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More complete Architecture
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Introduction 38 of 110
Mobile and Wireless Network Architectures

Internetworking

Internetworking is

- based on the interconnection (concatenation) of multiple networks
- accommodates multiple underlying hardware technologies by providing a way to interconnect **heterogeneous** networks and makes them inter-operate - via a common network layer.

Many personal communication systems are interconnected to the Public Switched Telephony System (PSTN) - thus there must generally be an *adaptation* to fixed rate (generally 64 kbps) voice coding. Increasingly these systems are also interconnected to the Internet, hence **packet based services** are becoming an increasingly important part of such systems.

These interconnections have technical, political, and regulatory effects.
⇒ Rise of truly international operators - one logical network independent of geography (independent of the fact that it is built of multiple cooperating & competing networks)

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Internetworking
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Introduction 39 of 110
Mobile and Wireless Network Architectures

Basic concepts

open-architecture networking

- Each distinct network stands on its own makes its own technology choices, etc. $\Rightarrow$ no changes within each of these networks in order to internet
- Based on best-effort delivery of datagrams
- Gateways interconnect the networks
- No global control

The End2End Argument

Some basic design principle for the Internet:

- Specific application-level functions should **not** be built into the lower levels
- Functions implemented **in** the network should be simple and general.
- Most functions are implemented (as software) at the edge
  $\Rightarrow$ complexity of the core network is reduced
  $\Rightarrow$ increases the chances that new applications can be easily added.

See also [30], [31]

Hourglass (Stuttgart wineglass) Model

- Anything over IP
- IP over anything

Note the broad (and open) top - enabling lots and lots of application

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Basic concepts
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Introduction 40 of 110
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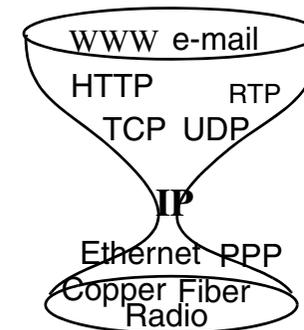
How does this avoid the "B-ISDN debacle"?

Internetworking is completely different from the B-ISDN:

- Rather than a single cell based circuit switched network - the focus is on interconnecting networks via a common network layer protocol

- Lots of products and lots of vendors selling these products
  - note: there is significant competition with a very fast development cycle

- The technology is "good enough" vs. trying to be an improved version of ISDN (think of examples from Clayton Christianson's *The Innovator's Dilemma: When New Technologies Cause Great Firms to Fail* [32])

- It exploits the very rapid advances at the edge of the network - which *the users pay for*!

- Encourages both cooperation by different network operators and competition between different network operators!

$\Rightarrow$ network connectivity as a commodity using commodity products to deliver a wide range of services

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How does this avoid the "B-ISDN debacle"?
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Introduction 41 of 110
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Examples of internetworking

Chapter 1 of the textbook shows several examples of internetworking, such as:
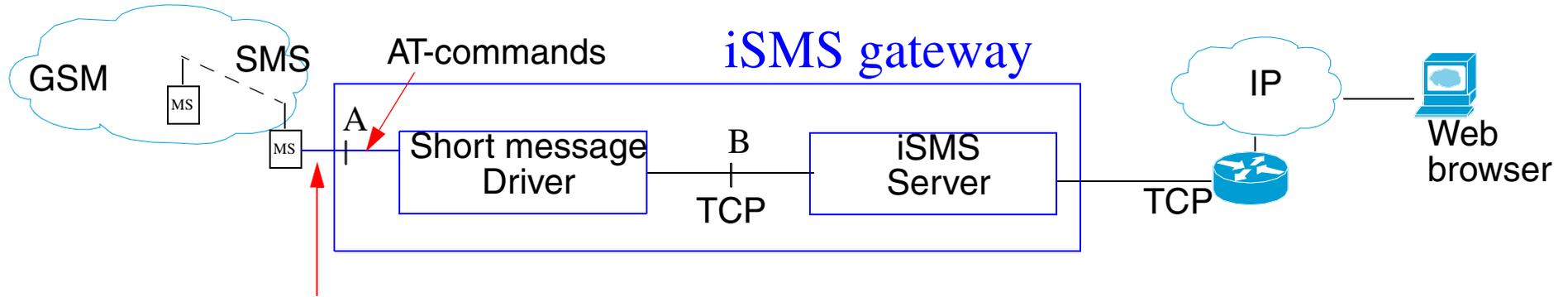
Figure 4: A gateway using two mobile stations (Figure adapted from figure 1.10 on page 10 of [1])
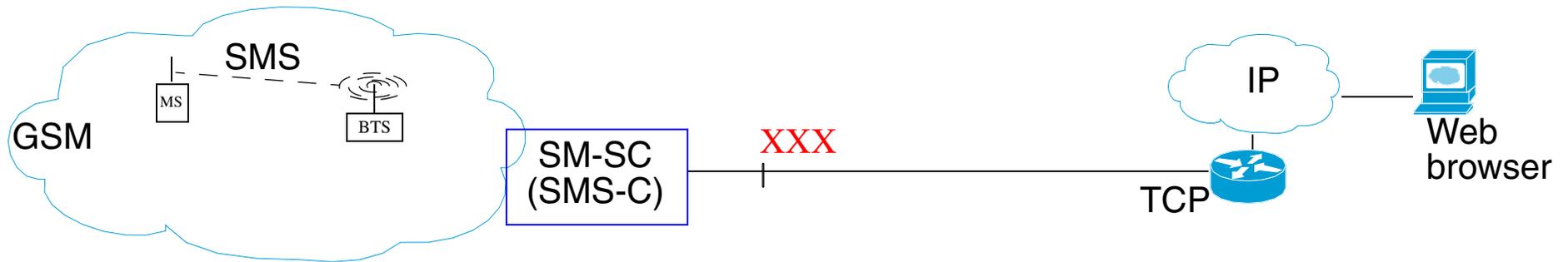
Figure 5: A gateway using the cellular systems short messaging service center (SM-SC);
(Figure adapted from figure 1.2 on page 3 of [1])
Note: some details are saved for later - see [30]

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Examples of internetworking
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Introduction 42 of 110
Mobile and Wireless Network Architectures

Trend: Increasing Data Rates

GSM

- 14.4kbps per channel

High Speed Circuit Switched Data (HSCSD)

- combining multiple GSM channels to achieve a higher aggregate rate for a single user

GPRS

- hundreds of kbps - by using the GSM time slots in a **packet** oriented manner

Wireless LAN standard from IEEE

- 802.11 Wireless LAN - 1 Mbps .. 100 Mbps

- 802.15 Wireless Personal Area Network (WPAN) ~1Mbps (and higher)

- 802.16 Metropolitan Area Networks - Fixed Broadband Wireless (10 .. 66 GHz) 10s to 100s of Mbps/channel and lower frequencies with more limited bandwidth)

- 802.20 (aka Mobile-Fi) Mobile Broadband Wireless Access (MBWA) -- IP based

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Trend: Increasing Data Rates
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Introduction 43 of 110
Mobile and Wireless Network Architectures

Trends: Shifting from traditional telecommunications to data communications

This is often referred to as the shift to "All-IP" networking.

This embodies:

- A shift from circuit-switched to packet-switched
  - such as: from Intelligent network (IN) to IP Multimedia Core Network Subsystem (IMS)
- Introduction of new technologies:
  - Voice over IP (VoIP)
  - Number portability
  - Context-awareness (including location-awareness) in services
- From services being what the telecommunication operator offers *to you* to what anyone offers to you. This is accompanied by a major shift in:
  - How services are created
  - Where services are provisioned
  - Where data is stored and who stores it
- Desperate efforts to retain control, market share, high profits, access to dial numbers, and call contents, … - the genie is reluctant to go back into the bottle!

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Trends: Shifting from traditional telecommunications to data communications
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Introduction 44 of 110
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Generations of technology versus generative technology

Today there are lots of discussion of future communication systems, such as the cellular variants Theo Kanter calls πG systems[1], next generation internet, … . There is even discussion of **if** there will be a 4th **generation** of cellular systems or **if** we will see the end of *generational* architectures and systems.

For some additional insights on the future of networking, see Patrik Fältström's "Future of the Internet"[28] and Jonathan Zittrain's *The Future of the Internet -- And How to Stop It* [29] (see http://futureoftheinternet.org/).

Note that Jonathan Zittrain's book focuses on the fact that upto this point the Internet can be seen as a generative system/technology (i.e., provides high leverage, is highly adaptable, is easy to master, the technology and tools are readily accessible, and there is high transferability {developments can easily be transferred to another user]) - see [29], page 70-73.

---

1. Because $3 < \pi < 4$ and π is an irrational number.

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Generations of technology versus generative technology
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Introduction 45 of 110
Mobile and Wireless Network Architectures

Basic Personal Communication System (PCS) network architecture
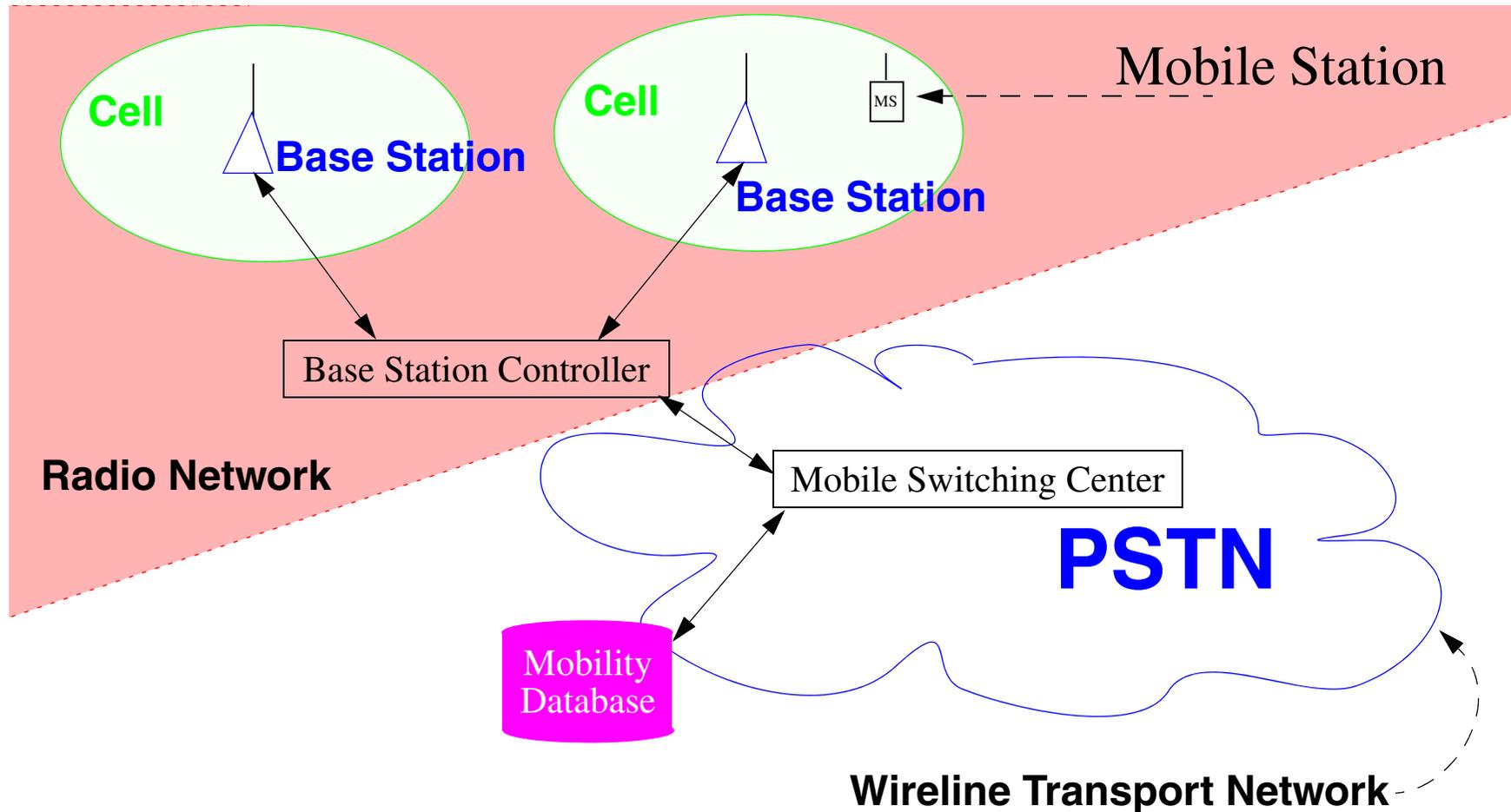
Figure 6: Basic PCS network architecture

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Basic Personal Communication System (PCS) network architecture
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Introduction 46 of 110
Mobile and Wireless Network Architectures

Example of a PCS Architecture
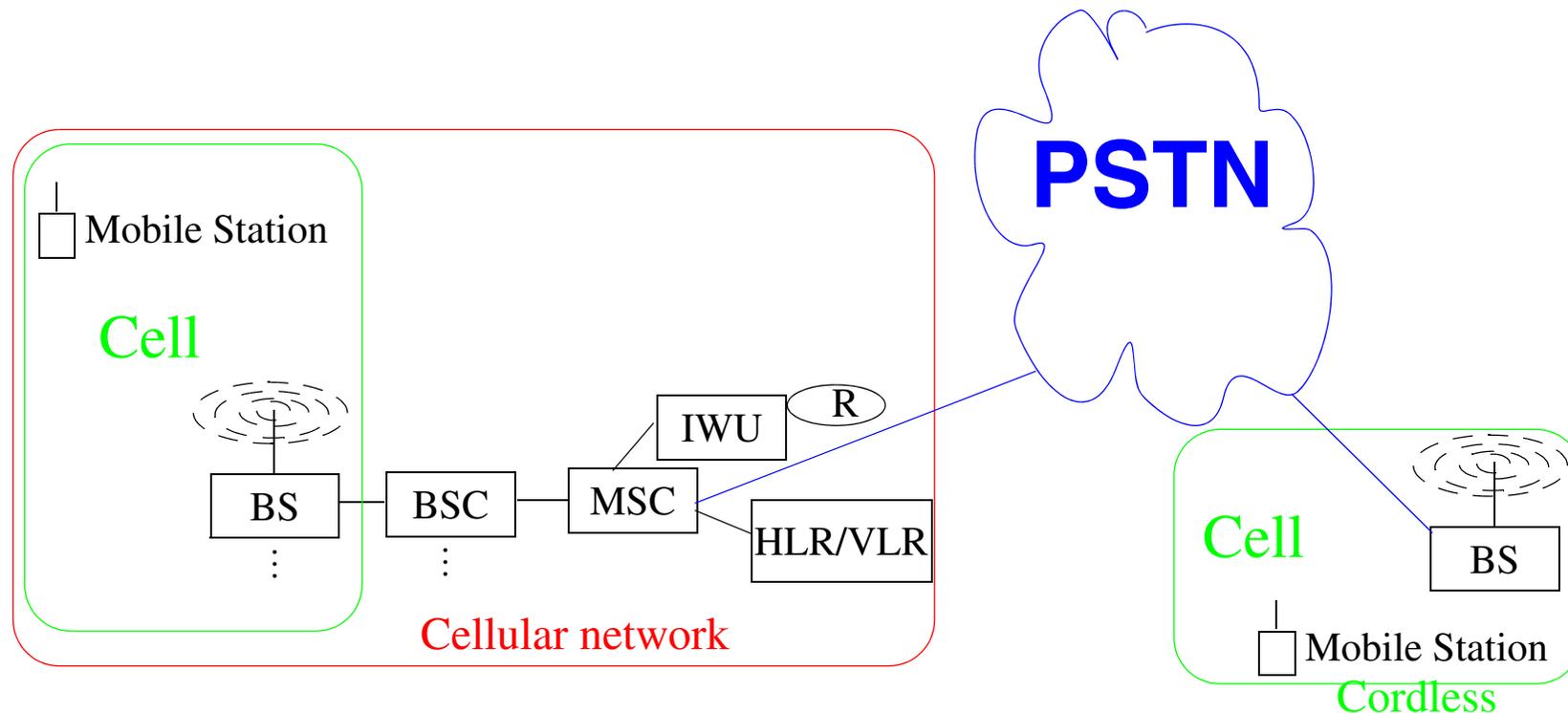
Figure 7: Cellular and Cordless networks

B(T)S = Base (Transceiver) Station, **BSC** = Base Station Controller, **MSC** = Mobile Switching Center, Home Location Register (**HLR**)/Visitor Location Register (**VLR**) provides a Mobility Database, and the PSTN provides the wireline (**backhaul**) transport network.

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Example of a PCS Architecture
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Introduction 47 of 110
Mobile and Wireless Network Architectures

PCS network architecture supporting Mobility
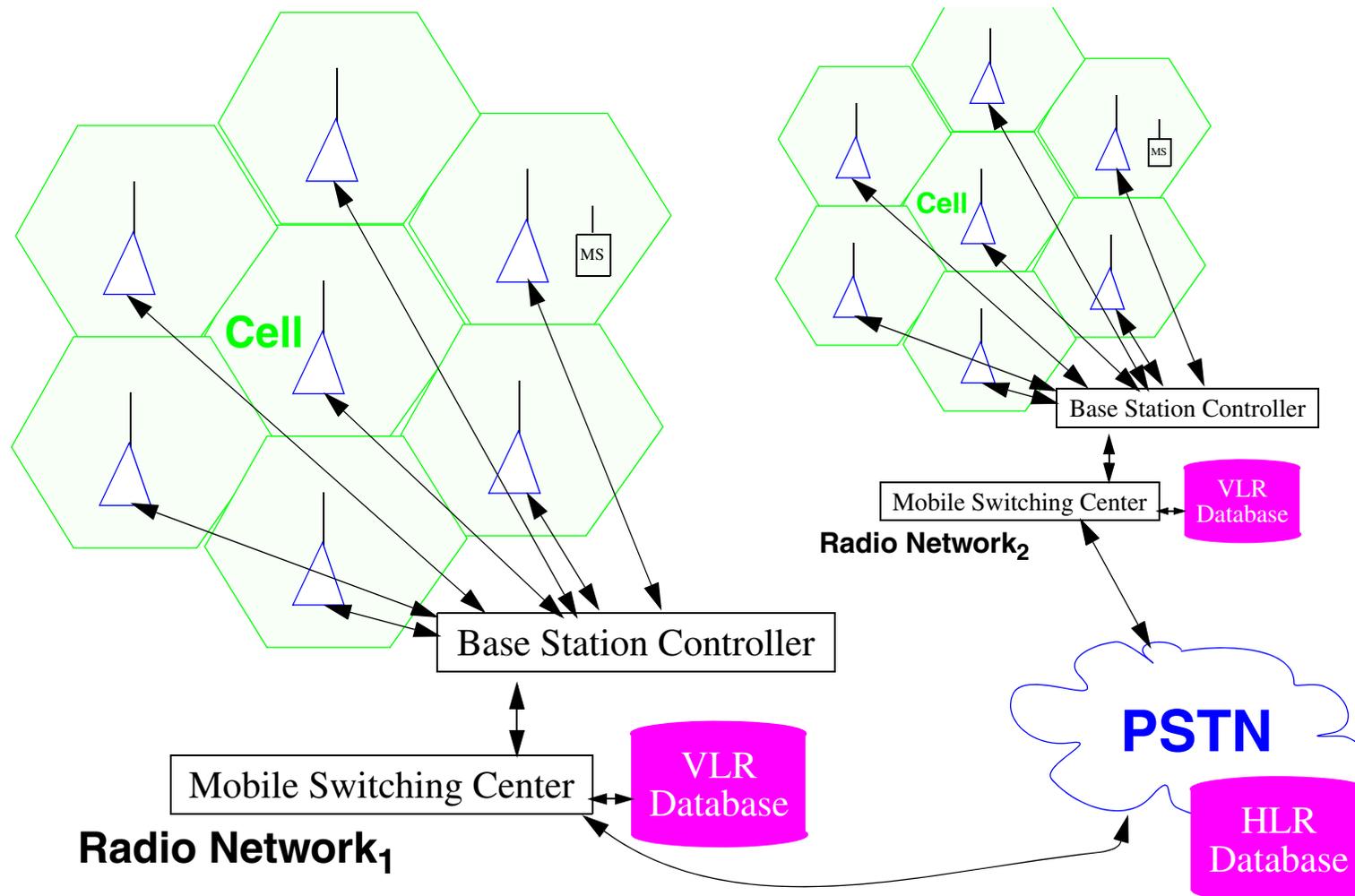
Figure 8: Basic PCS network architecture

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PCS network architecture supporting Mobility
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Introduction 48 of 110
Mobile and Wireless Network Architectures

Mobility Management

If mobile only **originates** traffic, then you **don't** have to know where the mobile is *to send traffic to it* - but rather you only have to decide if you will give it service.

If a mobile is to **receive** traffic (without having originated traffic), then someone must know where to send this traffic. This someone can be:

- a server **in** the network (where the user is)
- a server **attached** to the network (where the user is)
- a server **attached to another network** (different from where the user is right now - sometimes this is their "*home*" network)

We will examine mobility management with respect to the **static** decision of where to send traffic, the **dynamics** of maintaining communication despite change in access points (**Handoff**), and the use of **paging** (both in conjunction with mobility management, as an alternative architecture, and as a component of other architectures).

See also: §1.4 of [2] or Chapter 2 of [1].

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Mobility Management
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Introduction 49 of 110
Mobile and Wireless Network Architectures

Mobility Management Protocols

Include:

- Mobile IP
- EIA/TIA Interim Standard 41 (IS-41 or ANSI-41)
- Global System for Mobile Communications (GSM) Mobile Application Part (MAP)

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Mobility Management Protocols
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Introduction 50 of 110
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Macro- vs. Micro-mobility

Macro-mobility   ==   Inter-domain mobility
(a domain is {as usual} a single administrative entity)

Micro-mobility   ==   Intra-domain mobility

In micro-mobility entities **outside** of the current domain *can not* (and need not) see any changes when the mobile moves **within** the domain, while with macro-mobility others can see when a mobile moves, even within a domain.

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Macro- vs. Micro-mobility
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Introduction 51 of 110
Mobile and Wireless Network Architectures

Getting Service

Once a mobile's identity is know, the policy **question is: Should** this **mobile get service?**

The policy question and its answer may involve:

- roaming agreements (generally reciprocal agreements),
- current traffic loads,
- anticipated traffic loads,
- mobile user's priority/class/… ,
- … .

The question of authentication, authorization, and accounting (AAA) for mobile users are topics of a thesis: Juan Caballero Bayerri and Daniel Malmkvist, *Experimental Study of a Network Access Server for a public WLAN access network*, M.S. Thesis, KTH/IMIT, Jan. 2002.

See also IEEE 802.1x Port Based Network Access Control

`http://www.ieee802.org/1/pages/802.1x.html`

See also "AAA" on page 380

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Getting Service
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Introduction 52 of 110
Mobile and Wireless Network Architectures

Locating the user

- we can **track** the user continuously, or

- we can start looking for the user where we last saw them and then expand our **search**, or

- we can **guess** where the user might be
  - based on their patterns of movement (past behavior)
  - their personal schedule (if they give us access to this information), …

- the **user tells us** where they are
  - based on a **schedule** the user can tell us where they are (e.g., every one minute tell the system where you are now) or
  - the **user can listen** for something (for example a page) which causes them to check in or to report their location

- the user can tell their agent/intermediary where they are (i.e., we don't actually know where they are), thus we contact them **through their agent** whom we know how to contact.
  - Note that this is the method used in SIP - where the user registers their current location(s) and when an incoming call occurs their proxy process this call; the proxy can decide to redirect this call, forward it to one or more of the user's posisble locations, …

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Locating the user
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Introduction 53 of 110
Mobile and Wireless Network Architectures

Handoff Management: Detection & Assignment

- Who initiates handoff?
- How do you detect that you should handoff?
- **Handover** (Europe) ≡ **handoff** (North America)

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Handoff Management: Detection & Assignment
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Introduction 54 of 110
Mobile and Wireless Network Architectures

Handoff/Handover/Automatic Link Transfer

Handoff is the process that occurs when a mobile is "handed over" from one access point to another, i.e., the access point which the mobile is using changes. This is generally one of several types:

soft handoff	the mobile can communicate with both the **old** <u>and</u> the **new** AP[a]
hard handoff	the mobile can only communicate with one AP <u>or</u> the other
seamless handoff	If neither the user nor running applications notice the handoff (i.e., there is *no effect on content of data streams* coming arriving to or departing from the mobile)[b] (includes both smooth and fast handoffs)
glitchless handoff	in this case the delays due to the handoff are hidden/eliminated from the data stream
smooth handoff	buffering of traffic to the mobile when it is in the process of changing from one AP to another is buffered and then delivered to the new AP[c]
fast handoff	only a short interruption time between disconnection at the old AP and connection to the new AP
vertical handoff	when the new cell is larger than the current cell (i.e., microcell to macro cell)
horizontal handoff	when the new cell is similar to the current cell (i.e., microcell to micro cell)

a. Generally I will refer to such devices as access points (APs), except when their being a Base Station is particularly important.

b. For seamless and glitchless handoffs see for example, work by Ramón Cáceres and V.N. Padmanabhan[25].

c. See C. Perkins and K-Y. Wang's scheme for buffering with Mobile IP, requires per mobile buffering associated with the (former) access points[26].

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Handoff/Handover/Automatic Link Transfer
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Introduction 55 of 110
Mobile and Wireless Network Architectures

Handoff Criteria

- **Signal** quality - due to its effect on the ability to deliver data via the link
- **Data** quality - the effect of errors on the delivered data traffic

With respect to signal quality we can exploit knowledge of general radio signal properties or we can exploit specific situation knowledge (based on our earlier experience or the experience which other mobiles have reported and which we have learned about).

A simplified view with respect to signal strength (reality is *much* more complex):

Signal Strength (dB)

Mobile ⇒

start looking for a new AP

time to switch

minimum threshold to stay with AP_1

call terminated if handoff is not completed

AP_1

AP_2

Distance

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Handoff Criteria
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Introduction 56 of 110
Mobile and Wireless Network Architectures
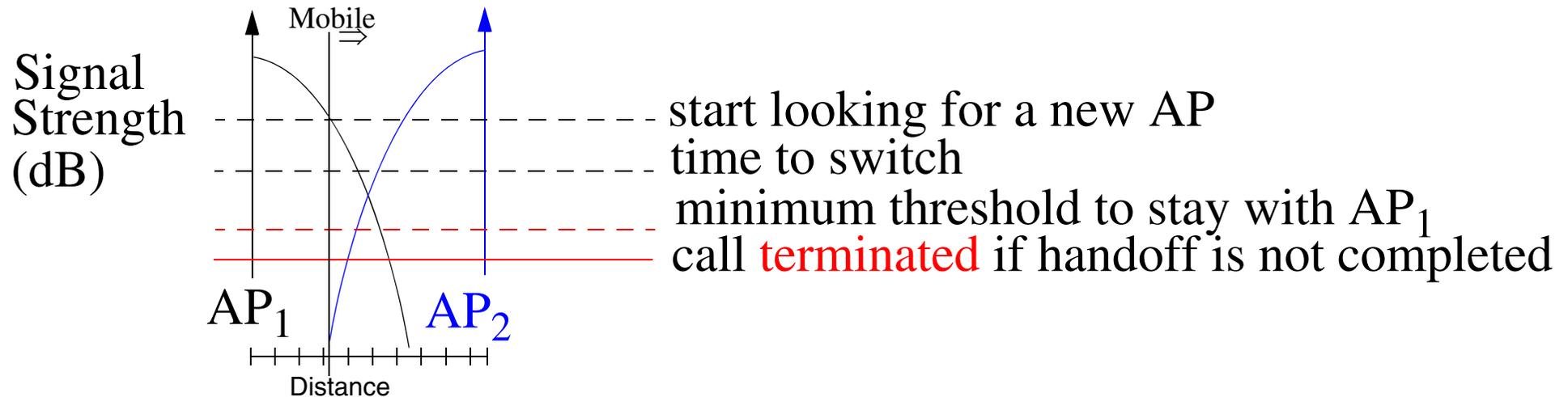
Handoff Goals

- **minimal impact** on traffic - making a handoff at the "right" time
- **tolerance/adaption** for congestion and capacity - the new and old cells may have different levels of *utilization*, *available* bandwidth, … - handoff has to deal with this
- **efficiency** - the handoff should result in *improved* efficiency (in terms of traffic, energy consumption, reduced interference, …) $\Rightarrow$ the handoff process *itself* should try to minimize the resources it consumes
- improve **availability** - handoff should result in using an AP providing: better bandwidth, lower cost, lower delay, low delay variance, …
- the mobile should be able to use the maximum set of APs (which may involve changing spreading code, modulation, coding, … or changing to a different radio module) in order to achieve a better **system optima**, rather than be restricted to a local single system optima

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Handoff Goals
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Introduction 57 of 110
Mobile and Wireless Network Architectures

When to make the decision?

By starting to look for a new AP **before** you need it, there is time to make a decision - **while** you still have connectivity:
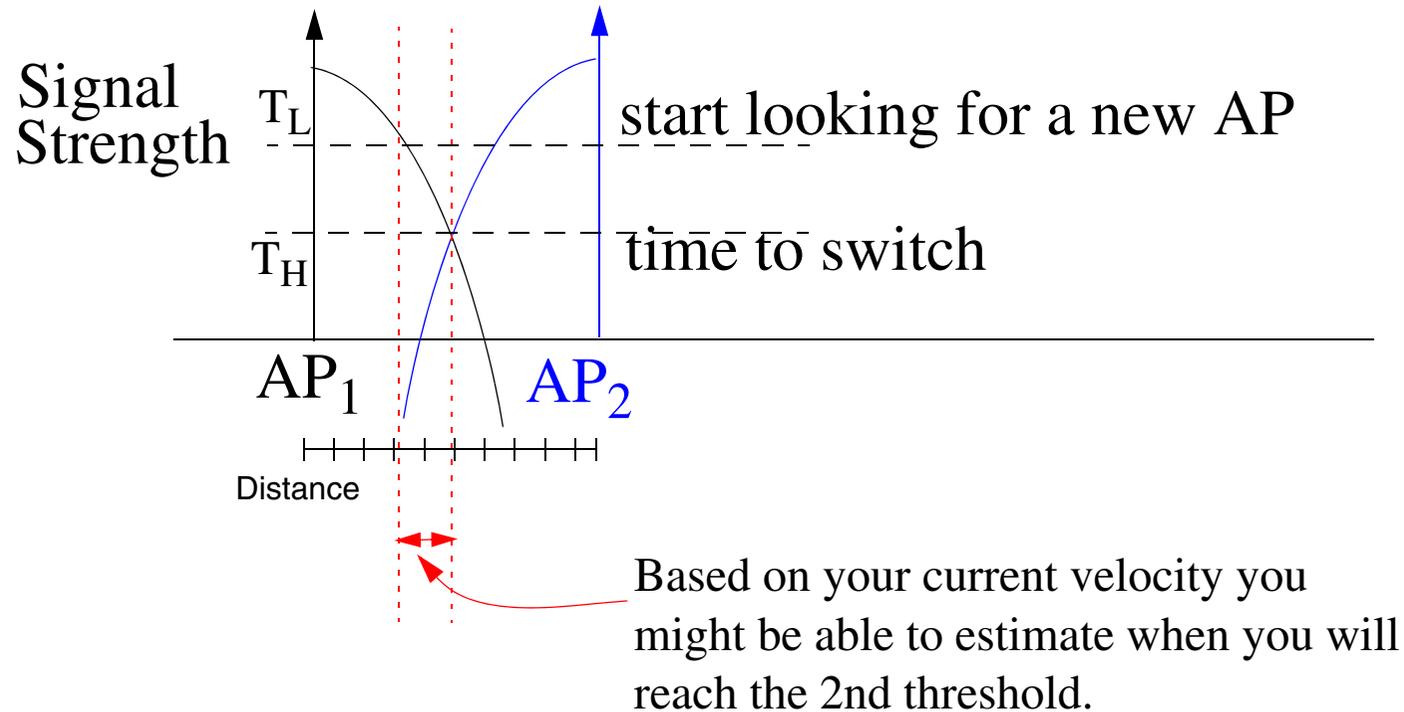
T_L - Threshold for **Looking** around, T_H - threshold for **Handoff**

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When to make the decision?
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Introduction 58 of 110
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Reality is more complex

Mobile Station (MS) and the Base Station (BS) experience a channel which varies

- due to user movement, movement of other users, reflections, diffractions, … $\Longrightarrow$

- **Rapid-fading**
  - Rayleigh-distributed envelope of the signal strength (often called Multipath fading)
  - If there is also a light-of-sight component, then the distribution is Rician
- **Slower fading**
  - Shadow fading - a lognormal distribution

Three common measurements of the channel:

- **Word Error Indicator (WEI)** - based on the receiver being able to decode the received signal correctly

- **Received Signal Strength Indication (RSSI)** - a measure of the received signal strength (in units of dB)[1]

- **Quality Indicator (QI)** - related to the signal to interference & noise ratio (S/I) (in units of dB)

---

1. For some experimental indoor measurements of received IEEE 802.11b RSSI values as a function of distance and angle see the upcoming Master Thesis by Haruumi Shiode.

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Reality is more complex
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Introduction 59 of 110
Mobile and Wireless Network Architectures

As the channel is varying in time and making the measurements takes time - various techniques are used to filter the RSSI and QI measurements:

- **window averaging** - simply average the last w measurements
- **leaky-bucket integration** - a simple one-pole low-pass filter

Various schemes exist to try to combat channel problems:

- **diversity techniques** (frequency hopping, multiple receivers, multiple correlators with variable delay lines, multiple antennas, …)
- **signal processing techniques** (bit interleaving, convolutional coding, equalizers, …)

For further information about these techniques - see: [3] .. [7].

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Reality is more complex
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Introduction 60 of 110
Mobile and Wireless Network Architectures

Who makes the handoff decision?

- **Network controlled handoff (NCHO)** - the network makes the decision
  - used in CT-2 Plus and AMPS
- **Mobile assisted handoff (MAHO)** - the mobile provides data which the network uses to make the decision
  - used in GSM and IS-95 CDMA
- **Mobile controlled handoff (MCHO)** - the mobile decides for itself
  - used in DECT, PACS, Mobile IP
    - **forward handoff** - mobile initiates handoff and sends the request to the *new* AP
    - **backward handoff** - mobile initiates handoff and sends the request to the *old* AP

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Who makes the handoff decision?
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Introduction 61 of 110
Mobile and Wireless Network Architectures

Inter-BS Handoff (aka inter-cell handoff)

When both cells are connected to the same MSC the mobile node (MN) can signal that it is going to change cells and identifies the new cell, then the MSC sets up the correct resources in the new cell, and can now deliver traffic to the mobile's new cell. In telephony systems this often involves setting up a "bridge" to copy traffic to both the new and the old channels.
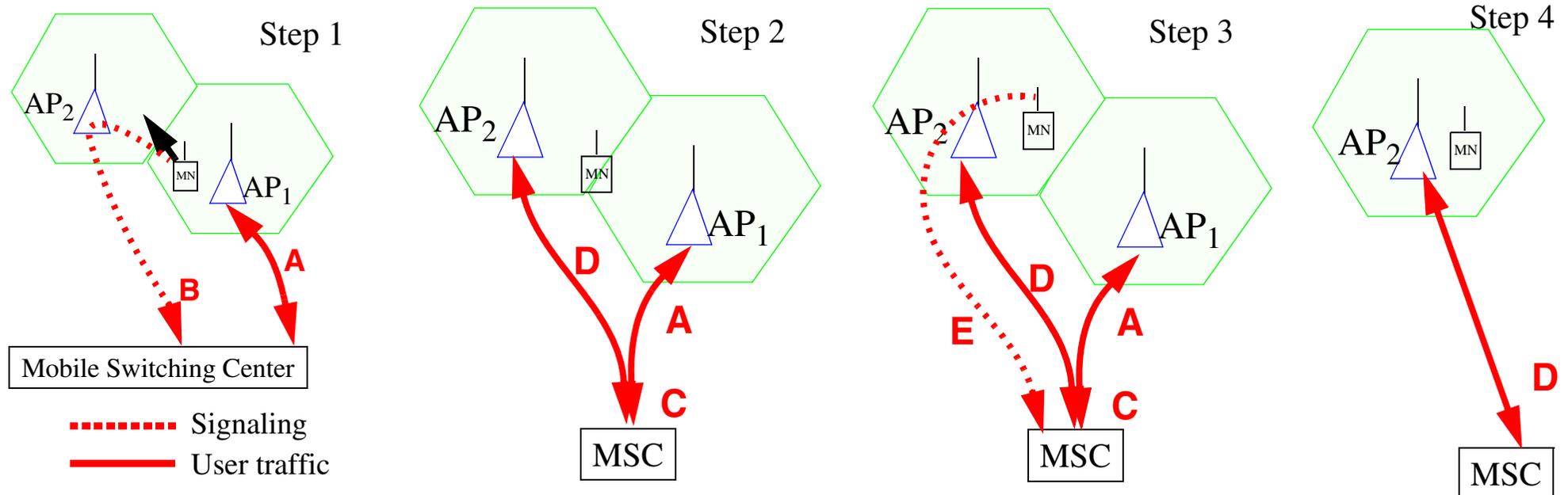
Figure 9: Steps in handoff within the control of one MSC (not showing the BSC)

1. Mobile (MN) is using AP_1, all traffic is going via a channel (A) between MSC (via BSC) and AP_1; MN signals via AP_2, its intention for upcoming handoff (via B)

2. MSC creates a bridge (C) and traffic is now sent via both channels (A) and (D)

3. MN signals (via E) that it is ready to use channel D

4. MSC eliminates bridge C and frees channel A, the MN now uses only channel D.

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Inter-BS Handoff (aka inter-cell handoff)
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Introduction 62 of 110
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What happens if there are insufficient resources at new AP?

Nonprioritized scheme (handoffs are treated the same as new calls)

- If handover is blocked, the keep using the existing channel until either:
  - call is over or
  - link fails (or forced termination)

To reduce forced termination and improve "call completion" statistics:

- **Reserved channel scheme** - keep some resources available for handovers (i.e., they under commit)
- **Queuing priority scheme** - exploit cell over lap (called a "handover area" if it exists) to enqueue mobiles waiting for handover
- **Subrating scheme** - downgrade an existing call in the new cell and split the resources with the call being handed over ($\Rightarrow$ the call being handed over is also downgraded). Downgrading often involves changing from a full-rate to a half-rate CODEC.

Some operators base their decision on what to do on **how valuable the handoff customer is** vs. current customers being served in the new cell, i.e., high value customers can cause existing calls of other customers to be terminated.

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What happens if there are insufficient resources at new AP?
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Introduction 63 of 110
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Inter-system Handoff (aka inter-MSC handoff)

When the two cells are connected to different MSCs the situation is more complex.
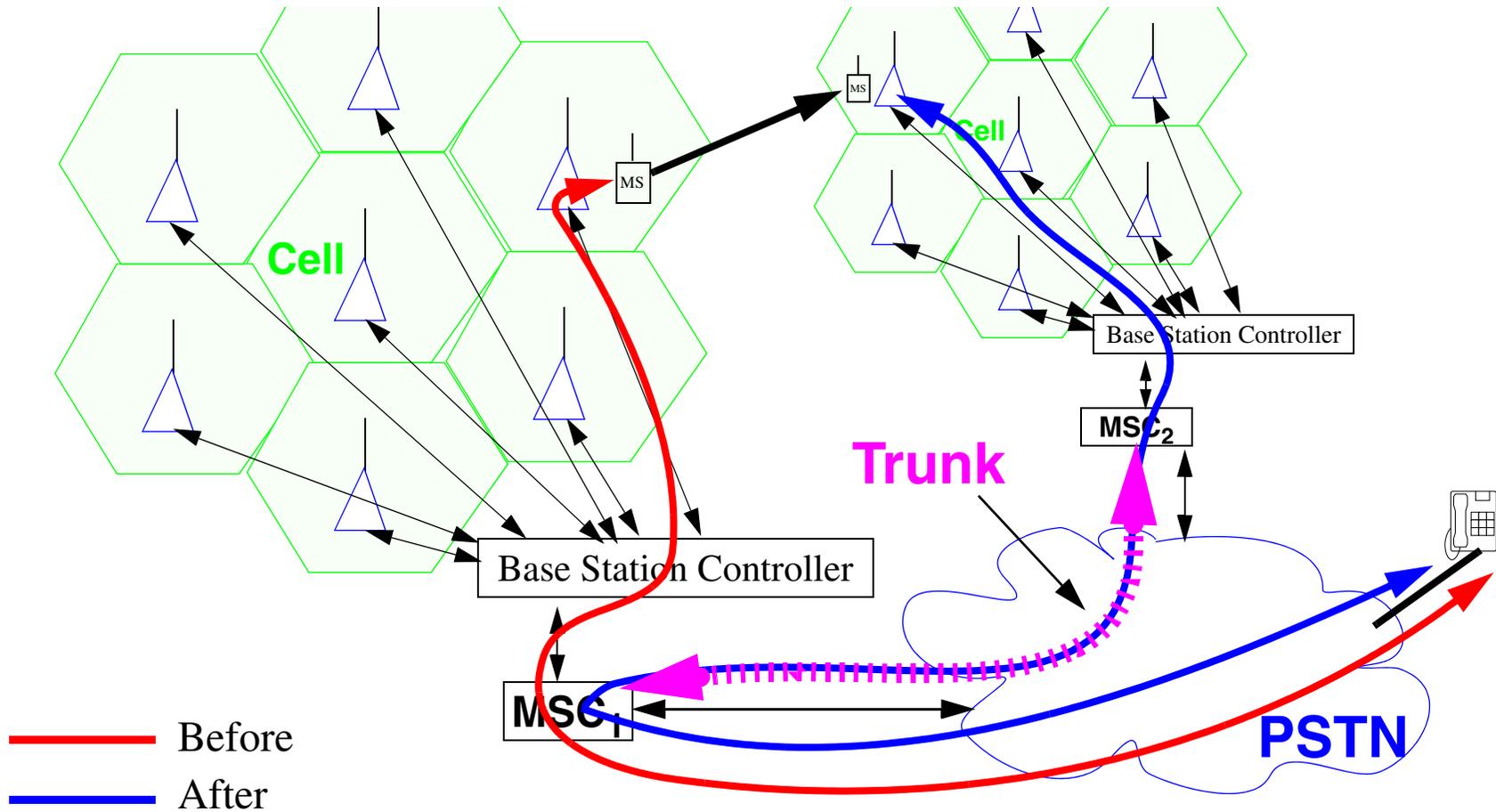
Figure 10: Handoffs between two MSCs

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Inter-system Handoff (aka inter-MSC handoff)
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Introduction 64 of 110
Mobile and Wireless Network Architectures

What happens if the mobile moves gain?

a. Forward handoff

b. Backward handoff

c. Handoff to a third MSC

c. Path minimization

Figure 11: Handoffs between multiple MSCs
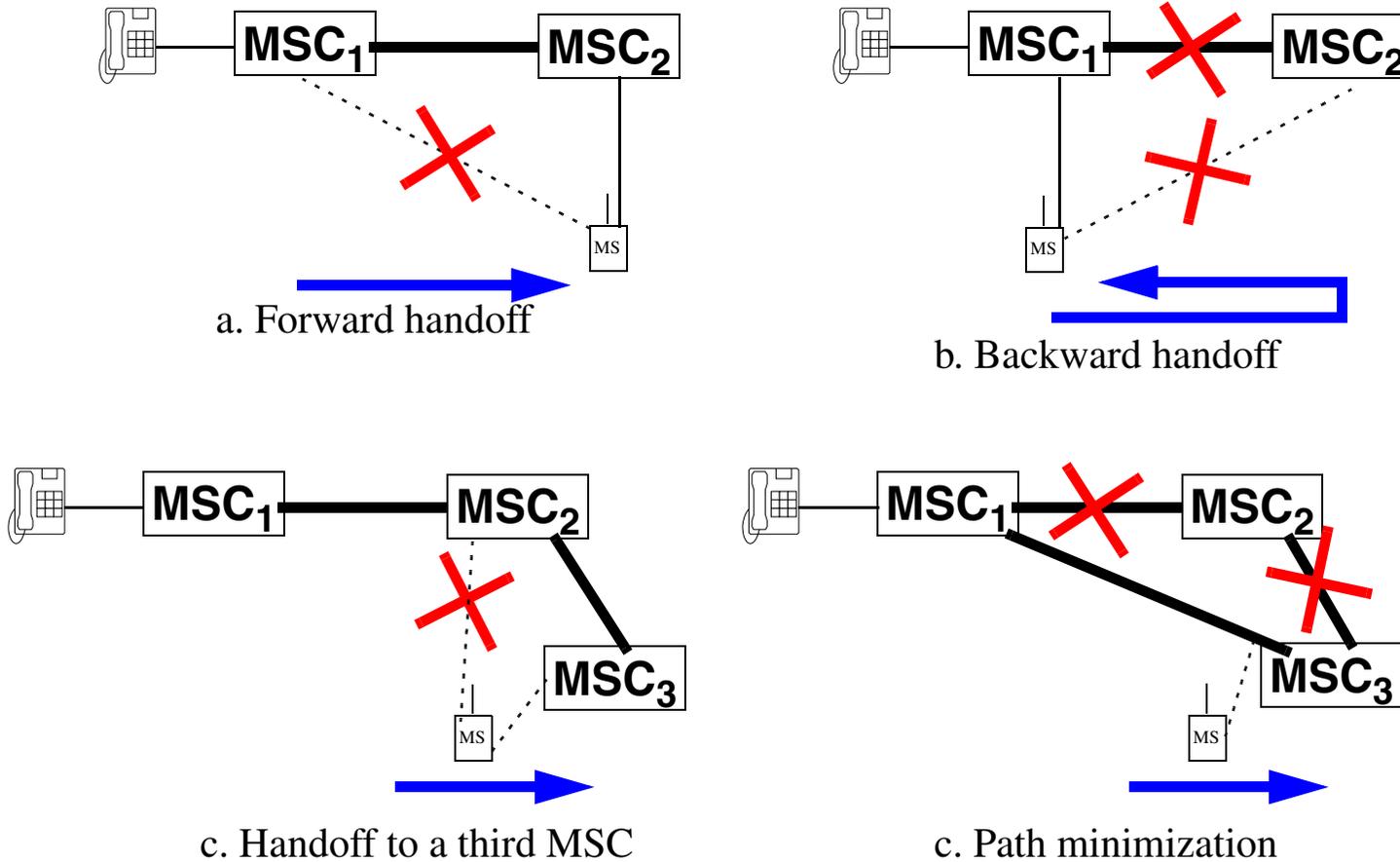
Note that the call always goes via the so-called **Anchor MSC** (MSC_1), because the phone attached to the PSTN knows nothing about mobility and the originating exchange thinks the call is still in existence (i.e., there was no termination and set up of a new call to or from the fixed phone).

Note: Without path minimization the chain of trunks between MSCs could continue to grow *as long as the call lasts* **and** the *mobile keeps moving* to new MSCs. With voice calls, the call duration is generally rather limited, but with data it could continue for a very long time $\Rightarrow$ we will need to use another model for dealing with data (addressed later in the lectures).

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What happens if the mobile moves gain?
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Introduction 65 of 110
Mobile and Wireless Network Architectures

Fast Mobile IPv4 handoff via Simultaneous Bindings

The **Simultaneous Binding** option in Mobile IPv4 allows the Mobile Node to establish a binding for the new AP with its home agent (*before* a handoff). The Home Agent now duplicates all packets destined for the MN for the time of the handoff and relays all data to **both** the old and the new APs. Thus the MN performs the handoff by simply reconfiguring its interface -- which it can generally do within a very short interruption time, i.e. often less than 10ms. When the MN physically connects to the new network, it will find that the packets destined for it are already arriving there!

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Fast Mobile IPv4 handoff via Simultaneous Bindings
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Introduction 66 of 110
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Fast handover timeline

Traditional Mobile IP: "break before make"

disconnect → connect → get new → inform → transmission → receive
address   home agent   via new AP   data

>300ms or more

Interruption Time
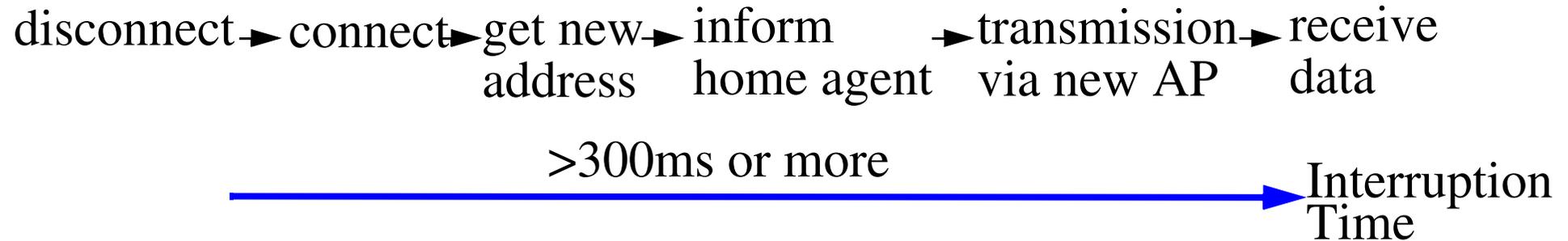
Enhanced Mobile IP: "make before break"

get new → inform → transmission → disconnect → connect → receive
address   home agent   via new AP   data

<10ms

Interruption Time
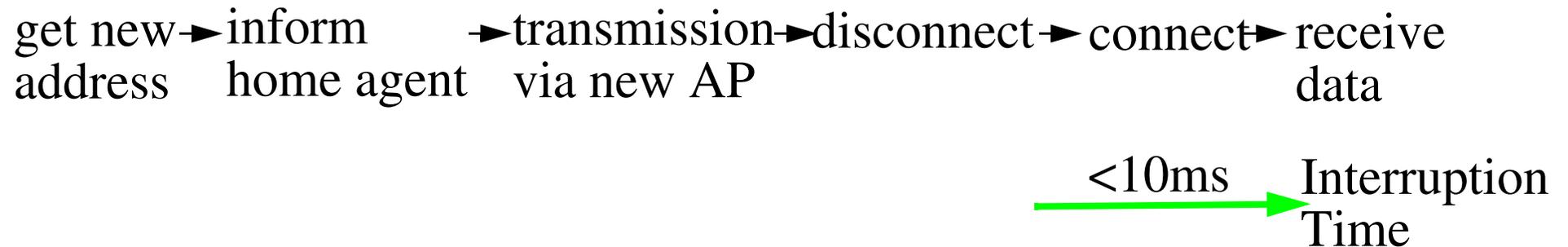
Figure 12: Fast handover timeline [a]

a. Figure adapted from http://www.ccrle.nec.de/Figure3.gif which is part of http://www.ccrle.nec.de/Handoff.htm - web page is no longer available, but the Internet Archive has a copy of the text at: http://web.archive.org/web/20021121192850/http://www.ccrle.nec.de/Handoff.html

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Fast handover timeline
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Introduction 67 of 110
Mobile and Wireless Network Architectures

Roaming

Roaming occurs when a user of one PCS is using the services of **another** PCS.

- Roaming is generally based on "roaming agreements" between the operators of the involved PCS systems; i.e., the user's home operator agrees to pay the other PCS operator(s) for carrying <u>this</u> **mobile user**'s traffic.

  - Note: the agreement is generally about the **user** (often referred to as a "subscriber")- not a specific **device**, thus a user is free to change devices to access the new PCS network. This of course may complicate the authentication, authorization, and accounting (AAA) processes.

- As a side of effect of authenticating and authorizing the user to access the new PCS, the home PCS's mobility database is updated to reflect the fact that this user in located in the other PCS - thus traffic arriving for this user can (should?) be forwarded/redirected to the user's current location. Clearly this raises both:

  - policy decisions: Should *this* specific traffic be redirected? Should *all* traffic be redirected? Should this location be reported? …) and
  - accounting questions (*Who pays* for carrying the redirected traffic? Is there a *base charge for roaming*? …)

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Roaming
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Introduction 68 of 110
Mobile and Wireless Network Architectures

User roaming
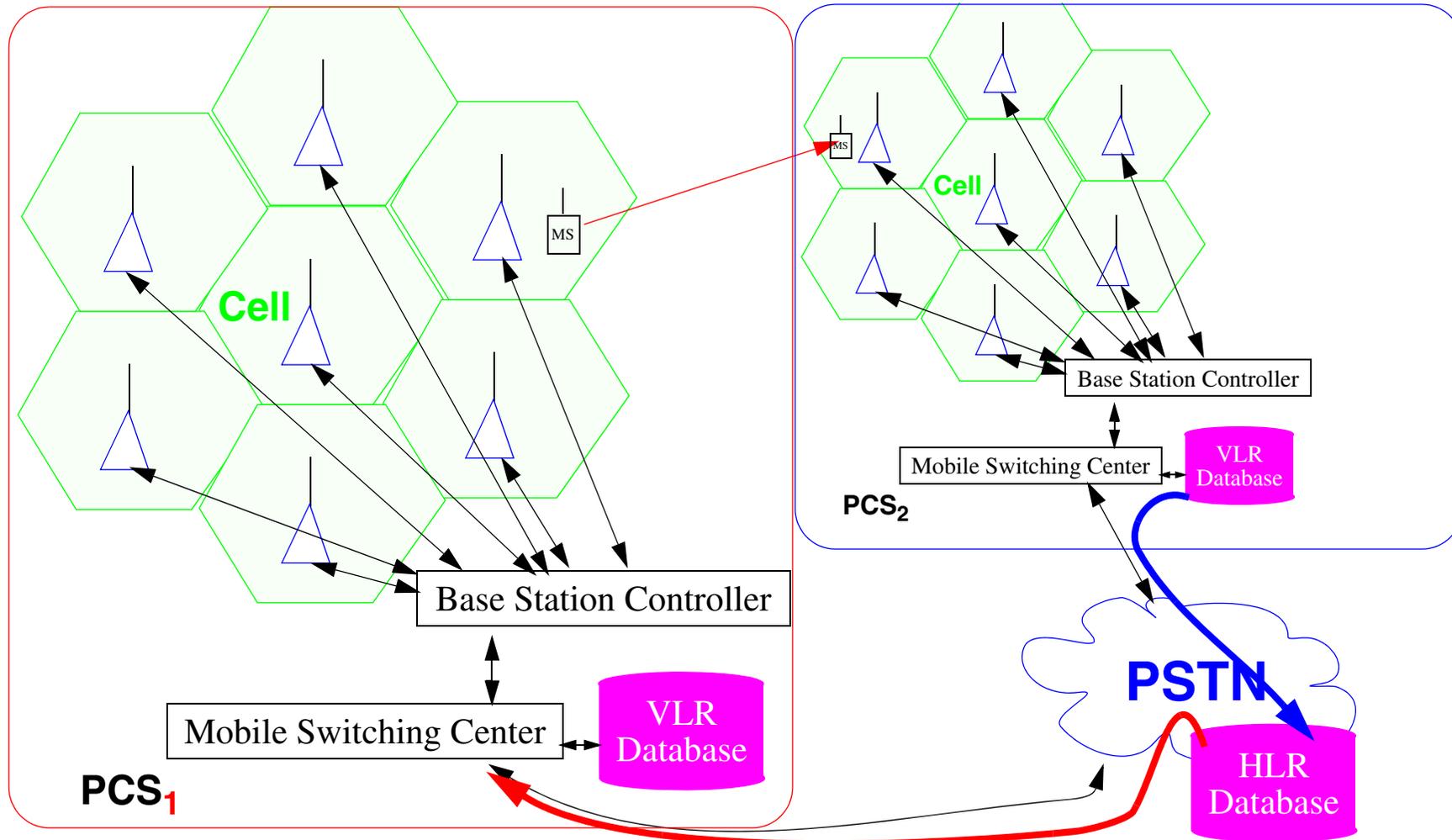
Figure 13: Mobile roams from PCS$_1$ to PCS$_2$ (Neither is the home PCS)

When the mobile moves to PCS$_2$ the local VLR is updated, the HLR is updated, and the former VLR is also updated.

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User roaming
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Introduction 69 of 110
Mobile and Wireless Network Architectures

Roaming Management

Two parts:

- **registration** (location update) - process whereby MS informs the system of its **current** location
- **location tracking** - the process of locating the user to deliver a call

EIA/TIA Interim Standard 41 (IS-41 or ANSI-41) and Global System for Mobile Communications (GSM) Mobile Application Part (MAP) both define a two-level strategy - which uses two tiers of databases:

- **home location register** (HLR) - exists at the user's *home system*
- **visitor location register** (VLR) - a temporary record at the *visited system*

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Roaming Management
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Introduction 70 of 110
Mobile and Wireless Network Architectures

Roaming example

Gunvor (from Kiruna) has been visiting in Göteborg, now arrives in Stockholm
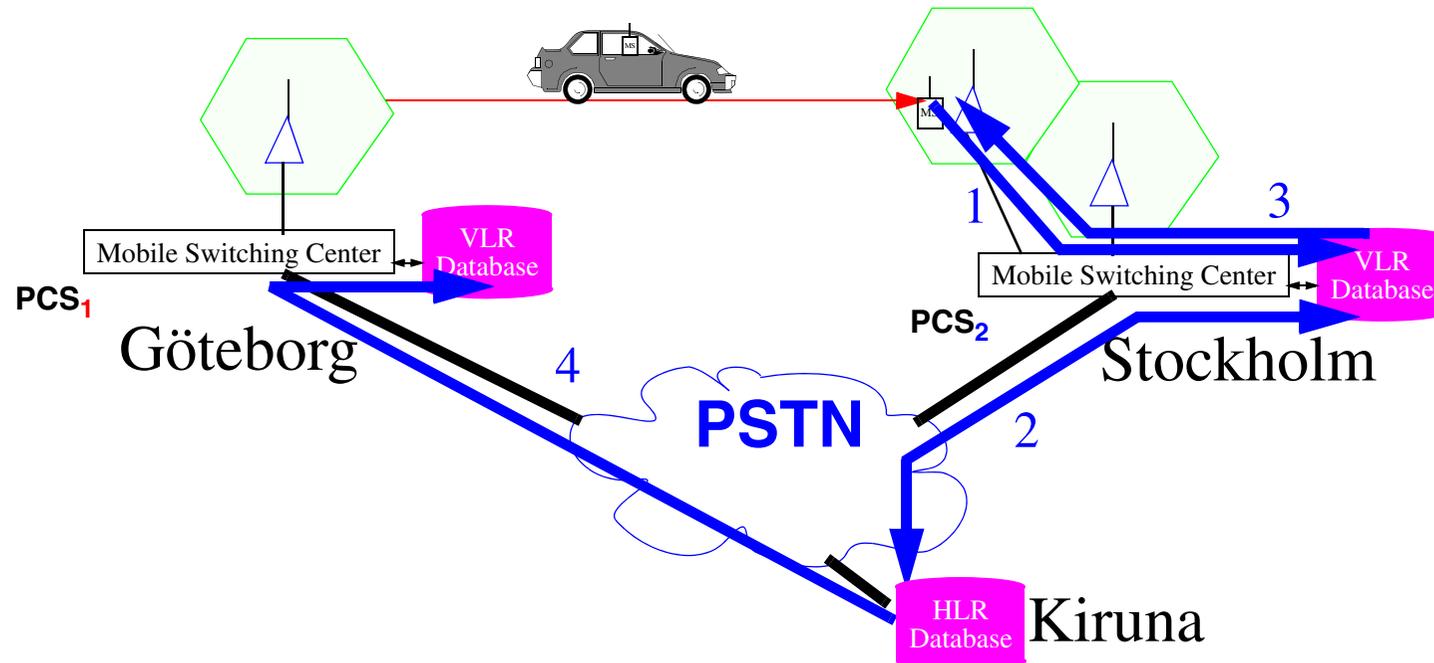
Figure 14: Mobile roams from PCS$_1$ to PCS$_2$

1. When the user (and her MS) arrives in Stockholm, her MS has to register with the VLR for PCS$_2$.

2. PCS$_2$'s VLR informs the user's in Kiruna HLR of the user's current location (i.e. that the HLR should point to the VLR in PCS$_2$). The HLR sends the user's profile to PCS$_2$'s VLR.

3. PCS$_2$'s VLR informs the mobile (MS) that is has successfully registered.

4. HLR informs PCS$_1$'s VLR to remove their entry for the user.

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Roaming example
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Introduction 71 of 110
Mobile and Wireless Network Architectures

Of course it couldn't be this simple!

Discussion left out all the interactions within the PCS (i.e., details of channel assignment & signaling within the cells, between the base station & base station controller, and between the BSC & the MSC) -- it also left out all the interactions with the PSTN[1]. To *reduce the cost of registration* one can utilize a **forwarding pointer scheme**:

- Move operation (**registration**) - when moving from VLR to VLR, enter a forwarding pointer into the previous VLR, rather than notifying the HLR
- Find operation (**call delivery**) - when a call comes to the home system, walk the chain and then update the HLR.

Reducing the cost of deregistration:

- **implicit deregistration** - only delete records from the VLR when you need the space
- **periodic reregistration** - MS periodically registers with the VLR, if no reregistration within a timeout period, then their record is deleted

---

1. Section 2.3 "Roaming Management under SS7" [2] describes some of the details of the later.

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Of course it couldn't be this simple!
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Introduction 72 of 110
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Call delivery

An originating Switching Point (**SSP**) (or alternatively a Signal Transfer Point (**STP**)) maintains a cache of the **Mobile Identification Number** (MIN) and the current VLR) - it examines this cache - there are three outcomes:

1 Cache entry not found $\Rightarrow$ do the lookup of MIN's HLR via **Global Title Translation** (GTT)

2 Cache entry exists **and** is current $\Rightarrow$ do a lookup in the VLR

3 Cache entry exists, but is **obsolete** $\Rightarrow$ do the lookup of MIN's HLR via **Global Title Translation** (GTT)

Determining that the cache entry is (*probably*) current is generally done with heuristics.

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Call delivery
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Introduction 73 of 110
Mobile and Wireless Network Architectures

CT2

Section 2.4 of [2] describes how CT2 as a call **originating only** system, hence it did **not** need location services, but that it could be *extended* via:

1   sending a page to a user

2   then the user calls into a meeting point - which patches the two (or more) callers together

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CT2
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Introduction 74 of 110
Mobile and Wireless Network Architectures

Back to: Who makes the handoff decision?

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Back to: Who makes the handoff decision?

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Introduction 75 of 110

Mobile and Wireless Network Architectures

Network controlled handoff (NCHO)

Network controlled handoff (NCHO) - the network makes the decision

- BS monitors the signal strength and quality from the MS
- Network uses multiple (current and surrounding) BSs to supervise the quality of all current connections by making measurements of RSSI
- MSC makes the decision when and where to effect the handoff
- Heavy network signaling traffic and limited radio resources at BSs prevent frequent measurements of neighboring links $\Rightarrow$ long handoff times.

Handoff times: upto 10 seconds or more

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Network controlled handoff (NCHO)
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Introduction 76 of 110
Mobile and Wireless Network Architectures

Mobile assisted handoff (MAHO)

Mobile assisted handoff (MAHO) - the mobile provides data which the network uses to make the decision; essentially it is a variant of network controlled handoff - but uses the mobile to help reduce the handoff times.

For example, in GSM the MS transmits measurements twice a second
$\Rightarrow$ GSM handoff execution time ~ 1 second

Note in both NCHO and MAHO - if the network can **not** tell the mobile about the new channel/time slot/… to use *before* the link quality has decayed too far, then the call may be terminated.

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Mobile assisted handoff (MAHO)
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Introduction 77 of 110
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Mobile controlled handoff (MCHO)

The mobile decides for itself (by monitoring signal strength and quality from the current and candidate base stations), when it finds a "better" candidate it initiates a handoff. In MCHO most of the work is done by the mobile (as it knows who it can hear, how well it can hear them, and can even consider its battery level, etc.)

Two common handoffs:

- **automatic link transfer** (ALT) - transfer between two base stations
- **time slot transfer** (TST) - transfer between channels of a single BS

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Mobile controlled handoff (MCHO)
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Introduction 78 of 110
Mobile and Wireless Network Architectures
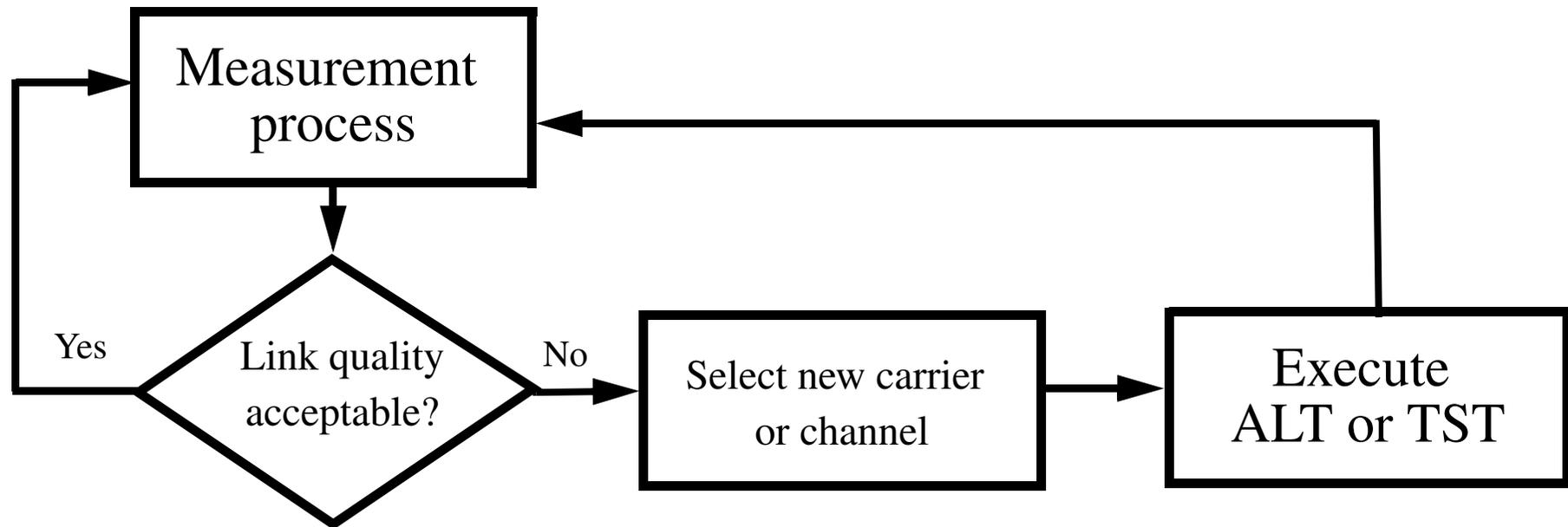
Figure 15: MS-quality maintenance processing

Different systems use different approaches to the measurement process. For example, some DECT implementations can measures the RSSI of all channels simultaneously. In other systems, the measurement of other channels is done when the device is itself not transmitting or receiving.

Handoff times: DECT 100-500ms, PACS 20-50ms.

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Mobile controlled handoff (MCHO)
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Introduction 79 of 110
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Handover Failures

- No available channel/link resources in the new **BS**
- Insufficient resources as determined by the **network** (for example, no available bridge, no suitable channel card {for example, no support for the voice CODEC in use or for the available radio link coding})
- It **takes too long** for the network to set up the new link
- **Target link fails** during handoff

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Handover Failures
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Introduction 80 of 110
Mobile and Wireless Network Architectures

Channel Assignment

Goals:

- achieve high spectrum utilization
- maintain a given service quality
- use a simple algorithm
- require a minimum number of database lookups

Unfortunately it is hard to do all of these at once!

If there is no available channel, then

- new calls are **blocked**
- existing calls that can't be handed over $\Rightarrow$ **forced terminations**

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Channel Assignment
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Introduction 81 of 110
Mobile and Wireless Network Architectures

Channel Assignment Process

- **Fixed Channel Assignment** (FCA)
- **Dynamic Channel Assignment** (DCA)
- **Quasi-static autonomous frequency assignment** (QSAFA)
- …

Lots of schemes have been introduced to reduce the number of forced terminations, at the cost of increased blocking or decreased efficiency:

- **Nonprioritized scheme** (NPS) - handoff call treated the same as a new call
- **Reserved Channel scheme** (RCS)- reserves some resources for handoffs
- **Queuing Priority scheme** (QPS) - exploit the over lap (handoff area)
- **Subrating scheme** (SRS) - switching CODECs of one or more calls to free resources

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Channel Assignment Process
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Introduction 82 of 110
Mobile and Wireless Network Architectures

Handoff Management: Radio Link Transfer

We will not cover the details of the radio link, but will examine some key ideas.

hard handoff   mobile connects only to a single base station at a time

soft handoff   mobile receives/transmits from/to multiple BSs simultaneously

In soft handoff, the network and perhaps the mobile have to figure out how to combine the information from the multiple basestations (in the up and down links respectively).

Link transfers:

1   Intracell

2   Intercell or inter-BS

3   Inter-BSC

4   Intersystem or inter-MSC

5   Intersystem between two PCS networks

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Handoff Management: Radio Link Transfer

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Introduction 83 of 110

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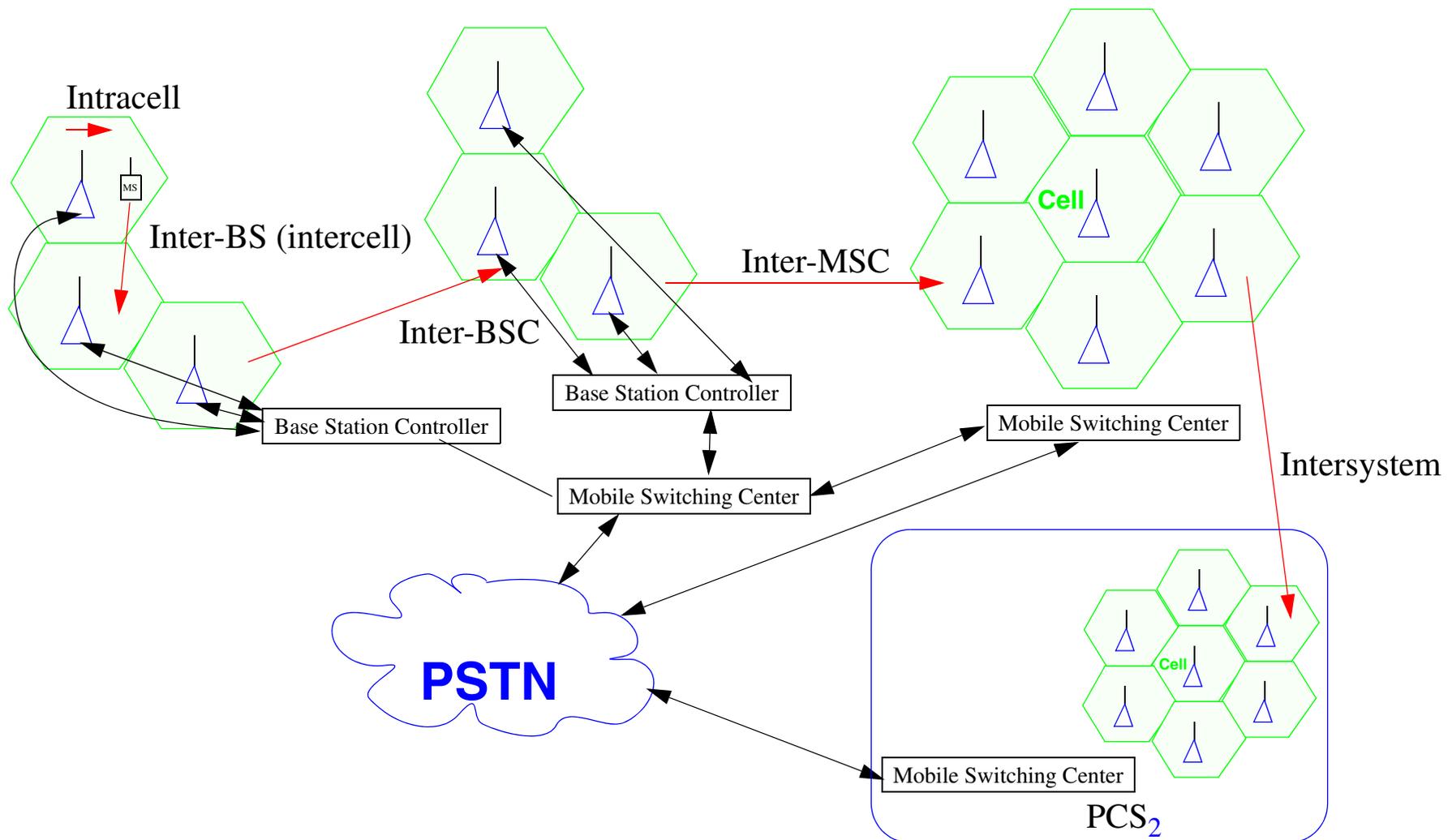
Figure 16: Handoffs, mobile moves within PCS$_1$ and then on to PCS$_2$

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Handoff Management: Radio Link Transfer
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Introduction 84 of 110
Mobile and Wireless Network Architectures

Handoff frequency

With a cellular voice call of 1 minute duration[1]:

Type of handoff	Probability
inter-BS	0.5
inter-BSC	0.1
inter-MSC	0.05

---

1. Note that the probabilities shown are not exact, but rather simply representative of the general order pf magnitude one might expect.

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Handoff frequency
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Introduction 85 of 110
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Soft handoff in multiple forms
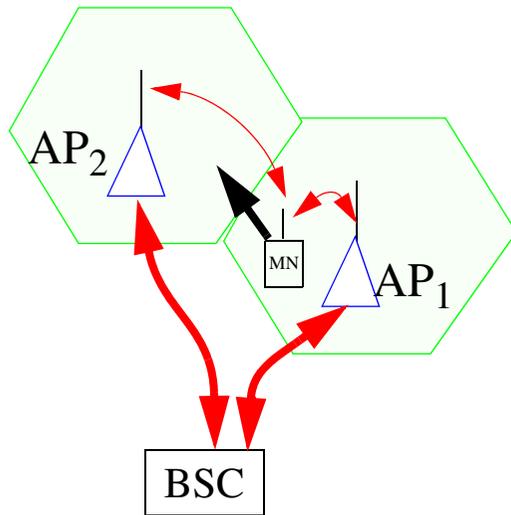
Within one BSC   With Two BSCs   With Two MSCs   Between systems
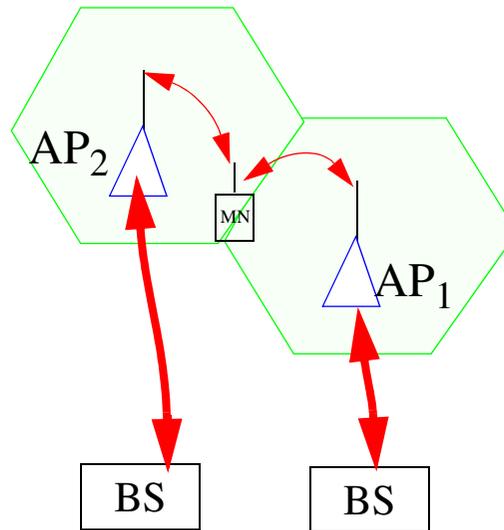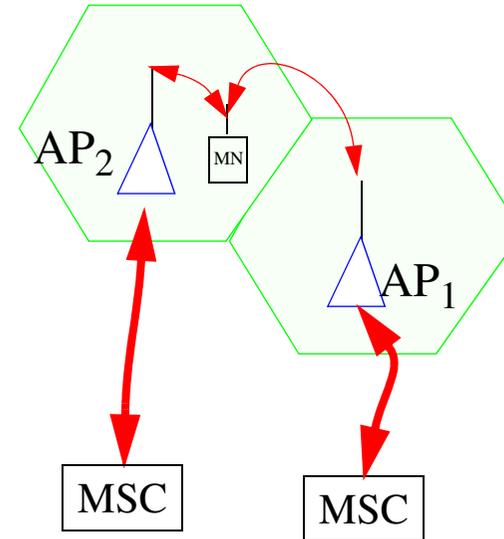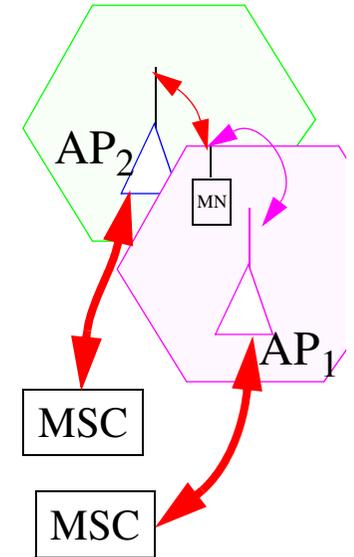
Figure 17: Soft handoffs

Some CDMA systems use very precise link level timing to enable the signals from multiple BSs to arrive additively at the mobile - thus leading to a physically stronger signal.

Soft handoffs between systems generally will require that the mobile be able to receive multiple signals - which will use different codes, frequencies, … .

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Soft handoff in multiple forms
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Introduction 86 of 110
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Paging

Originally a one-way personal altering/messaging system invented by Charles Neergard in 1949 (annoyed when hospitalized by the voice paging over the public address system).

A transmitter sends a stream of addresses and messages. **Pagers** listen for their address (also called a **cap code**).

Cap Code	beep (one of ~4 tones) when the pager's address is received by the pager
Tone voice	1970's, allows the sender to record and send a short voice message
Digital display	early 1980's, a callback number (or code) entered by the sender, which appears on the pager's display
Alphanumeric	late 1980's, display a text message

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Paging
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Introduction 87 of 110
Mobile and Wireless Network Architectures

Pager
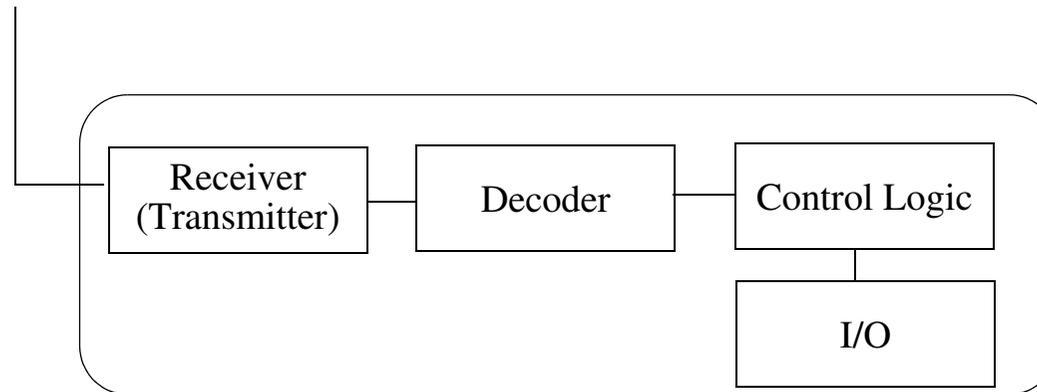
I/O can be a display, a beeper, keypad, audio input/output, vibrator, … .

Control logic supports:

- duplicate message detection
- message locking (to keep message from being overwritten)
- message freezing (to keep message on the screen)
- altering modes (beep, vibrate, …)
- power management

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Pager
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Introduction 88 of 110
Mobile and Wireless Network Architectures

Paging Architecture
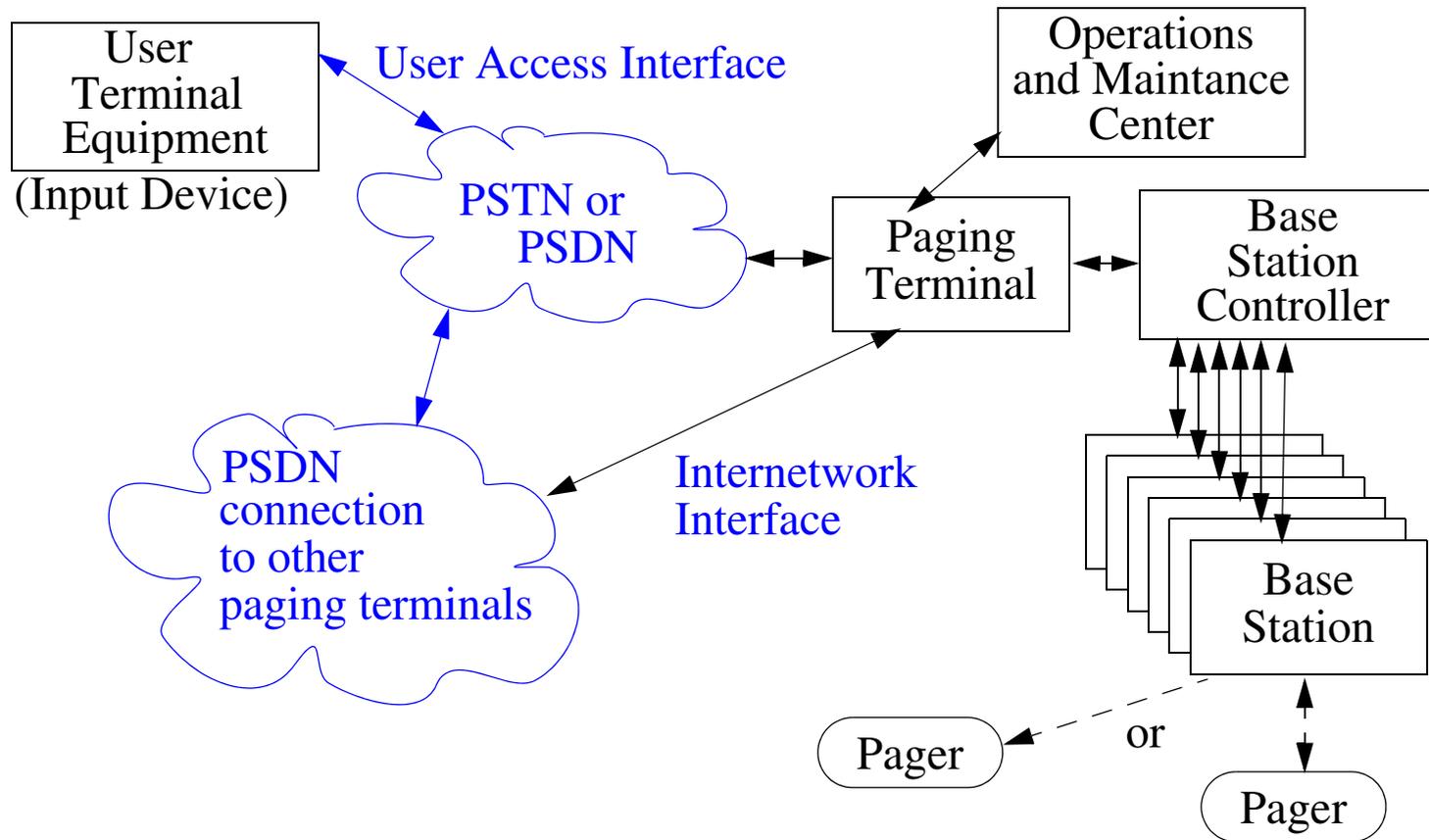
Paging terminal has database of customers, cap code, pager number, types of messages, …; converts voice message to text (for alphanumeric pagers); store in mailbox for pager; forward to other paging terminals; send to relevant Base Station Controller(s)

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Paging Architecture
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Introduction 89 of 110
Mobile and Wireless Network Architectures

Paging Service area

Service areas: site, local area, region, national, international

If the user temporarily left the paging service area or if the signal could not reach them, then they would miss it. Motorola's ReFLEX technology, a two-way paging system, keeps transmitting a paging message until the user's pager sends a confirmation that it has been received.

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Paging Service area
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Introduction 90 of 110
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Introduction of paging systems

+cc xxxx | exchange | paging company | Operator X

+cc pppp

1. page

Operator A

+cc eeee | Exchange

Operator B

Exchange | +cc ffff

...

...

3.

+cc eeee d123

+cc eeee d124

+cc ffff d123

+cc ffff d124

2. user moves to a phone | User₁ | pager
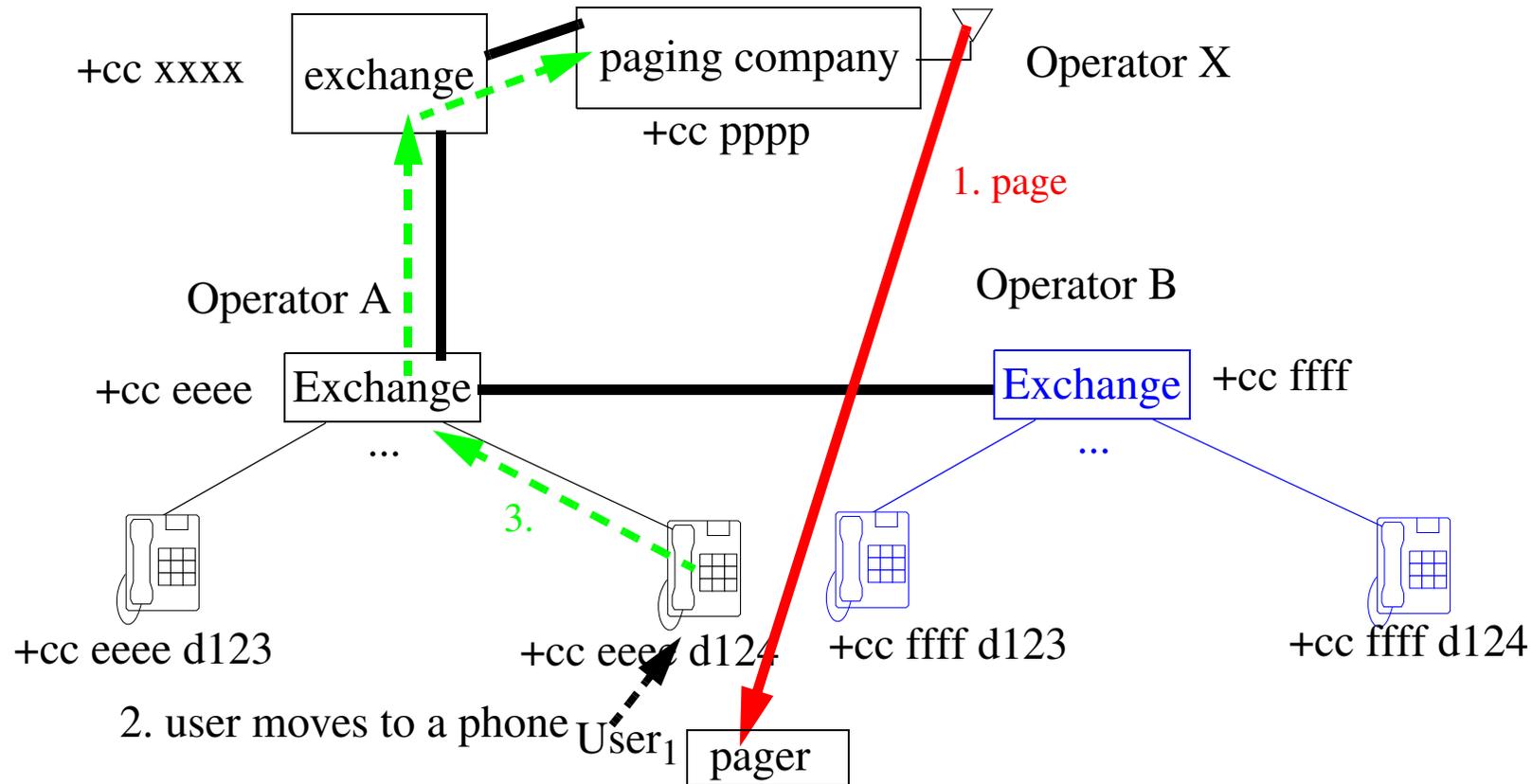
Upon a page (1), user moves to nearest phone (2), calls paging company (3), company operator tells the user what telephone number to call - perhaps they (also) convey a short message. The mobile user can be contacted and told by the operator at the paging company to connect to the fixed telephone network. [i.e., make a temporary connection to the (voice) network.]

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Paging Service area
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Introduction 91 of 110
Mobile and Wireless Network Architectures

Alphanumeric paging systems

+cc xxxx exchange

paging company
+cc pppp

Operator X

1. page

Operator A

+cc eeee Exchange

...

3.

Operator B

Exchange +cc ffff

...

+cc eeee d123

+cc eeee d124

+cc ffff d123

+cc ffff d124

2. user moves to a phone

message (perhaps with telephone# in it)

pager User₁
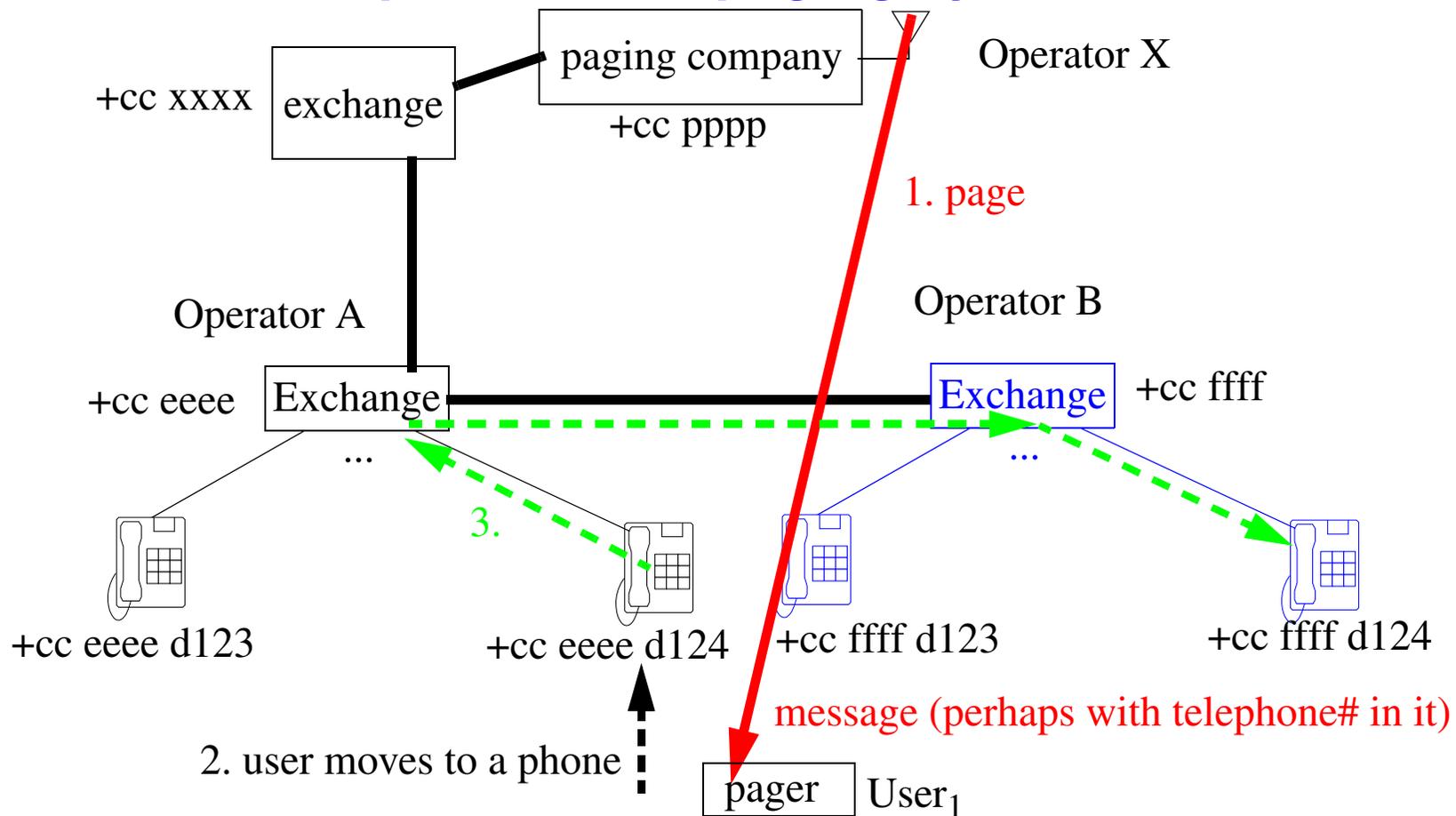
Upon a page (1), user moves to nearest phone (2), calls a number based on the content of the (page) message (3); or perhaps they just consume the short message they received. The mobile user can be contacted and told by a message to connect to a given number on the fixed telephone network.

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Introduction 92 of 110
Mobile and Wireless Network Architectures

Mobile telephone systems

Operator X

+cc xxxx | exchange — mobile telephone company | **SMR, NMT, GSM, …**
+cc mmmm

Operator A

+cc eeee | Exchange

...

+cc eeee d123 +cc eeee d124 +cc ffff d123

Operator B

Exchange | +cc ffff

...

+cc ffff d124

+cc mmmm d125 mobile call User₁
pager
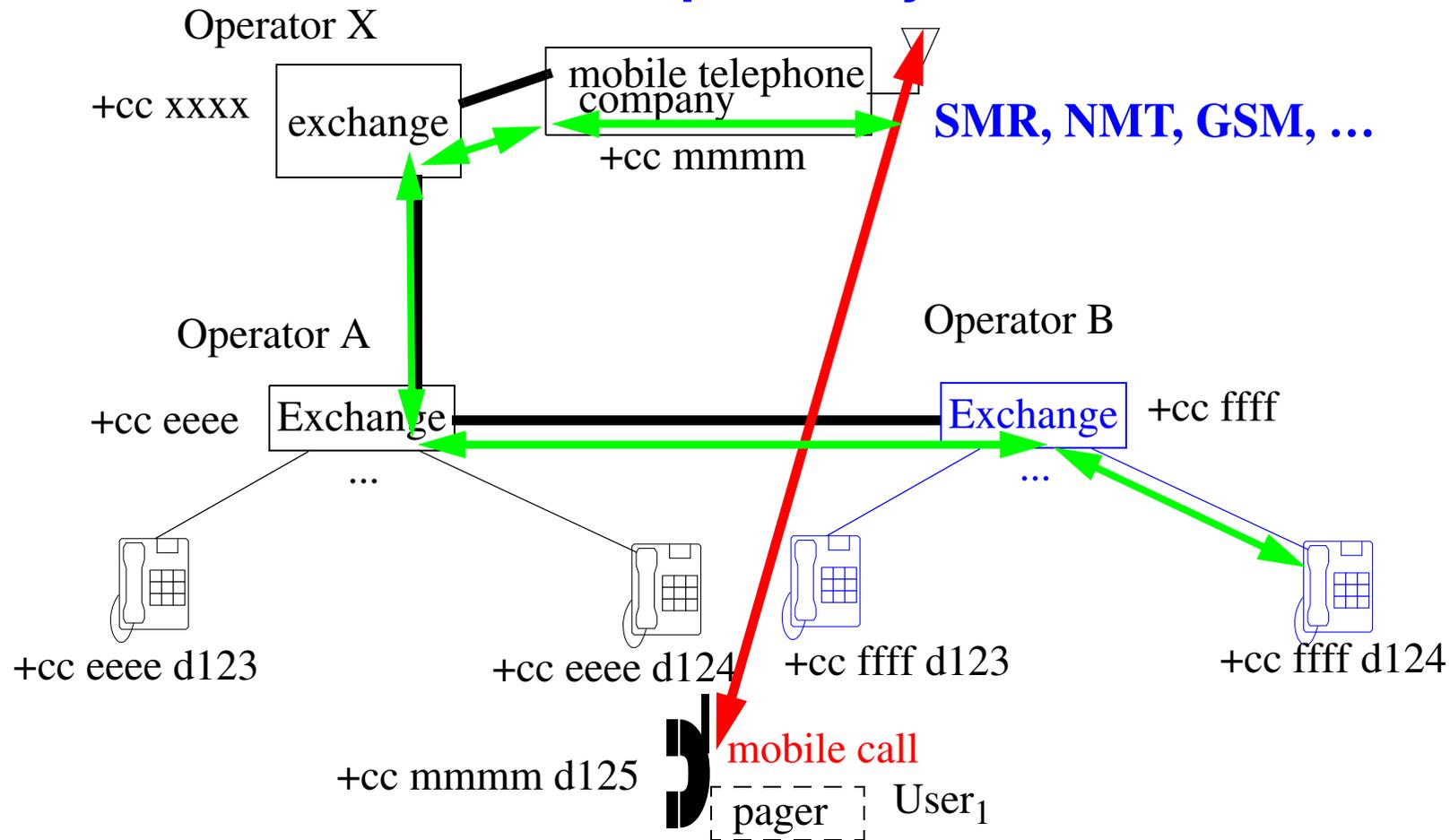
The mobile user is directly reached by the call through the mobile telephone network.

SMR (Specialized Mobile Radio) is a non-cellular radio system.

NMT (Nordic Mobile Telephone), GSM (Groupe System Mobile), and PCS are cellular radio systems.

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Paging Service area
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Introduction 93 of 110
Mobile and Wireless Network Architectures

Mobile but not necessarily wireless

Operator X
+cc xxxx

exchange

"mobile" telephone company
+cc mmmm

...
+cc mmmm yyyy $\Rightarrow$ +cc eeee d123
...

Operator A
+cc eeee

Exchange

...

Operator B
+cc ffff

Exchange

...

+cc eeee d123

+cc eeee d124

+cc ffff d127

+cc ffff d124

User$_1 \equiv$ +cc mmmm yyyy (a personal phone number, e.g., +1 700 xxx xxxx, …)
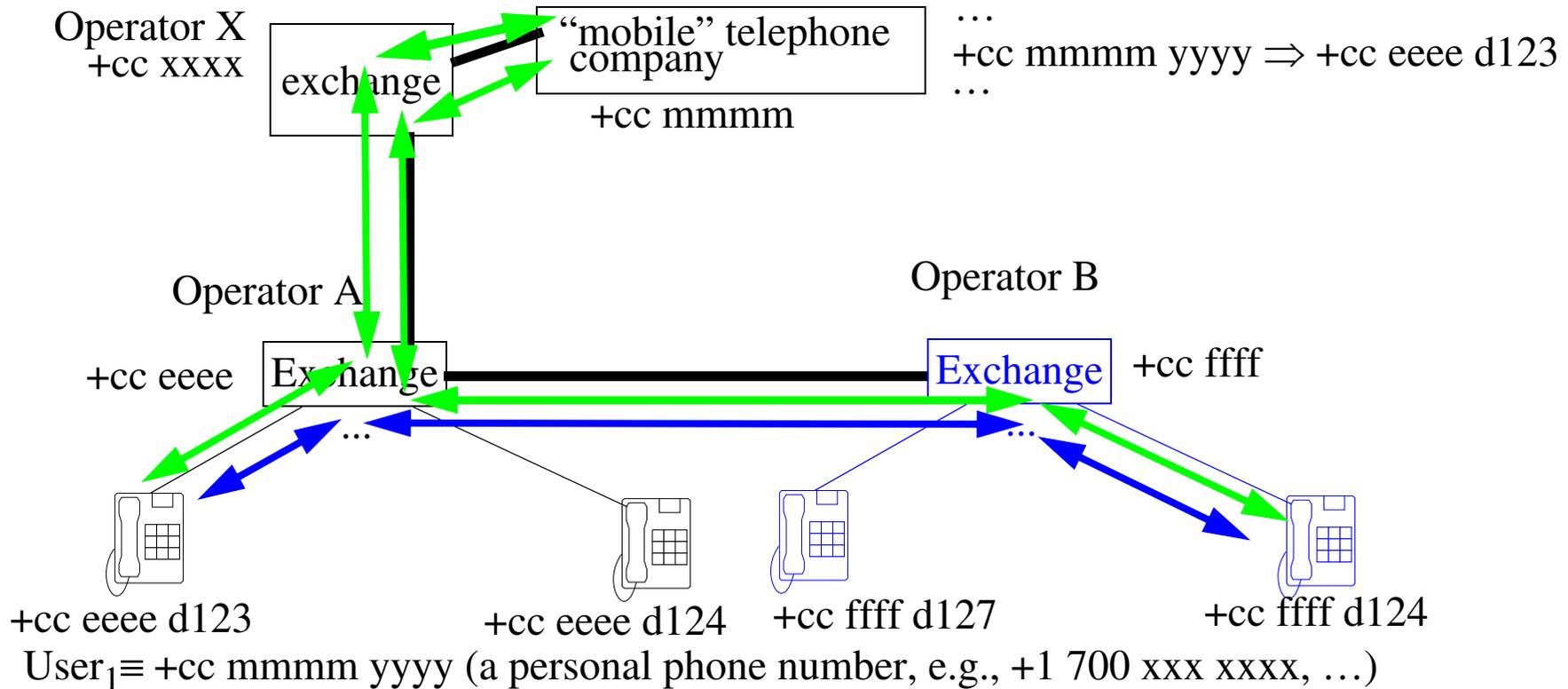
The mobile user is indirectly reached through the fixed telephone network.

- The connection can be via the "mobile" company (hiding the actual location of the user) or

- via redirect directly to the current location of the user.

Thus the mobile operator turns +cc mmmm yyyy into +cc eeee d123 [dynamic address translation].

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Introduction 94 of 110
Mobile and Wireless Network Architectures

Local mobility via wireless (or redirects)

The mobile user is reached by **local redirection** (which may utilize local wireless links) of the call coming from the fixed telephone network.

- The local exchange is playing the role of the "mobile" company (hiding the actual location of the user).
- There are multiple instruments (terminals) and user is currently associated with a list of them
- Could involve a non-local redirect

To the external world the user looks like they are always at +cc eeee d123, which the local PBX maps into a specific extension (at the time of the call).

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Introduction 95 of 110
Mobile and Wireless Network Architectures

Two-way paging and messaging systems
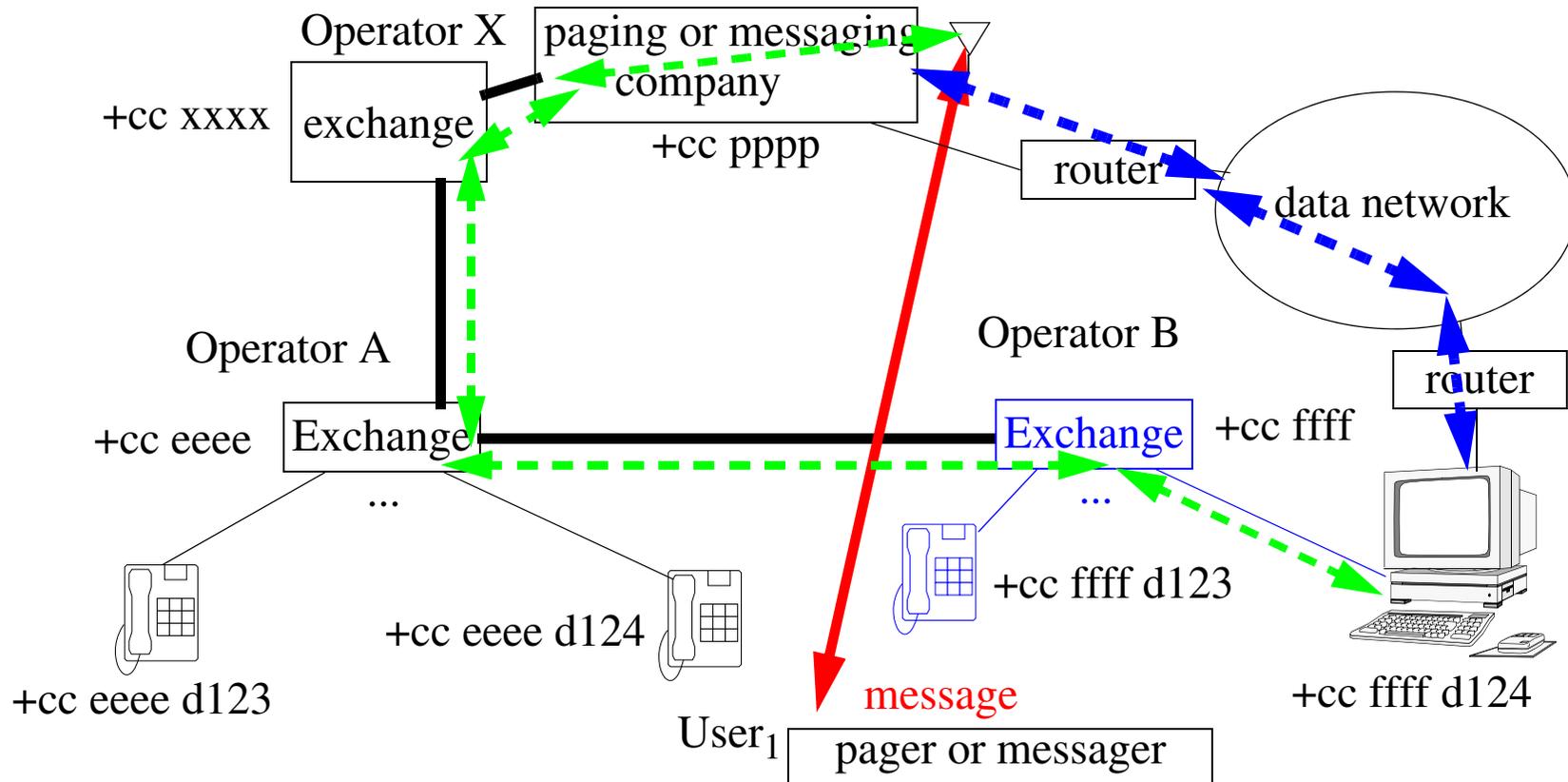
Two-way paging or messaging allows exchange of digital messages.

- Traditionally the paging or messaging system was a separate data network, but GSM's **Short Message Service** provides alphanumeric messaging via the GSM infrastructure.

- The messaging device can also be a computer (PDA/notebook/…)

- Connection between the two users can be via the PSTN or a (public) data network

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Mobile and Wireless Network Architectures

Paging Interworking

- **Telocator Alphanumeric Protocol** (TAP), also known as IXO or PET, defines a 7-bit alphanumeric text message to be sent to paging receivers, with a block size of 256 characters and an effective message length of 1,000 characters

- **Telocator Data Protocol** (TDP) suite: a functional superset of TAP; adopted 1995; **Telocator Message Entry** (TME) protocol - the input protocol for TDP: two-way paging, priority paging, deferred paging, periodic paging, message forwarding, and message deletion.

- **Telocator Network Paging Protocol** (TNPP) used to create networks of paging terminals from different manufacturers (overcomes the proprietary protocols to/from paging terminals - such as Glenayre Link Module, Spectrum Data Link Handler)

Software:

http://en.tldp.org/HOWTO/mini/Pager/

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Paging Interworking
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Introduction 97 of 110
Mobile and Wireless Network Architectures

Paging - link level

- Older format: British Post Office Code Standards Advisory Group (**POCSAG**)
  - single operator, single frequency
  - maximum of 2 million users
  - two separate tones and then a burst of data; 576 bit preamble then multiple 544 bit batches

- ETSI's European Radio Message System (**ERMES**)
  - 35 bit radio identity code
  - effective transmission rate of 3750 bps
  - each hour is partitions into 60 cycles, each cycle partitioned into 5 subsequences, each subsequence is partitioned into 16 batches

- Philips Telecom's Advanced Paging Operations Code (**APOC**)

- Motorola's **FLEX** (further described on next slide)
  - signals have only a single tone preceding the data burst.
  - Interestingly FLEX paging data is _not_ encrypted.

- Motorola's **Generation II FLEX**
  - FLEX G1.9 protocol supports full roaming, time of day updates accurate to one hundredth of a second, and dynamic group messaging
  - Motorola's FLEXsuite™ applications, such as over the air programming, encryption, and compression utilize FLEX G1.9.
  - 1600 and 3200 symbols-per-second

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Paging - link level
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Introduction 98 of 110
Mobile and Wireless Network Architectures

Motorola's FLEX™ protocol[1]

Supports upto five billion individual addresses and up to 600,000 numeric pagers per channel. Channel can run at 1600 to 6400 bps as needed by operator.

- FLEXion™ an advanced voice paging protocol
  - Motorola's Portable Answering Machine - can receive and store voice messages,
  - digitally compresses voice messages
  - system is aware of the general location of the recipient's messaging unit, therefore sends the message from the closest paging transmitter
- ReFLEX™ a two-way messaging protocol
  - Motorola's Advanced Messaging Group has demonstrated the use of a ReFLEX two-way pager to access Hyper Text Markup Language (HTML) content.

160 FLEX technology-based systems in commercial operation in 36 countries, representing 93% of the world's paging subscriber base.

---

1. As of February 2002, Motorola transferred all their paging subscriber device product lines to Multitone Electronics plc, Basingstoke, UK
http://www.multitone.com/ -- this page was based on information from: http://www.motorola.com/MIMS/MSPG/FLEX/protocol/solution.html this URL is no longer valid

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Motorola's FLEX™ protocol
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Introduction 99 of 110
Mobile and Wireless Network Architectures

Sleeping for power savings

A major aspect of the link level paging protocols is to enable the pager to spend most of its time **sleeping**.

It does this by **knowing when to listen for its address** and in the case of Motorola if *as the address is being received* more bits fail to match than the error correction could possibly correct, then it goes to sleep immediately.

Some paging receivers don't even wake up the decoder unless the page may be for this device (thus the different parts of the page may be awakened separately).

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Sleeping for power savings
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Introduction 100 of 110
Mobile and Wireless Network Architectures

Mobile Telephone Systems Timeline
(the first two generations: analog + digital)

Year	Standard	System	Technology	Primary markets
1979	NTT's MCS-L1	First commercial mobile phone network	Analogue	Tokyo
1979	AMPS[a]	Advanced Mobile Phone System	Analogue	US (pre-commercial)
1981	NMT 450	Nordic Mobile Telephone		Europe, Middle East
1983	AMPS	Advanced Mobile Phone System	Analogue	North and South America
1985	TACS	Total Access Communication System	Analogue	Europe and China
1986	NMT 900	Nordic Mobile Telephony	Analogue	Europe, Middle East
1991	GSM	Global System for Mobile communication	Digital	World-wide
1992	GSM 1800	Global System For Mobile Communication	Digital	Europe
1993	CdmaOne(IS95)	Code division multiple access	Digital	North America, Korea (1995)
1994	D-AMPS(IS94)	Time Division Multiple Access	Digital	North and South America
1994	PDC	Personal Digital Cellular	Digital	Japan
1995	PCS 1900	Personal Communication Services	Digital	North America
2001	WCDMA	Wideband CDMA	Digital	Europe, Japan
2001	EDGE	Enhanced Datarate for Global Evolution	Digital	Europe
2002	CDMA2000	CDMA2000 1xEV-DO.	Digital	Korea

a. April 3, 1973 Motorola vice presidents Marty Cooper and John Mitchell made the first public demonstration of a call from a handheld wireless phone.

For more details see *http://www.umtsworld.com/umts/history.htm*

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Mobile Telephone Systems Timeline (the first two generations: analog + digital)
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Introduction 101 of 110
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4G/LTE starting to appear

TeliaSonera announced on 13 January 2010 their selection of 4G vendors using the 3GPP standard Long Term Evolution (LTE) and System Architecture Evolution (SAE) core technology.

They will build out a network in Sweden and Norway using equipment from Ericsson for the core network and equipment from Ericsson and Nokia Siemens Networks for the radio network.

As of the end of 2009, they had already introduced service in Stockholm and Oslo.

Samsung providing USB modem dongles.

Data rates upto 100 Mbps.

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4G/LTE starting to appear
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Introduction 102 of 110
Mobile and Wireless Network Architectures

References and Further Reading

Course book

[1] Yi-Bing Lin and Ai-Chun Pang, Wireless and Mobile All-IP Networks, John Wiley & Sons; 2005, ISBN: 0-471-74922-2
- Even more so that the earlier book [2], carefully note that some of the things which the authors have covered are *their own proposals*; but their ideas are worth understanding.

[2] Yi-Bing Lin and Imrich Chlamtac, *Wireless and Mobile Network Architectures*, John Wiley & Sons, 2001, ISBN 0-471-39492-0.
- See the summary in section 2.5 (and in each chapter) for more pointers to additional reading. Carefully note that some of the things which the authors have covered in ch. 2 are *simply their proposals and not (yet) implemented*; but their ideas are worth understanding.

Further details concerning physical and link layer wireless communication

[3] David J. Goodman, *Wireless Personal Communication Systems*, Addison-Wesley, 1997, ISBN 0-201-63470-8.
- Great coverage about the link layer details and general architectures of AMPS, IS-41, North American TDMA and CDMA, and GSM. Only very brief coverage of CT2, DECT, PHS, and PACS. This is an extremely well written book.

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2010.01.21

Introduction 103 of 110
Mobile and Wireless Network Architectures

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  - all the usual radio topics

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[8]   Mark S. Taylor, William Waung, and Moshen Banan, *Internetwork Mobility: The CDPD Approach*, Prentice-Hall, Upper Saddle River, NJ, 1997. ISBN 0-13-209693-5

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Introduction 104 of 110
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Introduction 105 of 110
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[14] James D. Solomon, Mobile IP: the Internet Unplugged, Prentice Hall, 1998, ISBN 0-13-856246-6.

[15] C. Perkins, IP Mobility Support, IETF RFC 2002, October 1996.

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2010.01.21

Introduction 106 of 110
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Micromobility: Cellular IP, HAWAII, Hierarchical Mobile IP

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Introduction 107 of 110
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2010.01.21

Introduction 110 of 110

Mobile and Wireless Network Architectures

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

2. Network Signaling and CDPD

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

KTH Information and Communication Technology

Network Signaling

Interconnection between a PCS Network (PCN) and a PSTN for:

mobility management	tracking the location of mobile users
call control	setting up the call path between a mobile users and the other call party (or parties)
interconnection interfaces	the interconnections themselves
message routing	information exchange

Mobile Identification Number (MIN) -- the main means of identifying a **MS**

Universal Personal Telecommunication (UPT) number - a number associated with a mobile **subscriber.**

Note there is a distinction between the **device** (a **MS**) and a **user** (as **subscriber**).

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Network Signaling
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Network Signaling and CDPD 112 of 150
Mobile and Wireless Network Architectures

Transaction Capabilities Application Part (TCAP)

For exchanging information which is **not** circuit related.

More than 50 TCAP operations in IS-41 (just) for:

- inter-MSC handoff
- automatic roaming
- operation, administration, and maintenance (OAM)

A TCAP message has two parts: **transaction** and **component**

transaction	**QueryWithPermission, Response, ConversationWithPermssion**, and **Unidirectional** (to pass information in **one** direction)
component	**INVOKE, RETURN RESULT (Last), RETURN ERROR,** or **REJECT**

Each TCAP transaction has a **timeout** associated with it and uses connection*less* transport.

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Transaction Capabilities Application Part (TCAP)
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TCAP message flow for a MS registration
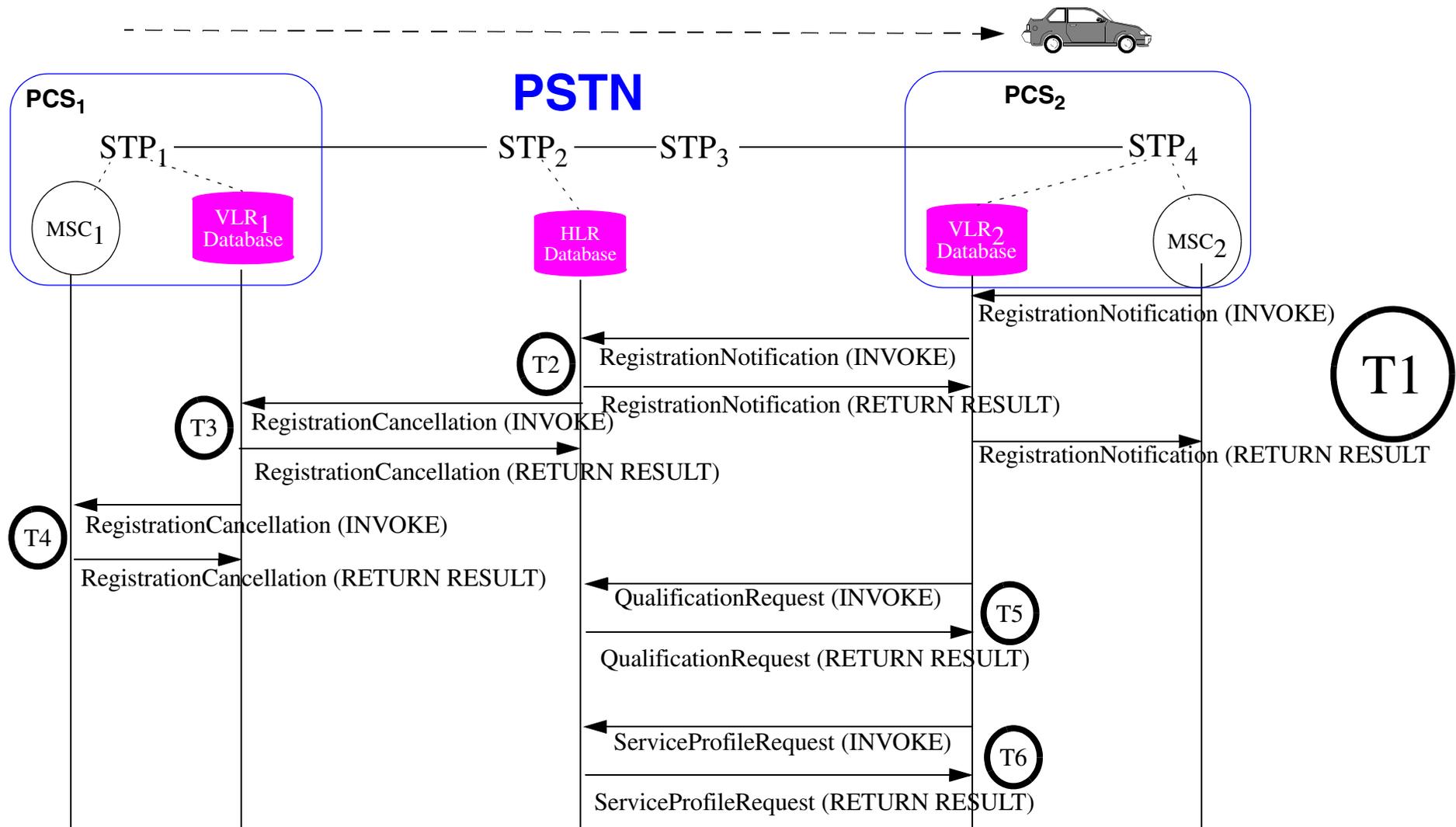
Figure 18: Mobile roams from PCS$_1$ to PCS$_2$

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Transaction Capabilities Application Part (TCAP)
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Mobile and Wireless Network Architectures

Transaction 2 (T2) - additional details

Signal Transfer Point$_3$ (STP$_3$) does a table lookup, i.e., **Global Title Translation** (GTT) of the MIN to identify the appropriate HLR's address, then the TCAP message is forwarded from STP$_3$ to STP$_2$ where the HLR is.

GTT is needed because **non-geographic** numbering is assumed {we will return to this later.}.

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Transaction 2 (T2) - additional details
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Automatic Code Gapping (ACG)

- Can use **Automatic Code Gapping** (ACG) to reduce the rate at which a network entity such as a MSC sends service request messages to a service control function.

- ACG can be applied automatically when an overload occurs or applied manually for system management.

- ACG can be applied to query messages destined for a specific Point Code and Subsystem Number or for an SCCP Global Title.

3rd Generation Partnership Project 2 (3GPP2), Automatic Code Gapping (Stage 1), 3GPP2 S.R0016, Version 1.0.0, Version Date: December 13, 1999

http://www.3gpp2.org/Public_html/specs/S.R0016_v1.pdf

Purpose: Without automatic code gapping you might over run the network entity's capacity for processing messages, in which case messages might be lost - then due to the timeouts associated with each transaction, lost messages would cause retries -- further increasing the number of messages!

Note: Anjana Agarwal, Anthony Buttitta, Viraraghavan Sudarsan, and Janice Marie Wunsch,
    "Automatic code gapping (ACG) for wireless systems", US patent #6,317,601 Nov. 2001[51]

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Automatic Code Gapping (ACG)
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TIA TSB-51: Authentication, Signaling Message Encryption, and Voice Privacy

- supports authentication over multiple air interfaces (AMPS,TDMA, & CDMA) -- GSM authentication is excluded, because the GSM authentication process has been defined in the GSM standards

- provides a method of pre-call validation of (MS) that does not require user intervention

- uses **Global Challenge** procedures at registration, call origination, call termination, and at any time using Unique Challenge procedures

- without-sharing (WS) scheme: "shared secret data" (SSD) known only to Authentication Center (AuC) and MS

- sharing (S) scheme: the SSD or some aspect of it is shared with the **visited** system

- SSD based on Authentication Key (A-Key) - never transmitted over the air

- includes procedures for generation and distribution of SSD

MIN and ESN

Mobile Identification Number (MIN) - a North American Numbering Plan (NANP) number which is the phone number of a mobile phone

Electronic Serial Number (ESN) - a 32 bit serial number programmed into the phone at manufacture (top 8 bits identify the manufacturer)

In AMPS the MIN and ESP are transmitted in the clear over the air - so it is easy to listen for them and then program another phone with the same values $\Rightarrow$ **clone**

This lead to hundreds of millions of dollars of fraud $\Rightarrow$ TSB-51

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MIN and ESN
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Mobile and Wireless Network Architectures

Without-Sharing Scheme
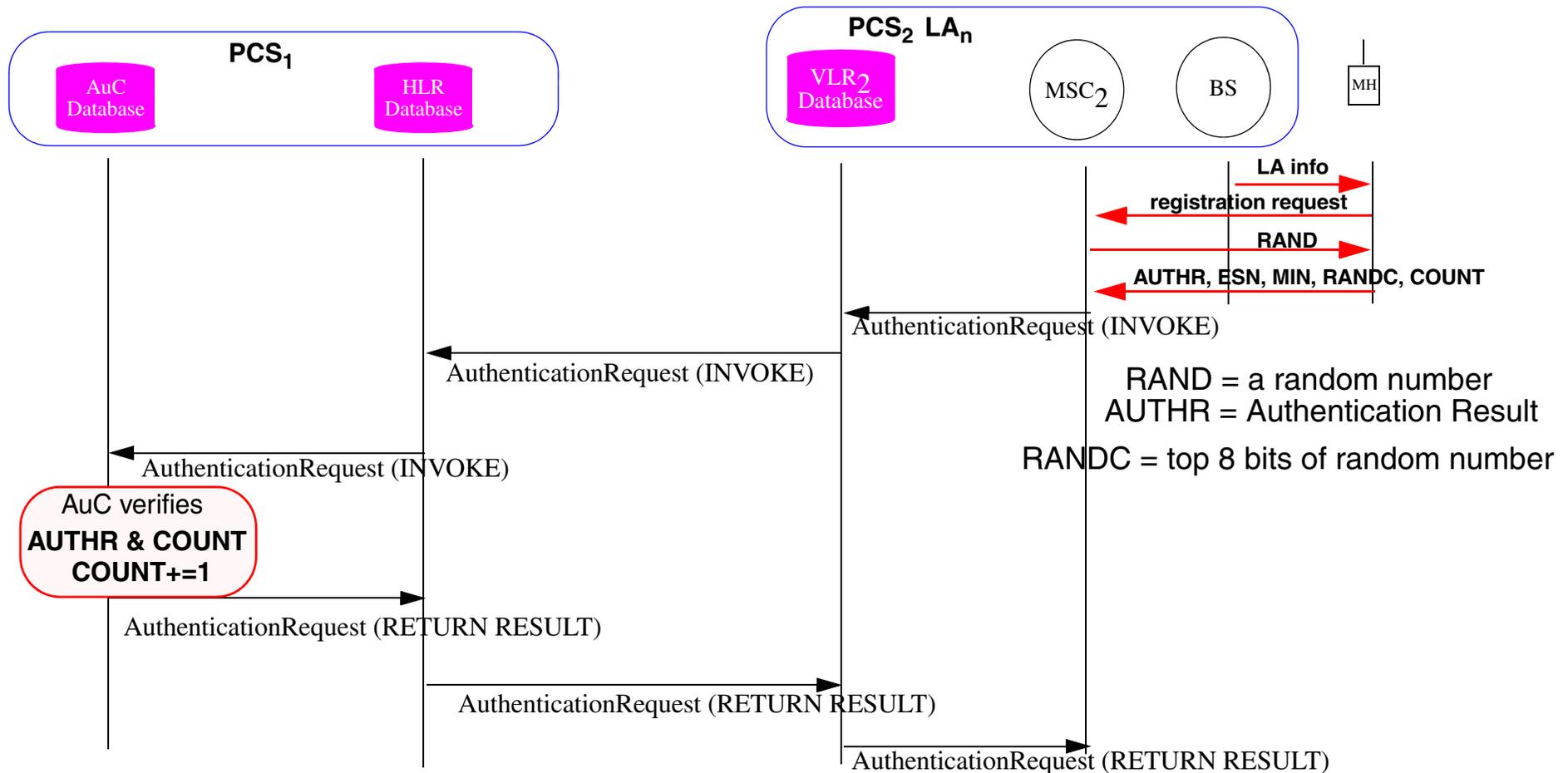
Figure 19: Mobile moves into a new Location Area (LA) at PCS_2

If authentication fails, then the result is RETURN ERROR.

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Without-Sharing Scheme
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Network Signaling and CDPD 119 of 150
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Without-Sharing Call Origination
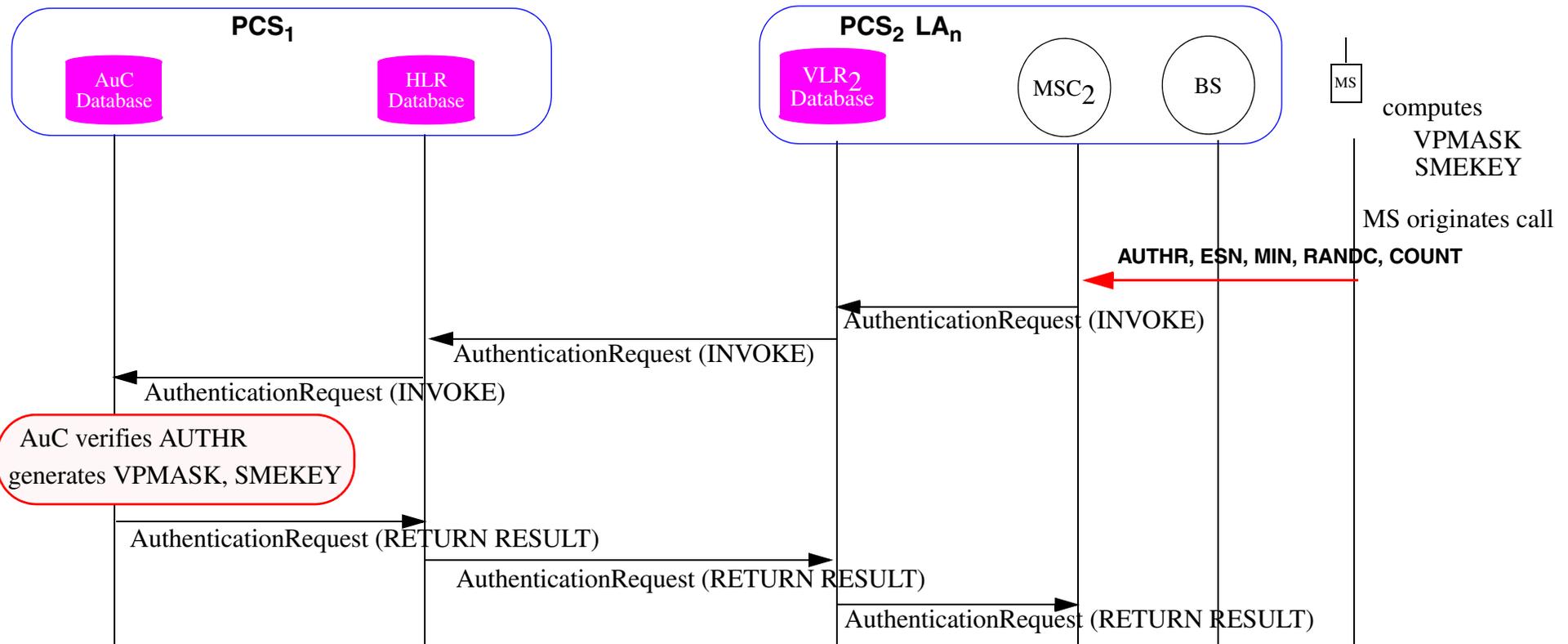
Figure 20: Mobile places a call in PCS$_2$

Because of **shared secret data** (SSD) the AuC can generate the same Voice Privacy Mask (VPMASK) and Signaling Message Encryption Key (SMEKEY) as the mobile and passes this information to the operator of PSC$_2$

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Without-Sharing Call Origination
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Network Signaling and CDPD 120 of 150
Mobile and Wireless Network Architectures
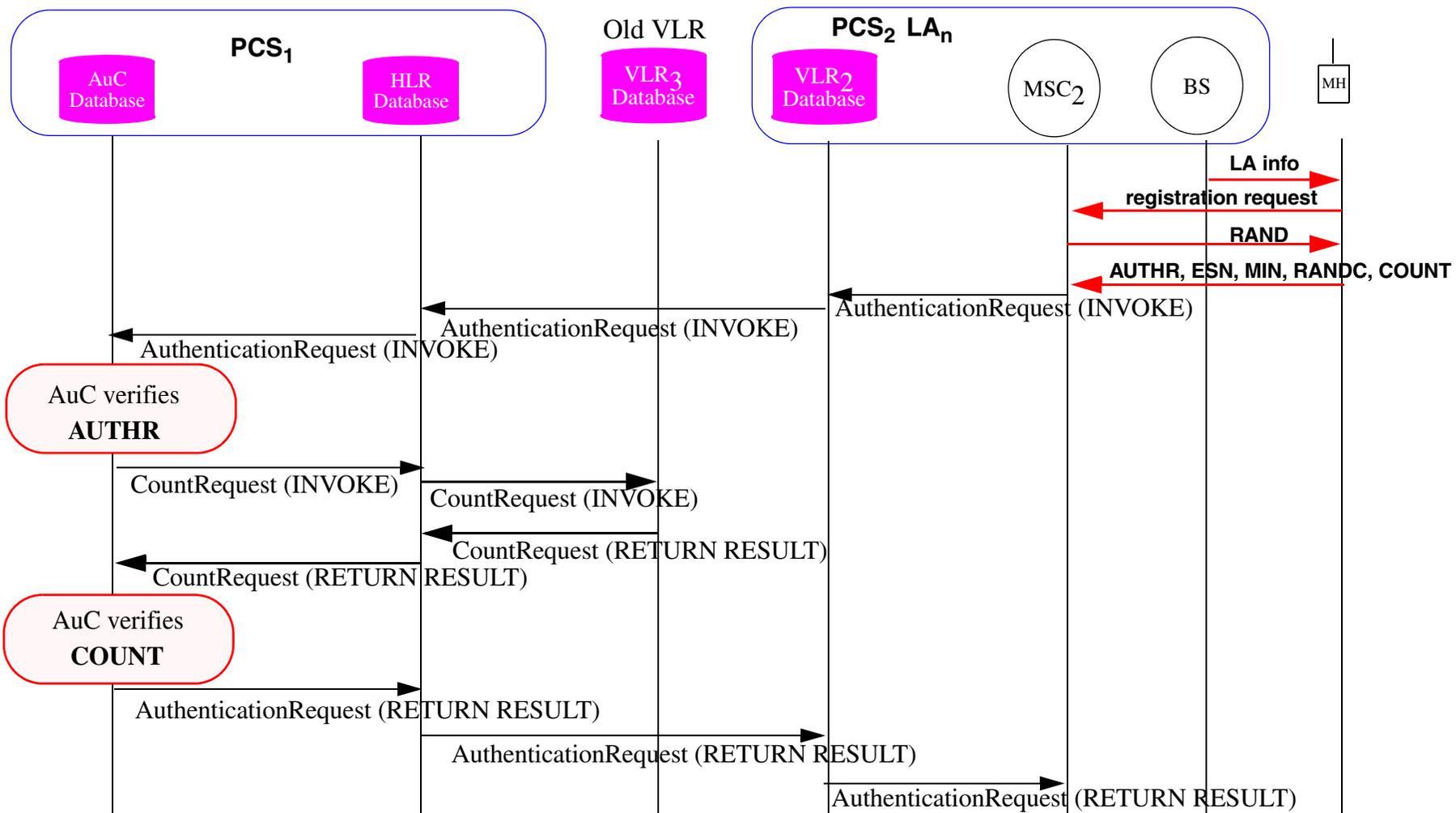
Sharing Scheme

Figure 21: Mobile moves into a new Location Area (LA) at PCS_2 registration using Sharing scheme

Because the SSD was *shared* with the prior VLR_3, we need its value of COUNT.

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Sharing Scheme
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Network Signaling and CDPD 121 of 150
Mobile and Wireless Network Architectures

Sharing Call Origination
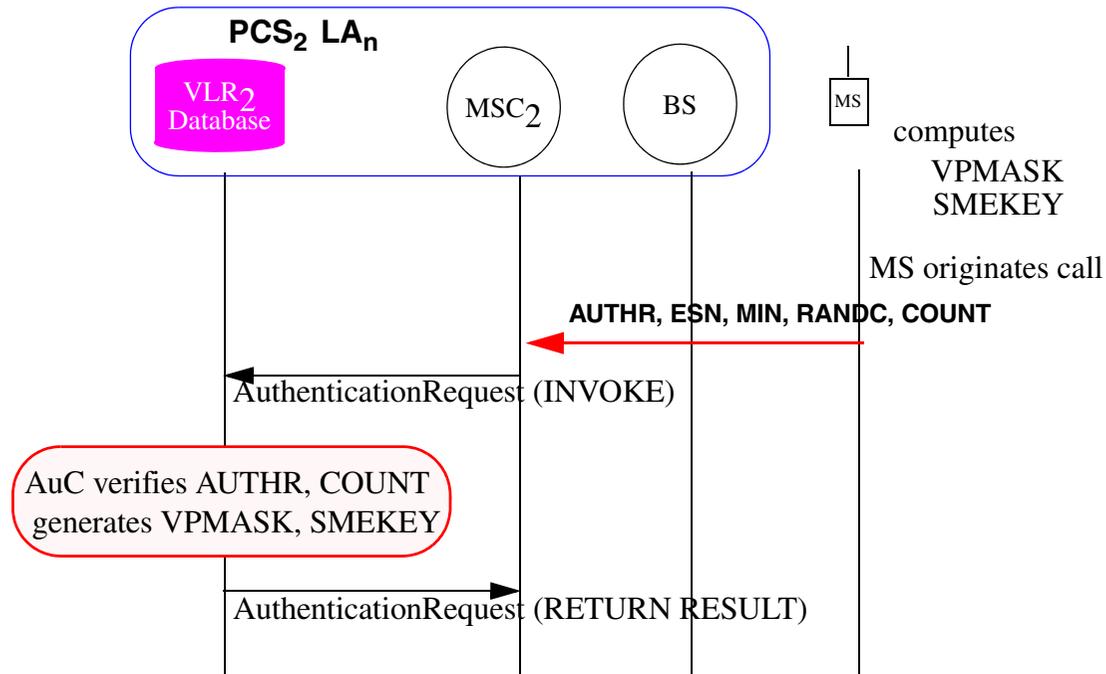
Figure 22: Mobile places a call in PCS_2 using sharing scheme

Note that because the visited system shares the SSD, it no longer has to contact the home PCS's AuC to generate the VPMASK and SMEKEY

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Sharing Call Origination
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Network Signaling and CDPD 122 of 150
Mobile and Wireless Network Architectures

When should you use Without-Sharing vs. Sharing

Use Without-Sharing when number of registration operations is greater than the number of call originations/terminations.

Can use an adaptive algorithm:

- based on statistics move between Without-Sharing and Sharing schemes
- once you make a call, then use Sharing scheme; but if you move **without** making a call, then revert back to Without-Sharing scheme

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When should you use Without-Sharing vs. Sharing
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Cellular Authentication and Voice Encryption (CAVE) Algorithm

IS-54B - TDMA standard - includes CAVE algorithm

Computes Authentication Result (AUTHR) using SSD, ESN, MIN, a random number (RAND). RAND is typically updated in the system every 20 minutes and SSD is updated for each mobile every 7 to 10 days [35].

3 of the 4 IS-54 algorithms have been broken:

- David Wagner (then a University of California at Berkeley graduate student, now faculty member) and Bruce Schneier[1] & John Kelsey (both of Counterpane Systems) announced that they had broken the **Cellular Message Encryption Algorithm** (CMEA)[37] which is used to protect the control channel (for example, dialed digits and alphanumeric pages).
- D. Wagner, L. Simpson, E. Dawson, J. Kelsey, W. Millan, and B. Schneier, "Cryptanalysis of ORYX"[38] - shows that the stream cipher used to protect data is breakable with a plain text attack.
- voice privacy depends on a XOR against a generated string - which is generally rather easy to break (as the string is not equal to the message length)

---

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Cellular Authentication and Voice Encryption (CAVE) Algorithm Network Signaling and CDPD 124 of
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PACS Network Signalling

Personal Access Communications Systems (PACS) supports:

- basic call control
- roaming
- handoff management

Does **not** use MSCs or HLR/VLR, but uses Advanced Intelligent Network (AIN) protocol with an Access Manager (AM), AIN switch, and AIN **Service Control Point** (SCP).

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PACS Network Signalling
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PACS Architecture

SCP = Service Control Point
STP = Signal Transfer Point

AIN SCP

AM
HLR
VLR

AIN

PSTN

SS7

STP

AIN

radio port control unit (RPCU)

ISDN

AIN switch

trunk

radio port (RP)

AM

interface P

ISDN

AM

interface A

portable

fixed access unit
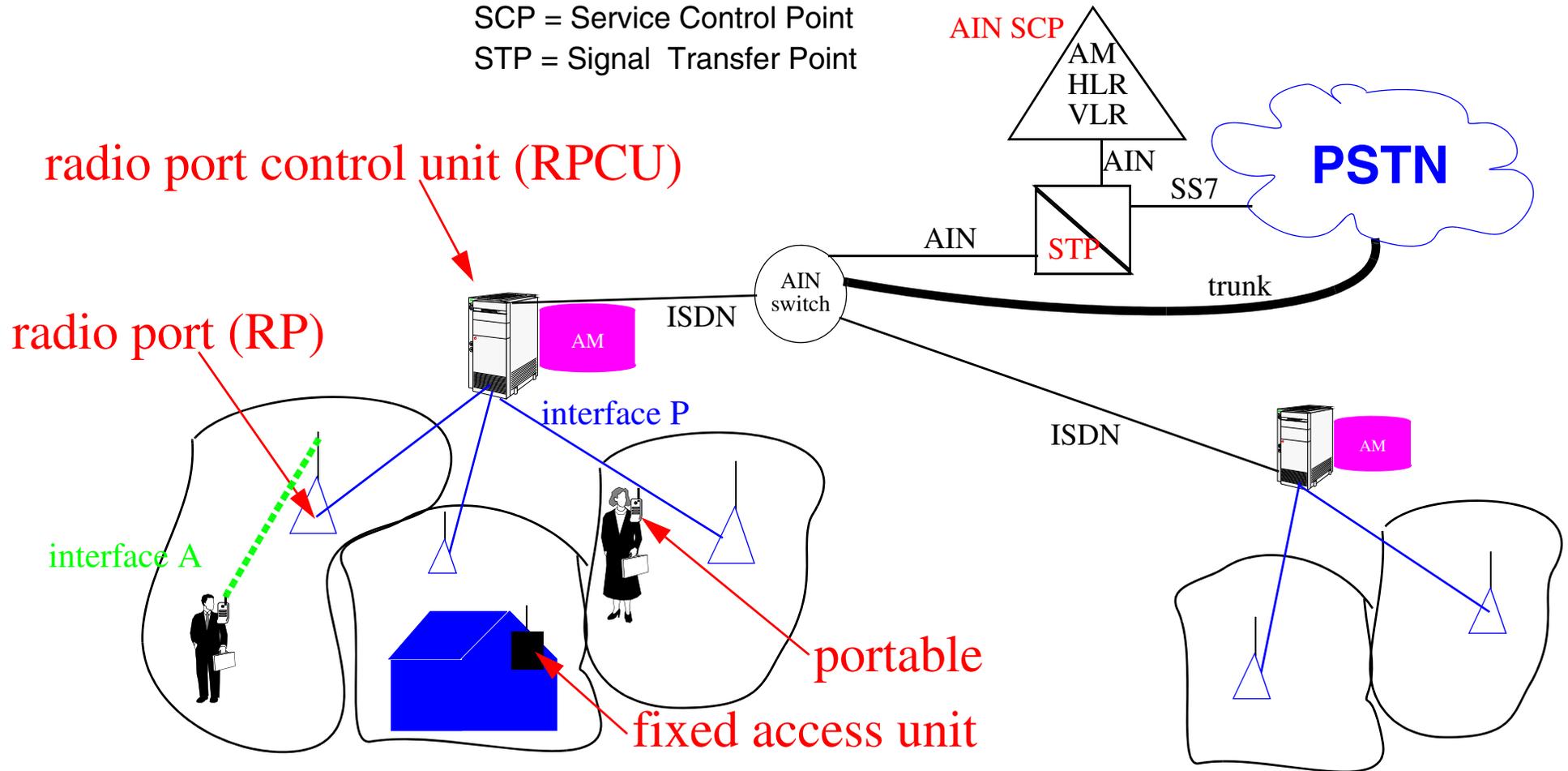
Figure 23: PACS Architecture

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PACS Architecture
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Mobile and Wireless Network Architectures

Access Manager (AM)

The access manager in the **radio port control unit** (RPCU), it provides:

radio control	managing the RPs, trunk provisioning, RP to RP link transfers
non-radio service control	call control (managing the B channels), switching, routing

The RPCU has to deal with **inter-RPCU** handoff (similar to inter-BSC handoff) and inter-radio port (**inter-RP**) handoff.

Note: an AM is also located in the AIN SCP; the two interact with the ISDN/AIN Switch providing tunneling/de-tunneling (i.e., encapsulation) of the ISDN REGISTER messages over AIN.

Pg. 125 notes that the RPCUs could be connected via an IP network to the VLR, thus by passing the AIN/ISDN Switch (SSP) for all non-call associated (NCA) signalling.

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Access Manager (AM)
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AIN/ISDN Switch

Note: The textbook often refers to this as the AIN Service Switching Point (SSP).

Uses:

- SS7 ISUP to set up trunk and for **inter-system** handoff
- SS7 TCAP to support mobility management and transport AIN messages between switch and SCP; the AIN messages are basically remote procedure calls (RPC) calls to the SCP
- ISDN for:
  - call control {standard ISDN},
  - automatic link transfer (ALT) {**FACILITY** message for **handoff**}, and
  - non-call associated (NCA) signalling {for example, communication between RPCU and VLR for registration and authentication - **REGISTER** message - which is encapsulated in an AIN NCA-Data message}

Also provides:

- Automatic Code Gapping (for traffic load control)
- Automatic Message Accounting (for access charging)

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AIN/ISDN Switch
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AIN Service Control Point (SCP)

Provides service logic, databases, and operations to support:

- Home Location Register (HLR)

- Visitor Location Register (VLR)

- Access Manager (AM)

- Authentication Center (AuC)

Communicates with:

- the switch via AIN TCAP

- external PCS databases via IS-41 protocol

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AIN Service Control Point (SCP)
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PACS Intersystem Handoff

PACS Intersystem Handoff/automatic link transfer (ALT) follows IS-41 anchor switch approach.

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PACS Intersystem Handoff
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Mobile and Wireless Network Architectures

3 alternative inter-RPCU handoff methods

(Switch Loopback, Direct Connection, Three-way Calling Connection):

a. Before ALT
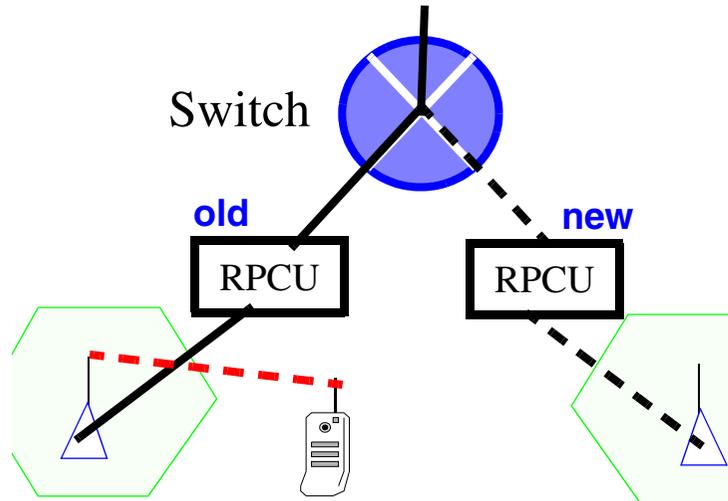
b. After ALT (Switch Loopback)
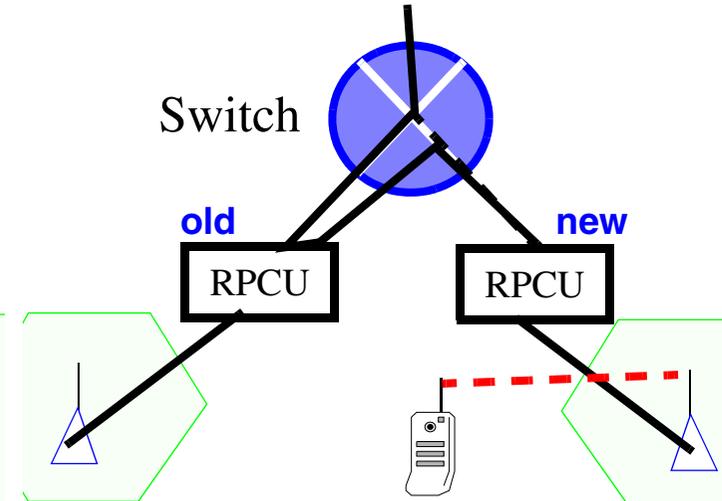
c. After ALT (Direct Connect)

Switch — old RPCU — new RPCU

Switch — old RPCU — new RPCU

Switch — old RPCU — new RPCU
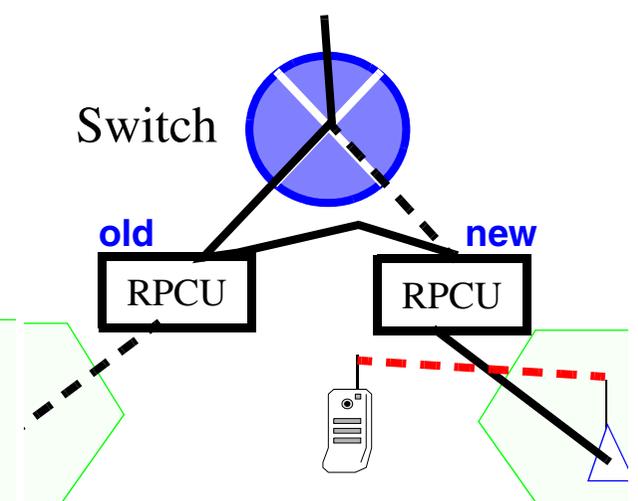
d. During ALT (Three-Way Calling Connections)
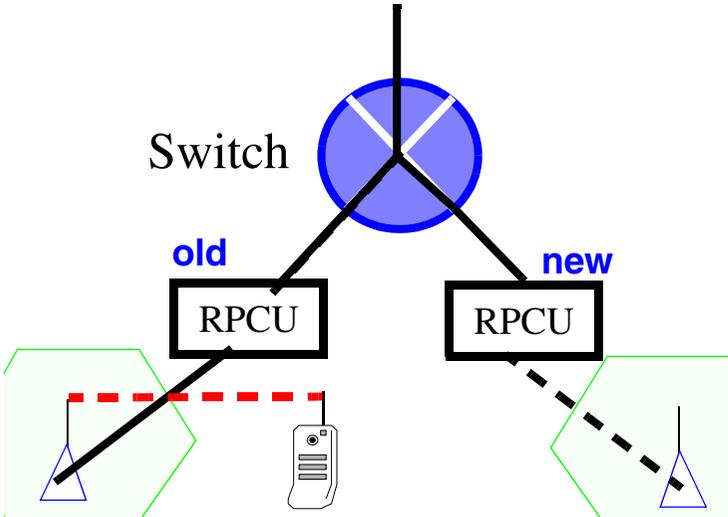
e. After ALT (Three-Way Calling Connections)
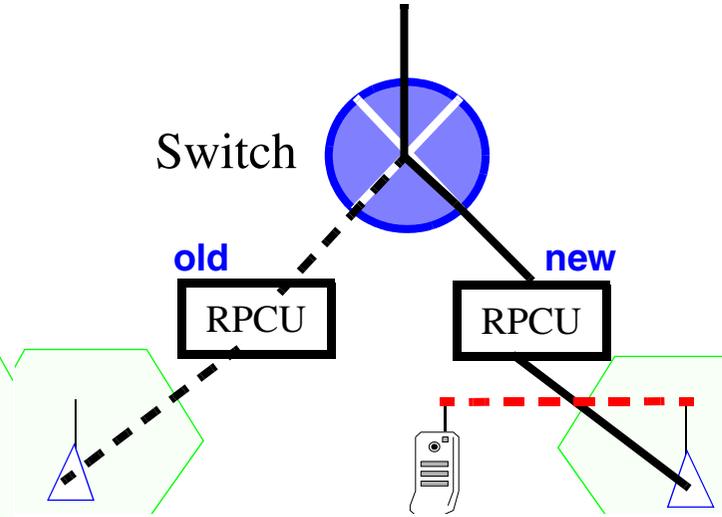
Note: (d) illustrates that the switch is doing bridging, but the traffic is not using any radio capacity in the new cell - until the mobile arrives

Switch — old RPCU — new RPCU

Switch — old RPCU — new RPCU

Maguire3 alternative inter-RPCU handoff methods (Switch Loopback, Direct Connection, Three-way Calling Connection): Net-

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CDPD

In 1992, AT&T Wireless Services developed the **cellular digital packet data** (CDPD) protocol, a **data-only** protocol that (re-)uses the AMPS or IS-136 network. Packets (typically ~1.5 kilobytes) use vacant cellular channels - either an assigned channel or between calls.

CDPD **does not** communicate with the underlying network; but **does** utilize knowledge of this network's channel assignment algorithms to predict when channels will be available for CDPD's use.

Mobile Data Base Stations - do **channel sniffing** to find idle channels

It is essentially an implementation of Mobile*IP [41] .. [43].

For an excellent book about CDPD see [8] (note that the full text of this book is available from this site).

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CDPD
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Network Signaling and CDPD 132 of 150
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Motivation for CDPD

- Most traditional cellular systems (such as AMPS) are unsuited for packet data
    - Long call setup times - many seconds (vs. CDPD with from under 1 to 4 seconds)
    - Modem handshaking required - this modem training can often take more time than the data transfer time!
    - Analog providers already have AMPS frequency allocation

- Re-use AMPS channels to provide data service.
    - Must not interfere with existing analog service (viewed as operator's bread and butter)
    - no new spectrum license needed - but you get to make more money with the spectrum you already have (**IFF** you can share the spectrum wisely)
    - This provides an excellent example of mutliple services intelligenetly sharing the spectrum resources - in this case CDPD provides all the intelligence and the existing AMPS devices do not have to even be aware of the existance of CDPD.

Goals

- low speed data: Paging, short message, e-mail, …(achieve 10-12kbps)

- broadcast and multicast (for example, for fleet management)

- "always on-line" packet data service

- transparent to existing AMPS service, but shares spectrum with it

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Motivation for CDPD
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CDPD network architecture

Mobile End System (M-ES), **Mobile Data Basestation** (MDBS), **Mobile Data -Intermediate System** (MD-IS)

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CDPD network architecture

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Network Signaling and CDPD 134 of 150

Mobile and Wireless Network Architectures
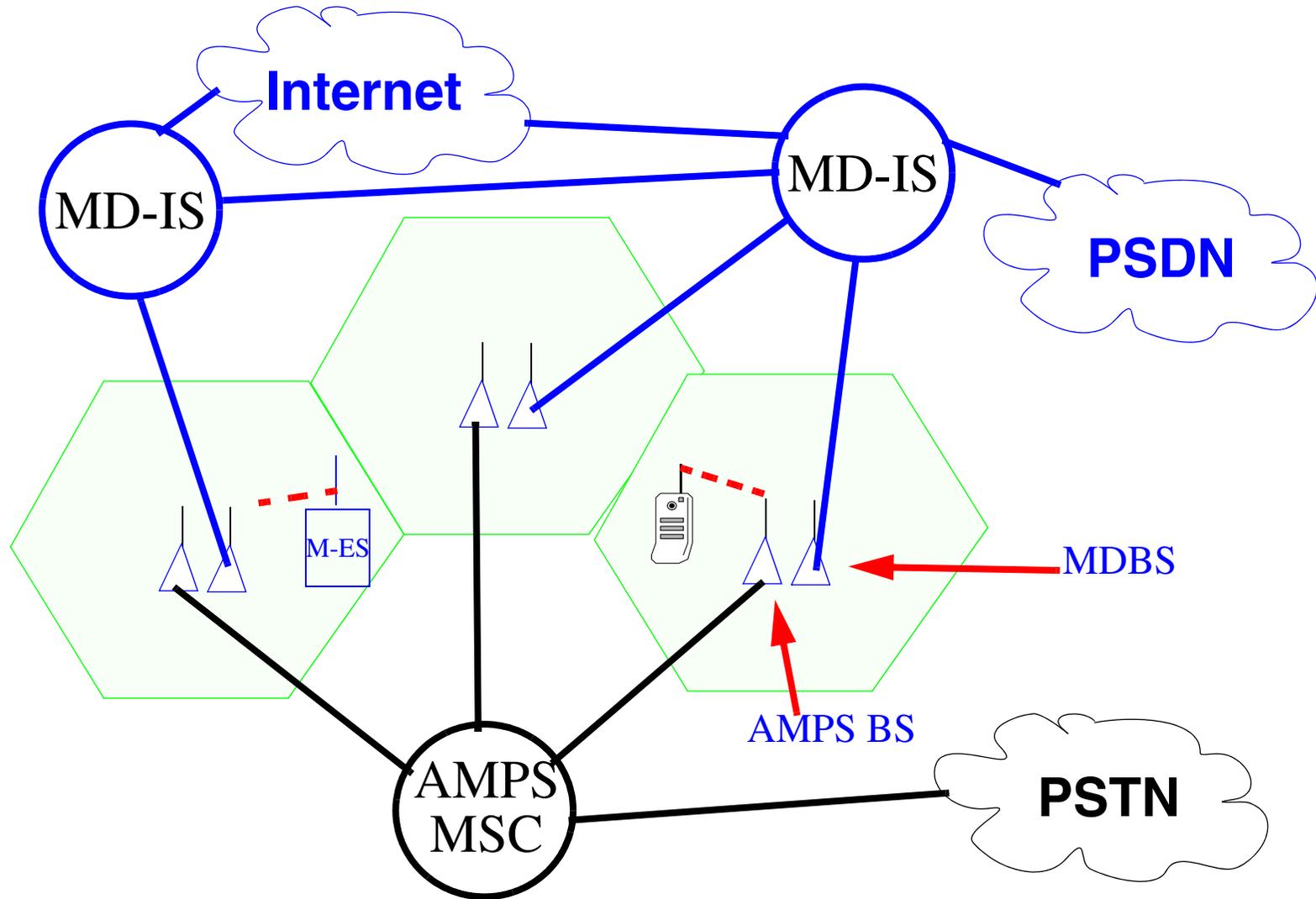
CDPD Entities

Mobile End System (M-ES)

- Subscriber unit - interfaces with the radio at 19.2 kbps
- **Subscriber Identity Module** (SIM) - used to identify subscriber
- Mobile Application Subsystem - actually provides the functionality (could be a PDA, Laptop, embedded processor, …)

Mobile Data Base Station (MDBS)

- controls the radio: radio channel allocation, channel usage, …
- one modem/transceiver per radio channel pair (up & down link)
- generally co-located with the AMPS basestations (so they can share antenna, site, …)

Mobile Data-Intermediate System (MD-IS)

- frame relay switch + packet router
- buffers packets destined to M-ES it knows about (== with TEI assigned)
- supports user mobility by a mobile location protocol

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CDPD Entities
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Network Signaling and CDPD 135 of 150
Mobile and Wireless Network Architectures

other entities

Fixed End System (F-ES) - hosts

External F-ESs	traditional non-CDPD host(s)
Internal F-ESs	hosts **within** the boundaries of the CDPD network; they have access to additional internal network data (usage accounting information, mobile location information, subscriber authentication information, …

	Accounting Server (AS)	collection and distribution of usage accounting data (each MD-IS periodically sends its usage information to the AS)
	Authentication Server	supports the authentication function in CDPD; may or may not be a part of the MD-IS
	Directory Server	supports directory services within the CDPD network (could support DNS and/or X.500)
	Network Management System	includes configuration management, fault management, performance management and other functions

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other entities
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Network Signaling and CDPD 136 of 150
Mobile and Wireless Network Architectures

Limits

- No direct **Mobile End System** (M-ES) to M-ES communication
- radius of a CDPD cell is limited to <10 miles (i.e. < 17km)
- each M-ES can only send two packets back to back - to avoid hogging the channel

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Limits

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Network Signaling and CDPD 137 of 150

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Handoffs

Mobile Data Base Station (MDBS) broadcasts a list of **available** channels

When M-ES finds link quality has dropped below a threshold, it checks the channels from the MDBSs that it can hear; if there is a better channel it initiates a link transfer - by switching to the new channel and registering with the new MDBS

MD-IS maintains a **registration directory**

- contains a list of **Temporary Equipment Identifiers** (TEI)
- associated with each TEI is a element **in**activity timer (T203)
- associated with each radio channel stream is a TEI notification timer (T204) - when this timer goes off MD-IS broadcasts a list of TEI's with data buffered for them {mobiles with nothing to send can *sleep* until the next TEI notification frame}
- when a mobile wakes up and hears that there is data for it, it sends a **Receiver Ready** (RR) frame

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Handoffs
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Network Signaling and CDPD 138 of 150
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Connectionless Network Services (CLNS)

CDPD supports both:

- ISO connectionless network protocol
- IP

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Connectionless Network Services (CLNS)

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Network Signaling and CDPD 139 of 150

Mobile and Wireless Network Architectures

Roaming Management

Each M-ES has a unique **Network Equipment Identifier** (NEI) which is associated with a home MD-IS (**Mobile Home serving Function** (MHF) {analogous to a Mobile IP **Home Agent**}.

Home MD-IS keeps **location directory** of the MD-IS currently serving each of its mobiles (note that the routing is to the current MD-IS, **not** to the M-ES itself)

Each MD-IS keeps a registration directory listing currently visiting mobile (**Mobile Serving Function** (MSF)) {analogous to a Mobile IP **Foreign Agent**}

When a M-ES moves, the home MD-IS *explicitly* cancels the registration at the former MD-IS.

Packet routing is handled just as in Mobile IP.

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Roaming Management
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Network Signaling and CDPD 140 of 150
Mobile and Wireless Network Architectures

Multicast

CDPD has explicit provisions for Multicast and enables mobiles to register for a multicast NEI - this must include a **Group Member Identifier** (GMID) which is unique with in the group

Details at: *http://www.leapforum.org/published/internetworkMobility/split/node75.html*

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Multicast

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Network Signaling and CDPD 141 of 150

Mobile and Wireless Network Architectures

CDPD usage

- Very popular for vending machines
- Public safety agencies, Law enforcement, …
  - "because police officers rarely roam outside their well-defined geographic patrol areas"[1]
- Handheld/laptop IP access

Price Plans: was from $14.95 per month for 250 kilobytes to $39.95 monthly for unlimited usage with a two-year commitment

Of course if you are vending machine you don't buy an unlimited plan, but perhaps if you are vending machine operator you do.

---

1. *Formerly available from http://www.proberesearch.com/alerts/2002/wlsdata.htm* Available from the Internet Archive as http://web.archive.org/web/20030216141656/http://www.proberesearch.com/alerts/2002/wlsdata.htm

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CDPD usage
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Network Signaling and CDPD 142 of 150
Mobile and Wireless Network Architectures

CDPD phaseout

By 2002 GPRS (see page 209) has displaced CDPD. With >85% of US subscribers using digital phones ⇒ the AMPS analog networks have decreasing importance; US FCC allows carriers to phase out their analog networks[1].

In March 2002, Verizon Wireless announced rate plans for the service based on data transmission volume as part of their rollout of next-generation wireless networks. CDMA 1X (code division multiple access) Express Network pricing:

- $35 a Month for 10 MB, $55 per month for 20 MB, and fees available for up to 150 MB of data
- $99 a month for unlimited service
- data transmission speeds of up to 144 kilobits per second (kbps), with an average transmission rate of 40 to 69 kbps.

Note: Many network operators are no longer supporting CDPD and are turning off service - driven by the lack of an analog network to **overlay**.

---

1. Winter 2008 a number of carriers have annuoced that they will shutdown their analog networks - which affects services such as GM's OnStar

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CDPD phaseout
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Network Signaling and CDPD 143 of 150
Mobile and Wireless Network Architectures

Ricochet[1]

Aerie Networks bought the network assets of Metricom at bankruptcy sale. Service only in Denver and San Diego. (Previously 17 market areas). Civitas Wireless Solutions acquires Denver operations.

The 128kbps network uses a microcellular-packet-switching, FHSS (Frequency Hopping Spread Spectrum) technology, and is designed for forwarding IP packets.

- Transceivers are deployed in a mesh topology, generally on top of streetlights (for power and low cost space!)
- Designed to be self-configuring and do load-balancing (including routing traffic around congested transceivers)
- $24.99-$27.99 a month
- authentication first using modem's serial number, then via user account and password
- Mean RTT 2450 ms, std. deviation 1500 ms; UDP peak 50-58 kbps
- Developed in 1985 for remote meter reading, in 1994: 28.8 kbps, 2000: 128 kbps

---

1. *http://www.ricochet.com/* - for some additional information see [50]

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Ricochet
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Network Signaling and CDPD 144 of 150
Mobile and Wireless Network Architectures

Ricochet System Architecture

Portable Modems (PM)	Connects the mobile host to the Ricochet network; acts like modem with an extended Hayes AT command set
Pole Top Radios (PT)	Route packets over a wireless link towards or from the nearest wired access point; routing is performed geographically, i.e., based on the latitude and longitude of the pole top radios (PTs) with respect to the final destination.
Ethernet Radios (ER)	Bridges between the wireless and wired portion of the network
Metricom Gateway (MGW)	Maps between IP addresses and Ricochet identifiers and encapsulates packets within Metricom-specific headers and routes the packets to the correct ER. For packets originating from a mobile, decapsulates and forwards the packets on the wired IP network.
Name Server Router (NSR)	Serves as a router to the system name server.
Name Server (NS)	Validate the subscription, based on the PM identification number, and validates service requests.

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Ricochet System Architecture
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Network Signaling and CDPD 145 of 150
Mobile and Wireless Network Architectures
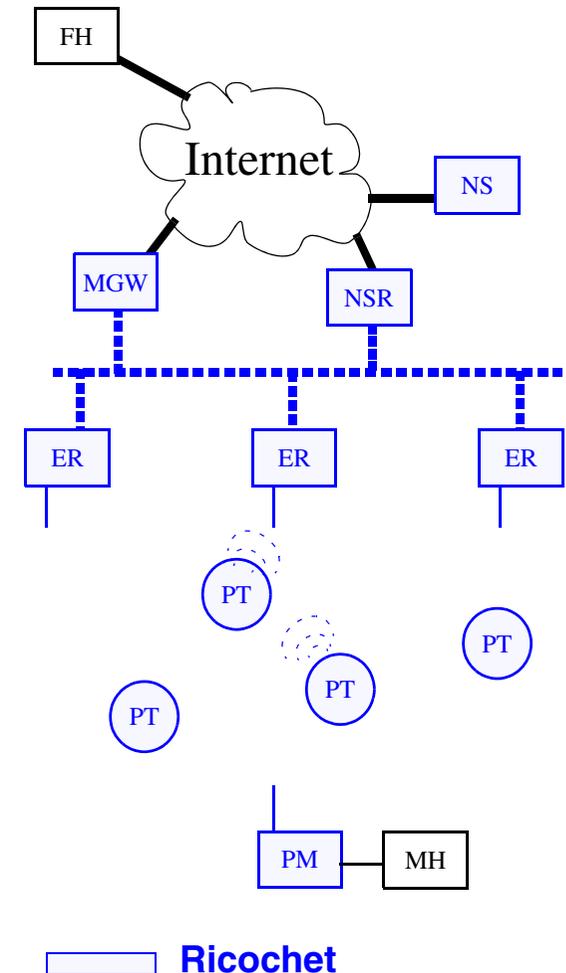
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2010.01.21

Network Signaling and CDPD 146 of 150
Mobile and Wireless Network Architectures

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2010.01.21
Network Signaling and CDPD 147 of 150
Mobile and Wireless Network Architectures

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2010.01.21

Network Signaling and CDPD 148 of 150
Mobile and Wireless Network Architectures

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2010.01.21

Network Signaling and CDPD 149 of 150
Mobile and Wireless Network Architectures

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Network Signaling and CDPD 150 of 150
Mobile and Wireless Network Architectures

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

3. GSM, GPRS, SMS, International Roaming, OAM

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

See also [2].

Global System for Mobile Communications (GSM)

- designed to be a **digital** (**wide area**) **wireless network**
- driven by European telecom manufacturers, operators, and standardization committees
- very widely used around the world

Note: GSM licenses will expire for most operators in Sweden in December 2012 [62], one of the possibily alternatives is UMTS900.

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Global System for Mobile Communications (GSM) GSM, GPRS, SMS, International Roaming,
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GSM Requirements

- Service portability
  - mobile should be able to be used in any of the **participating** countries with international roaming and standardized numbering & dialing (but possibly at different rates!)
  - usable for both wireline services and for mobile service
  - usable when: walking, driving, boating, … (upto 250 km/h)

- Quality of service and Security
  - quality at least as good a previous analog systems
  - capable of offering encryption (in some countries this is **off** by default)

- Good radio frequency utilization
  - high spectrum efficiency
  - co-existence with earlier systems in the same bands

- Modern network
  - follows ITU recommendations - to allow efficient interoperation with ISDN networks
  - supports voice and low rate data
  - standardized mobility and switching support
  - standardized **interfaces** between the subsystems - to allow a mix-and-match system

- System optimized to limit cost of mobiles (and to a lesser extent to limit the cost of the whole system)
  - GSM required higher complexity mobiles than earlier analog systems
  - subscriber cost is less than or equal to the then existing analog systems

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GSM RequirementsGSM, GPRS, SMS, International Roaming, OAM 153 of 241
2010.01.21
Mobile and Wireless Network Architectures
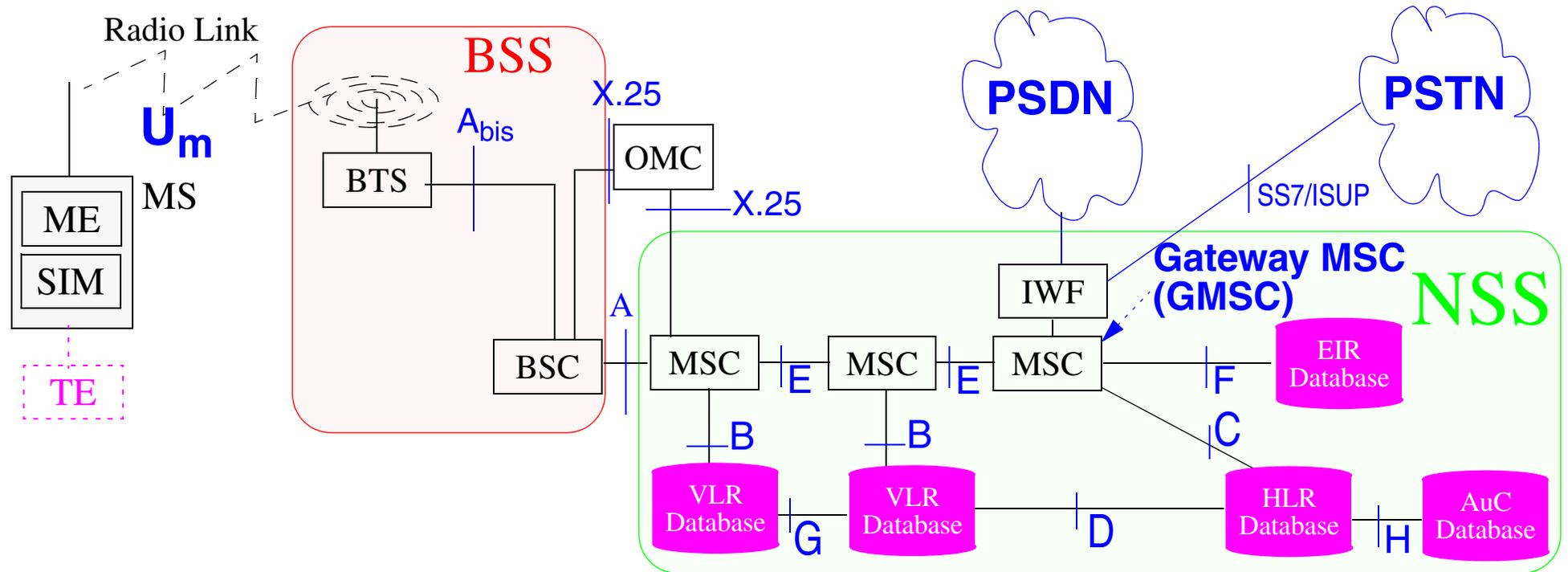
GSM Architecture

Figure 24: GSM Architecture

MS	Mobile Station
BSS	base station system
NSS	network and switching subsystem

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GSM ArchitectureGSM, GPRS, SMS, International Roaming, OAM 154 of 241
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Foundation

- Hybrid frequency-division/time-division multiple access
  - FDMA - division by frequency of the (maximum) 25 MHz allocated bandwidth into 124 carrier frequencies spaced 200 kHz apart.
    - One or more carrier frequencies assigned to each base station
  - Each carrier frequency divided in time, using TDMA
  - Fundamental unit of time in this TDMA scheme is a burst period approx. 0.577 ms long
  - Eight burst periods are grouped into a TDMA frame (approx. 4.615 ms) = basic unit for the definition of logical channels
  - A physical channel is one burst period per TDMA frame
  - Slow frequency hopping at upto 217 times per second
    - hopping algorithm is broadcast on the broadcast control channel
    - helps alleviate multipath fading
    - co-channel interference is effectively randomized
    - Note: broadcast and common control channels are not subject to frequency hopping and are **always** transmitted on the same frequency

- Infrastructure based on Signalling System 7 (SS7)

GSM contributions

- Location-based mobility management
- Mobile assisted handover
- Temporary Mobile Subscriber ID (TMSI)

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GSM contributionsGSM, GPRS, SMS, International Roaming, OAM 156 of 241
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Distinctive features of GSM

- Cooperative development by many actors from many countries
- preserved open interfaces between the subsystems (especially between infrastructure elements -- particularly between base stations and switches $\Rightarrow$ lead to an open market for these subsystems)
- specified a large number of interfaces!
- Phased release - since they could not make all the innovations in time for their targeted 1991 introduction
  - Phase 1 GSM spec. - 100 sections and 5,320 pages!
    - telephony (full rate speech) - with some added features
    - emergency calls
    - data transmission at 2.4/4.8/9.6 kbps (**transparent** {the error correction done by a forward error correction (FEC) mechanism}/**non-transparent** {information is repeated when it has not been correctly received})
    - short message service (SMS)
  - Phase 2
    - non-voice services (Advice of charge, Calling line identification, Call waiting, Call hold, Conference calling, Closed user groups) and enriched telephony (half-rate speech)
    - High-speed circuit-switched data (HSCSD)

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Distinctive features of GSMGSM, GPRS, SMS, International Roaming, OAM 157 of
2010.01.21
Mobile and Wireless Network Architectures

- Phase 2+
  - Multiple service profiles
  - Private numbering plans
  - Access to Centrex services
  - Internetworking with GSM 1800, GSM 1900, Digital Enhanced Cordless Telecom (DECT)
- Phase 2.5
  - GPRS: Global packet radio system
- Enhanced data rates for GSM (EDGE)

Mobile Station (MS)

- Subscriber Identity Module (SIM)
- Mobile Equipment (ME)
- Mobile Terminal (MT)

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Mobile Station (MS)GSM, GPRS, SMS, International Roaming, OAM 159 of 241
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Mobile and Wireless Network Architectures

Subscriber Identity Module (SIM)

- small form factor - which can be removable and can be moved from one terminal to another (latest card connectors: >5,000 cycles)
  - smart card (generally too large for handsets!)
  - plug-in SIM (the processor and contact from a smart card)
- **user** authenticated via a **Personal Identity Number** (PIN)
- if PIN entered incorrectly, N times, then phone is locked for all but emergency calls, until you enter a **PIN unblocking key** (PUK)
- contains subscriber information:
  - some which is fixed by operator (may include preferred network provider(s))
  - some which is changeable by the user (list of short numbers, phone list, SMS messages, …)
- can be updated via:
  - keyboard or attached terminal equipment or **over the air** (OTA) via SMS message sent by operator/application/… built using SIM Toolkit
- often the SIM is owned by the operator
- profiles - operator/subscription info; SIMs are required to be able to hold at least two profiles
- contains **International Mobile Subscriber Identity** (IMSI)

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Subscriber Identity Module (SIM)GSM, GPRS, SMS, International Roaming, OAM 160
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Mobile and Wireless Network Architectures

SIM card

Figure 25: SIM card showing contacts on the left and the IMSI on the right

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SIM card
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GSM, GPRS, SMS, International Roaming, OAM 161 of 241
Mobile and Wireless Network Architectures

Phone with and without SIM

Figure 26: Phone without and with SIM card, note the IMEI number and other numders printed inside the back of the phone.

Mobile Equipment (ME)

"the phone" itself - radio and radio interface, display, keyboard, etc.

performs: radio transmission and reception, authentication, handover, encoding & channel encoding. note: ME without SIM can **only** make emergency (112) calls

Radios operate in one or more of the following bands:

System	Uplink (mobile station to base station) (MHz)	Downlink (base station to mobile station) (MHz)	Comments
GSM450	450.4 .. 457.6	460.4 .. 467.6	For migration of NMT 450 operators
GSM480	478.8 .. 486	488.8 .. 496	
GSM850	824 .. 849	869 .. 894	Cellular (800 MHz / 850 MHz) frequency band in Americas
GSM900	890 .. 915	935 .. 960	the original frequency band
GSM1800	1710 .. 1785	1805 .. 1880	also known as DCS1800
GSM1900	1850 .. 1910	1930 .. 1990	also known as PCS 900

ME identified by **International Mobile Equipment Identity** (IMEI)

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Mobile Equipment (ME) GSM, GPRS, SMS, International Roaming, OAM 163 of
2010.01.21
Mobile and Wireless Network Architectures

Power saving and interference reduction

- To reduce the MS's power consumption and minimize interference on the air interface, during pauses in speech the MS does not transmit - this is called: **Discontinuous transmission** (DTX)
  - "Comfort noise" is artificially generated locally by the MS
- **Discontinuous reception** (DRX)-mobile listens to the paging channel, but only needs to wake up for its sub-channel of the paging channel
- To minimize co-channel interference and to conserve power, both the mobiles and the base transceiver stations operate at the lowest power level that will maintain an acceptable signal quality
  - Power levels can be stepped up or down in steps of 2 dBm from the peak power for the class down to a minimum of 13 dBm (20 milliwatts for MS)
  - only one step at a time and each step takes 60ms
  - there are 16 power levels (i.e., 30 db of range)
  - terminal is typically only transmitting in one time slot (i.e., 1/8 of the time - so its radiated power is on average 8db lower than the set power level)
  - Both mobile station and BTS continually measure the signal strength or signal quality (based on the **bit error ratio**), and pass the information to the base station controller (BSC) which actually manages the power levels.

32 bit quantity indicating properties of a mobile station

- revision level
- RF power capability

Class	GSM900	DCS1800	
1	20 W	1 W	For GSM900 classes 1 & 2 - vehicle mounted systems and class 4 - portable terminals
2	8 W[a]	0.25 W	
3	5 W		
4	2 W[b]		
5	0.8 W		

a. 1W average if using a single time slot per frame

b. 250mW average if using a single time slot per frame

- (available) encryption procedures
- frequency capabilities (i.e., which bands)
- if the device is SMS capable

User ID ≠ Device ID

IMEI	**International Mobile Equipment Identity**	15 hexadecimal digits
IMSI	**International Mobile Subscriber Identity**	15 decimal digits
TMSI	**Temporary Mobile Subscriber Identity**	32 **bits**

IMEI consists of:

- Type Approval Code (TAC)
- [Final Assembly Code (FAC) to identify the final assembly plant - 2 digits][1]
- Serial number - allocated to the manufacturers - 6 digits
- Check Digit - 1 hexadecimal digit

International Mobile Equipment Identity and Software Version number(IMEISV) adds a 2 hexadecimal software version number field to the IMEI

An important distinction in GSM is that due to the SIM card the user (or at least IMSI) can be identified separately from the device (MS).

---

1. As of April 1st 2004, the FAC field was eliminated and the previous 6 digit TAC field was expanded to 8 hexadecimal digits

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User ID ≠ Device IDGSM, GPRS, SMS, International Roaming, OAM 166 of 241
2010.01.21
Mobile and Wireless Network Architectures

IMSI consists of:

mobile country code (MCC) - 3 digits [66]

mobile network code (MNC) - maximum of 3 digits[a] } Home Network Identifier (HNI)

Mobile Station Identification Number (MSIN)

a. Note: GSM originally specified 2 digits; 3 digit MNCs are only allocated in North America; for difficulties in transition from 2 to 3 digits see [87], [88]

TMSI is assigned by the VLR to a visiting subscriber

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User ID ≠ Device IDGSM, GPRS, SMS, International Roaming, OAM 167 of 241
2010.01.21
Mobile and Wireless Network Architectures

Mobile Terminal (MT)

Generally a PDA, PC, …

Interface: serial (DTE-DCE) cable, PCMCIA, IrDA, Bluetooth, …

Part of the extended Hayes AT command set:

AT Command	Description	AT Command	Description
+CNMI	New message indication to TE	+CMT	SMS Message Received
+CBM	New Cell-Broadcast Message (CBM)	+CNMA	New Message ACKnowledgement to ME/TE
+CMGC	Send Command	+CPMS	Preferred Message Storage
+CMGD	Delete Message	+CSCA	Service Center Address
+CMGL	List Message	+CSCB	Select Broadcast Message Type
+CMGR	Read Message	+CSDH	Show Text Mode Parameters
+CMCS	Send Message	+CSMP	Set Text Mode Parameters
+CMGW	Write Message to Memory	+CRES	Restore Setting

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Mobile Terminal (MT)   GSM, GPRS, SMS, International Roaming, OAM 168 of
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Mobile and Wireless Network Architectures

Base Station System (BSS)

- one or more base transceiver station (BTS) and
- base station controller (BSC)

Base transceiver station (BTS)

Performs: channel coding/decoding and encryption/decryption

BTS includes: radio transmitters and receivers, antennas, the interface to the PCM facility (i.e., backhaul for the voice and control to the BSC), …

About 1/2 the processing is associated with transcoding PCM encoded speech channel to/from GSM coding

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Base transceiver station (BTS) GSM, GPRS, SMS, International Roaming, OAM 170
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Mobile and Wireless Network Architectures

Base station controller (BSC)

BTSs are connected to a BSC which manages the radio resources

- call maintenance using the received signal strength sent by mobile stations normally every 480 ms
- initiate handovers to other cells,
- change BTS transmitter power, …

Task breakdown:

call activities	~20-25%
paging and SMS	~10-15%
mobility management	~20-25%
hardware checking/network triggered events	~15-20%

BSCs engineered for about 80% utilization, if overloaded, shed load by:
(1) rejecting location updates, (2) rejecting MS originating calls, and
(3) ignoring handoffs

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Base station controller (BSC) GSM, GPRS, SMS, International Roaming, OAM 171
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Mobile and Wireless Network Architectures

Network and Switching Subsystem (NSS)

- MSCs
  - Gateway MSC (GMSC) has interconnections to other networks
- Databases
- Gateways

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Network and Switching Subsystem (NSS)GSM, GPRS, SMS, International Roaming, OAM
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Databases

Home Location Register (HLR)	database for management of mobile subscribers, stores the international mobile subscriber identity (IMSI), mobile station ISDN number (MSISDN) and current visitor location register (VLR) address
	keeps track of the services associated with each MS
	an HLR may be used by multiple MSCs
Visitor Location Register (VLR)	caches some information from the HLR as necessary for call control and service provisioning for each mobile currently located in the geographical area controlled by this VLR
	connected to one MSC and is often integrated into the MSC
Authentication Center (AuC)	a protected database which has a copy of the secret key stored in each subscriber's SIM card
	this secret is used for authentication and encryption over the radio channel
	normally located close to HLR
Equipment Identity Register (EIR)	contains a list of all valid mobile station equipment within the network, where each mobile station is identified by its international mobile equipment identity (IMEI) - split into 3 databases: • White list: all known, good IMEIs • Black list: bad or stolen handsets • Grey list: handsets/IMEIs that are uncertain

Equipment Identity Register (EIR)

Optional in a GSM network, i.e., **not** required

EIR block (bars) calls from a particular MS, **not** from a subscriber.

Sometimes the AuC and EIR are combined.

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Equipment Identity Register (EIR)
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Mobile and Wireless Network Architectures

Operation Sub-System (OSS)

- Operation and Maintenance Center

- Service management
  - subscription management for registering new subscriptions, modifying and removing subscriptions, as well as billing information
  - billing
  - fraud detection
  - …

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Operation Sub-System (OSS) GSM, GPRS, SMS, International Roaming, OAM 175
2010.01.21
Mobile and Wireless Network Architectures

Operation and Maintenance Center (OMC)

Manages the GSM functional blocks: MSC, BSC (and indirectly the BTSs)

Task: to maintain satisfactory operation of the GSM network

Based on observing system load, blocking rates, handovers, …

Activities:

- Network Management System (NMS)
  - modify network configuration
- equipment maintenance aiming at detecting, locating, and correcting faults

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GSM, GPRS, SMS, International Roaming,
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GSM Interfaces (just some of them!)
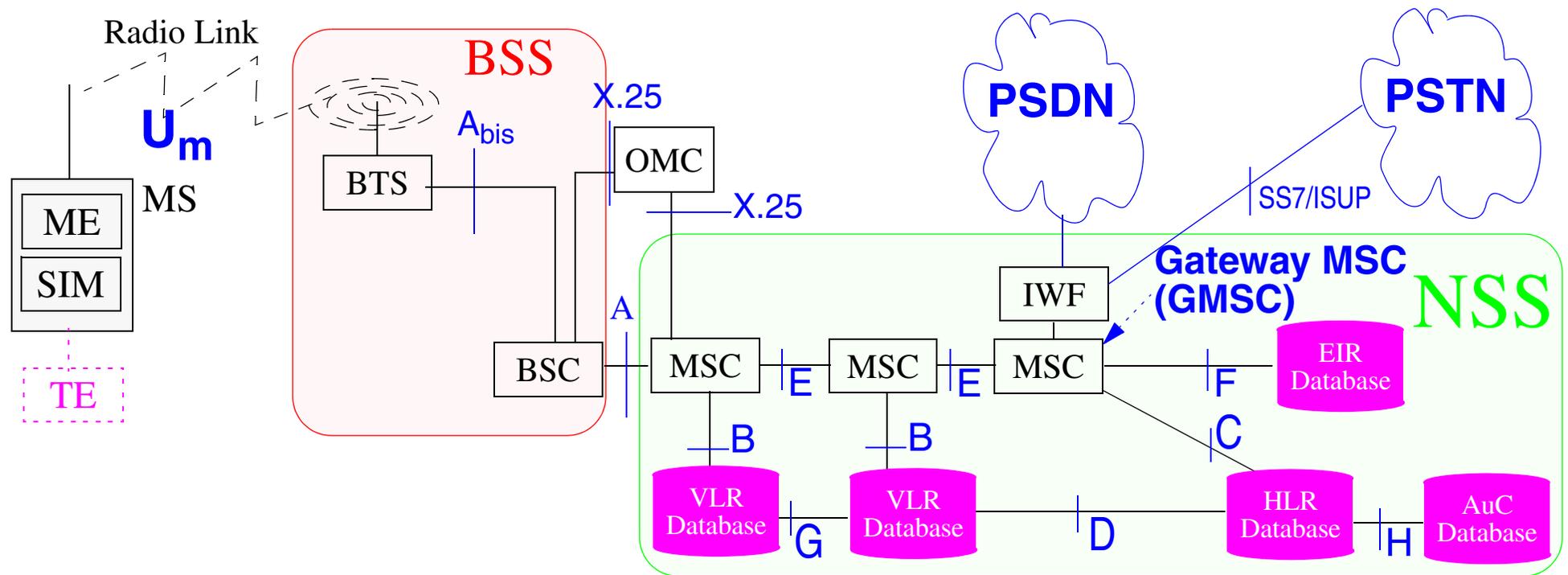
Figure 27: GSM Architecture

Interface	Description	Interface	Description
U_m	Radio link between MS and BTS	D	between HLR and VLR (MAP/TCAP)
A_{bis}	between BTS and BSC, PCM 2 Mbps, G. 703	E	between two MSCs (MAP/TCAP + ISUP/TUP)
A	between BSC and MSC, PCM 2 Mbps, G. 703	F	between MSC and EIR (MAP/TCAP)
B	between MSC and VLR (use MAP/TCAP protocols)	G	between VLRs (MAP/TCAP)
C	between MSC and HLR (MAP/TCAP)	H	between HLR and AuC

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Layer

Layer	MS	BTS		BSC		MSC							PSTN ISDN …
3	CM (04.08) / MM (04.08) / RR (04.08)	RR' (04.08)	BTSM (08.58)	RR' / BTSM (08.58)	BSSAP (08.06)	CM (04.08) / MM (04.08) / DTAP / BSSAP (08.06)	BSS MAP	TUP	ISUP	INAP	MAP	TACP	TUP, ISUP, INAP, MAP / TACP
2	LAP-D$_m$ (04.06/08)	LAP-D$_m$ (04.06/08)	LAP-D (08.56)	LAP-D (08.56)	SCCP MTP (08.06)	SCCP MTP (08.06)			MTP	SCCP			SCCP / MTP
1	Radio (04.04)	Radio (04.04)	64kbps (08.54)	64kbps (08.54)	64kbps (08.54)	64kbps (08.54)		64kbps (08.54)					64kbps (08.54)

Numbers in parentheses indicate the relevant ETSI-GSM recommendations.

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GSM Layers

- ## Layer 1: Physical layer
  - physical transmission
  - channel quality measurements
  - GSM Rec. 04.04, PCM 30 or ISDN links are used (GSM Rec. 08.54 on Abis interface and 08.04 on A to F interfaces)

- ## Layer 2: Data link layer
  - Multiplexing of layer 2 connections on control/signaling channels
  - Error detection (based on HDLC)
  - Flow control
  - Transmission quality assurance
  - Routing

- ## Layer 3: Network layer
  - Connection management (air interface)
  - Management of location data
  - Subscriber identification
  - Management of added services (SMS, call forwarding, conference calls, etc.)

GSM Air interface

- Layer 1 (GSM Rec. 04.04): Um interface
- Layer 2 (GSM Rec. 04.05/06): LAP-D$_m$ protocol (similar to ISDN LAP-D):
  - connectionless transfer of point-to-point and point-to-multipoint signaling channels
  - Setup and tear-down of layer 2 connections of point-to-point signaling channels
  - connection-oriented transfer with in order delivery, error detection and error correction
- Layer 3 (GSM Rec. 04.07/08) with sublayers for control signaling channel functions (BCH, CCCH and DCCH):
  - Radio resource management (RR): to establish and release stable connection between mobile stations (MS) and an MSC for the duration of a call and to maintain connection despite user movements - functions of MSC:
    - cell selection
    - handover
    - allocation and tear-down of point-to-point channels
    - monitoring and forwarding of radio connections
    - enabling encryption
    - change transmission mode
  - Mobility management (MM) handles the control functions required for mobility:
    - authentication
    - assignment of TMSI,

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- management of subscriber location
- Connection management (CM) - set up, maintain and tear down calls connections:
  - Call control (CC): Manages call connections,
  - Supplementary service support (SS): Handles special services,
  - Short message service support (SMS): Transfers brief text messages

Neither the BTS nor the BSC interpret CM and MM messages, these messages are exchanged between the MSC or the MS using the direct transfer application part (DTAP) protocol on the A interface.

Radio Resource Management (RR) messages are mapped to or from the base station system application part (BSSAP) for exchange with the MSC:

- Transmission mode (change) management
- Cipher mode management
- Discontinuous transmission mode management
- Handover execution
- Call re-establishment
- RR-session release
- Load management

- SACCH procedures
  - radio transmission control (power & timing, downlink), (measurements, uplink)
  - general information
- Frequency redefinition
  - General information broadcasting (BCCH)
  - cell selection information
  - information for idle mode functions
  - information needed for access
  - cell identity

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A_{bis} interface

Dividing line between the BSC function and the BTS

BSC and BTS can be connected using leased lines, radio links, metropolitan area networks (MANs), LANs {see UC Berkeley's ICEBERG}, …

Two channel types exist between the BSC and BTS:

- Traffic channels (TCH): configured in 8, 16 and 64 kbps formats - for transporting user data
- Signaling channels: configured in 16, 32, 56 and 64 kbps formats - for signaling purposes between the BTS and BSC

Each transceiver (transmitter + receiver) generally requires a signaling channel on the A_{bis} interface, data is sent as **Transcoder Rate Adapter Unit** (TRAU)[1] frames (for a 16 kbps traffic channel (TCH), 13.6 kbps are used for user data and 2.4 kbps for inband signaling, timing, and synchronization)

---

1. It is not defined where TRAU is placed, i.e., it could be part of BTS, BSC, or MSC.

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A_{bis} protocols

- ## Layer 1 (GSM Rec. 08.54)
  - 2.048 Mbps (ITU-T: E1) or 1.544 Mbps (ANSI: T1) PCM facility
  - with 64/32/16 kbps signaling channels and 16 kbps traffic channels (4 per timeslot)
- ## Layer 2 (GSM Rec. 08.56)
  - LAP-D protocol used for data messaging between the BTS and BSC
  - **Service Access Point Identifier** (SAPI) refers to the link identifier transmitted in the LAPD protocol (inherited from ISDN)
- ## Layer 3 (GSM Rec. 08.58/04.08)
  - BTS management (BTSM) via three logical signaling connections identified by Service Access Point Identifier (SAPI):
    - SAPI 0 is used by all messages coming from or going to the radio interface
    - SAPI 62 provides O&M message transport between the BTS and BSC
    - SAPI 63 is used for dynamic management of TEIs as well as for layer 2 management functions.

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A_{bis} protocols   GSM, GPRS, SMS, International Roaming, OAM 183 of 241
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A Interface

Defines interface between the BSC and MSC

TCHs are converted from 64 kbps to 16 kbps in the transcoder equipment, two cases based on where the transcoder equipment (TCE, i.e., TRAU) is located:

at BSC or BTS	traffic channel (TCH) occupies a complete 64 kbps timeslot in the 2 Mbps or 1.544 Mbps PCM link (layer 1, GSM Rec. 08.04)
at MSC	the TCHs are 16 kbps on the A interface

At least 2 time slots on the PCM link are needed for control and signaling purposes.

A interface protocols

Signaling protocol (layer 2+3) between BSC and MSC based on the SS7 standard and is transmitted along with the user data within the PCM facility. Normally timeslot 16 (TS16) of the 64 kbps frame is used.

The following protocols are employed:

- Layer 1 (GSM Rec. 08.04) either 2.048 Mbps (ITU-T: E1) or 1.544 Mbps (ANSI: T1) PCM link

- Layer 2 (GSM Rec. 08.06) SS7-based protocols
  - Message transfer part (MTP) protocol - transmission security between the BCS and MSC
  - Signaling connection control part (SCCP) protocol
  - SCCP connection can be initiated by a mobile station (MS) or an MSC
  - An SCCP connection can involve the following protocols:
  - From the MS:
    - MM: CM service request
    - RR: Paging response
    - MM: Location updating request
    - MM: CM re-establishment request
  - From the MSC:
    - Initiation of an "external handover" (BSSMAP: handover request).
  - MSC manages the SCCP connections

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- Layer 3 (GSM Rec. 08.08)
  - Base station system application part (BSSAP) protocol
  - On MSC end:
    - Base station management application part (BSSMAP) protocol - counterpart to the RR protocol on the air interface
    - Direct transfer application part (DTAP) protocol transmits CC and MM messages transmitted transparently through the BTS and BSC

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A interface protocols

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GSM Audio

- Speech coding - 20ms (i.e., 160) samples (8kHz @13 bits) are buffered then coded
- Error protection (codec specific)
- Error detection (CRC)
- Muting and Bad Frame Handling (substitution) - GSM 06.91
- Comfort Noise Generation (CNG) - GSM 06.92
- Voice Activity Detection (VAD) - GSM 06.94
- Discontinuous Transmission (DTX) - GSM 06.93)

Manufacturer specific audio features:

- noise cancelling
- spectrum equalization
- echo cancellation

CODECs

Full rate (FR)	13 kbps , Regular pulse excitation - long term prediction (RPE-LTP)
Half rate (HR)	5.65 kbps VSELP
Enhanced full rate (EFR)	12.2 kbps Algebraic Code Excited Linear Prediction (ACELP)
Adaptive Multi Rate (AMR)	ACELP; eight bit rates ranging from 4.75 kbps .. 12.2 kbps - see [61]
Adaptive Multi Rate (AMR) **wideband** - ITU G.722.2 and 3GPP's GSM-AMR WB	• codes **16 bit** linear PCM at **16 kHz**; 9 data rates (6.6 .. 23.85 kbps) • C callable interface for encoder/decoder

MSC interfaces and protocols
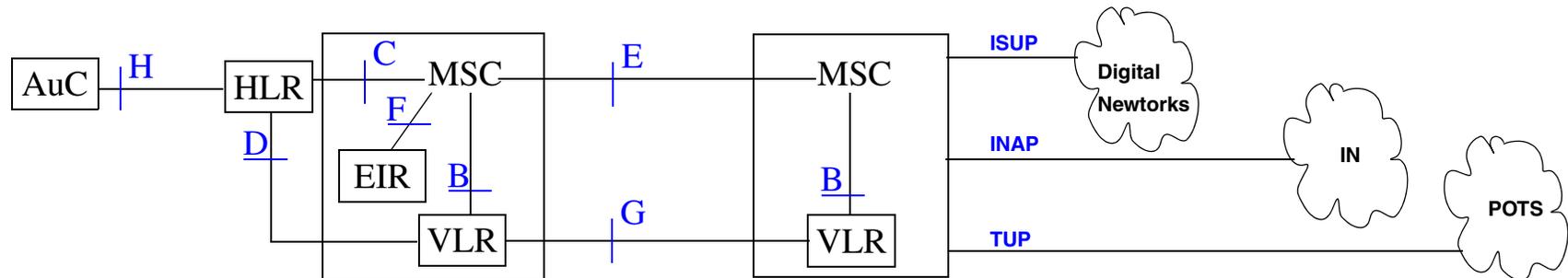
- ## Mobile Application Part (**MAP**) (GSM Rec. 09.02)
  - controls queries to the different databases in the mobile radio network (HLR, VLR, EIR, …)
  - responsibilities include access and location management, MSC-MSC handover, security functions, O&M, SMS, and supplementary services.

- ## Transaction Capabilities Application Part (**TCAP**)
  - provides universal calls & functions for handling requests to distributed appl. processes

- ## ISDN User Part (**ISUP**)
  - controls interworking (e.g. call setup/tear-down) between Public Land Mobile Networks (PLMNs) and other networks, and provides the same basic functionality as TUP

- ## Intelligent Network Application Part (**INAP**)
  - implements intelligent supplementary services (e.g. free call, time-dependent routing)

- ## Telephone User Part (**TUP**)
  - implements interworking between PLMNs and other networks
  - used to provide international connections and is being replaced by ISUP

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MSC interfaces and protocols GSM, GPRS, SMS, International Roaming, OAM 188
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GSM Logical Channels

Traffic channels		Full-rate (TCH/F) @ 22.8 kbps	Two way
		Half-rate (TCH/H) @ 11.4 kbps	
Signaling channels	Broadcast channels	Frequency correction (FCCH)	base-to-mobile
		Synchronization (SCH)	
		Broadcast control (BCCH)	
	Common control channels	Paging (PCH)	
		Access Grant (AGCH)	
		Random access (RACH)	mobile-to-base
	Dedicated control channels	Stand-alone dedicated control channel (SDCCH)	two-way
		Slow associated control (SACCH)	
		Fast associated control (FACCH)	

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GSM Logical Channels   GSM, GPRS, SMS, International Roaming, OAM 189 of
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Traffic channel (TCH)

Multiframe - group of 26 TDMA frames (120 ms long)

- 24 are used for traffic (voice or user data)
- 1 is used for the slow associated control channel (SACCH)
- 1 is currently unused

TCHs for the uplink and downlink are separated in time by 3 burst periods

- mobile station does not have to transmit and receive simultaneously
- simplifies the electronic circuitry; avoids antenna duplex filters
- reducing complexity helps to cut power consumption

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Traffic channel (TCH)   GSM, GPRS, SMS, International Roaming, OAM 190 of
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Broadcast channels (BCH)

Carry only **downlink** information - mainly for synchronization and frequency correction.

However, it is the only channel capable of point-to-multipoint communications in which short messages are simultaneously transmitted to several mobiles.

- Broadcast control channel (BCCH)
  - General information, cell-specific; e.g. local area code (LAC), network operator, access parameters, list of neighboring cells, etc. A MS receives signals via the BCCH from many BTSs within the same network and/or different networks
  - tells MS what their initial power level should be

- Frequency correction channel (FCCH)
  - correction of MS frequencies
  - transmission of frequency standard to MS
  - also used for synchronization of an acquisition by providing the boundaries between timeslots and position of the first time slot of a TDMA frame

- Synchronization channel (SCH)
  - frame synchronization (TDMA frame number) and identification of base station
  - reception of one SCH burst provides a MS with all the information needed to synchronize with a given BTS

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Broadcast channels (BCH)GSM, GPRS, SMS, International Roaming, OAM 191 of
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Common control channels (CCCH)

Uplink and downlink channels between the MS card and the BTS.

Convey information from the network to MSs and provide access to the network.

- ## Paging channel (PCH)
  - Downlink only
  - MS is informed (by the BTS) of incoming calls via the PCH.

- ## Access grant channel (AGCH)
  - Downlink only
  - BTS allocates a TCH or SDCCH to the MS, thus allowing the MS access to the network.

- ## Random access channel (RACH)
  - Uplink only
  - allows MS to request an Stand-alone dedicated control channel (SDCCH) in response to a page or due to a call
  - MS chooses a random time to send on this channel (note: potential collisions with RACH transmissions from other MSs)

PCH and AGCH are transmitted in one channel called the paging and access grant channel (PAGCH) - they are separated in time.

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Common control channels (CCCH)   GSM, GPRS, SMS, International Roaming, OAM
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Dedicated control channels (DCCH)

Responsible for roaming, handovers, encryption, etc.

- ## Stand-alone dedicated control channel (SDCCH)
  - communications channel between MS and the BTS
  - signaling during call setup -- before a traffic channel (TCH) is allocated
  - It takes ~480ms to transmit a message via SDDCH

- ## Slow associated control channel (SACCH)
  - always allocated to a TCH or SDCCH
  - used for "non-urgent" procedures: radio measurement data (e.g. field strengths) {information is used for handover decisions}, power control (downlink only), timing advance[1], …
  - 260bps channel - enough for reporting on the current cell and upto 6 neighbors about twice per second (if there is no other traffic for this channel)
  - note that the MS is told what frequencies to monitor (BTSs have a color code assigned to them so the that the MS can report on multiple BTSs which are using the same frequency)

- ## Fast associated control channel (FACCH)
  - similar to the SDCCH, but used in parallel to operation of the TCH
  - if the data rate of the FACCH is insufficient, "borrowing mode" is used (i.e., additional bandwidth borrowed from the TCH), this happens for messages associated with call establishment authentication of the subscriber, handover decisions, …
  - It takes ~40ms to transmit a message via FACCH

---

1. Transmission and reception of bursts at the base station must be synchronized, thus the MS must compensate for the propagation delays by advancing its transmission 0 .. 233 ms which is enough to handle cells of radius up to 35 km.

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Dedicated control channels (DCCH)   GSM, GPRS, SMS, International Roaming, OAM
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GSM Timing

A **very elaborate** timing structure ranging from 1/4 of a bit (900ns) to an encryption hyperframe (3 hours 28 minutes and 53.76s)!

Unit	Time		
bit	3.69μs		
slot	156.25 bits (577 μs)		
frame	8 slots (4.615 ms)		
traffic multiframe	26 frames (120 ms) or	control multiframe	51 frames (235.4 ms)
superframe	51 traffic multiframes or 26 control multiframes (6.12 s)		
hyperframe	2048 superframes (3 hours 28 minutes and 53.76s)		

Incoming Call

Figure 28: Call from fixed network to MS - we don't know which cell the mobile is in, only its rough location
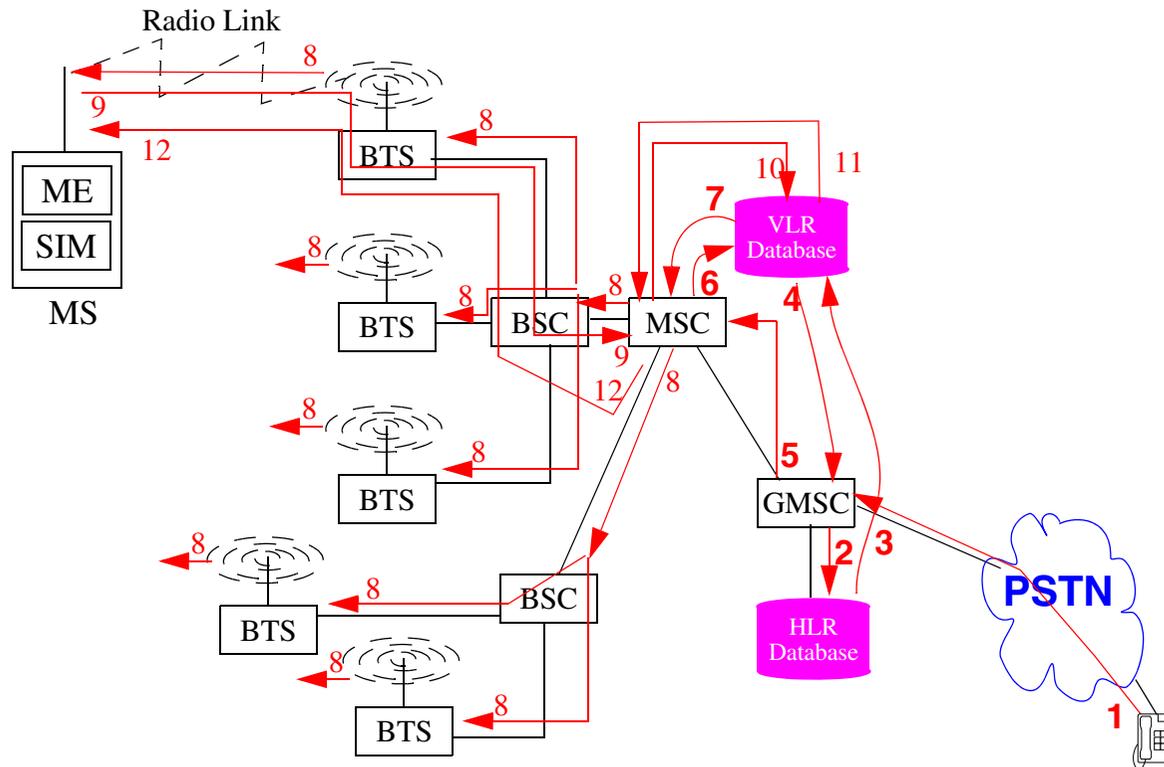
1. incoming call is passed from the fixed network to the gateway MSC (GMSC)
2. based on the IMSI numbers of the called party, HLR is determined
3. HLR checks for the existence of the called number, then the relevant VLR is requested to provide a mobile station roaming number (MSRN)
4. reply transmitted back to the GMSC
5. connection is switched through to the responsible MSC
6. VLR is queried for the location range and reach ability status of the mobile subscriber
7. if the MS is marked reachable, then a radio call is enabled
8. radio call is executed in all radio zones assigned to the VLR
9. reply from the MS in its current radio cell
10. when mobile subscriber telephone responds to the page, then complete all necessary security procedures
11. if this is successful, the VLR indicates to the MSC that call **can** be completed
12. call can be completed

Mobility Management (MM)

GSM network keeps track of which mobile telephones are powered on and active in the network.

The network keeps track of the last known location of the MS in the VLR and HLR.

Radio sites connected to the MSC are divided into "**location areas**" (LAs), thus when a call comes for an MS, the network looks for the MS in the last known location area.

Each BTS is assigned (by the operator) a 40 bit ID - called a **location area identity** (LAI), with three parts:

- mobile country code (MCC) - 3 (hex) digits [85]
  http://en.wikipedia.org/wiki/Mobile_country_codes
- mobile network code (MNC) - originally 2, later 3 (hex) digits
- location area code (LAC) - originally 5, later 4 hex digits (= 2 bytes = 65K values)

Within each location area - each cell has a Cell ID (CID)

$\Rightarrow$ Cell Global Identity (CGI) = CID, MCC, MNC, and LAC

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Security

Use of TMSI rather than IMSI - reduces the need to send IMSI over the air (thus simply listening to the radio link it is harder to identify a given user); there is a pool of TMSIs for each LAC.

Two major aspects of security: Authentication and Encryption

A3	Authentication algorithm
A5	Ciphering algorithm
A8	Ciphering key computation
K_i	secret encryption key - operator determines length, but it can be upto 128 bits
K_c	cypher key, computed based on K_i

Cipher mode management

Connection always starts in non-ciphered mode, because ciphering requires a user specific key and the network has to know the identity of the subscriber before it can be used!

Authentication

User authentication normally takes place when the MS is turned on (user must key in a PIN code on the handset in order to activate the hardware before this automatic procedure can start).

Authentication occurs with each incoming call and outgoing call. This is based on checking that "Ki" (secret encryption key) stored in the AuC matches the "Ki" stored in SIM card of the MS.

Authentication and Encryption

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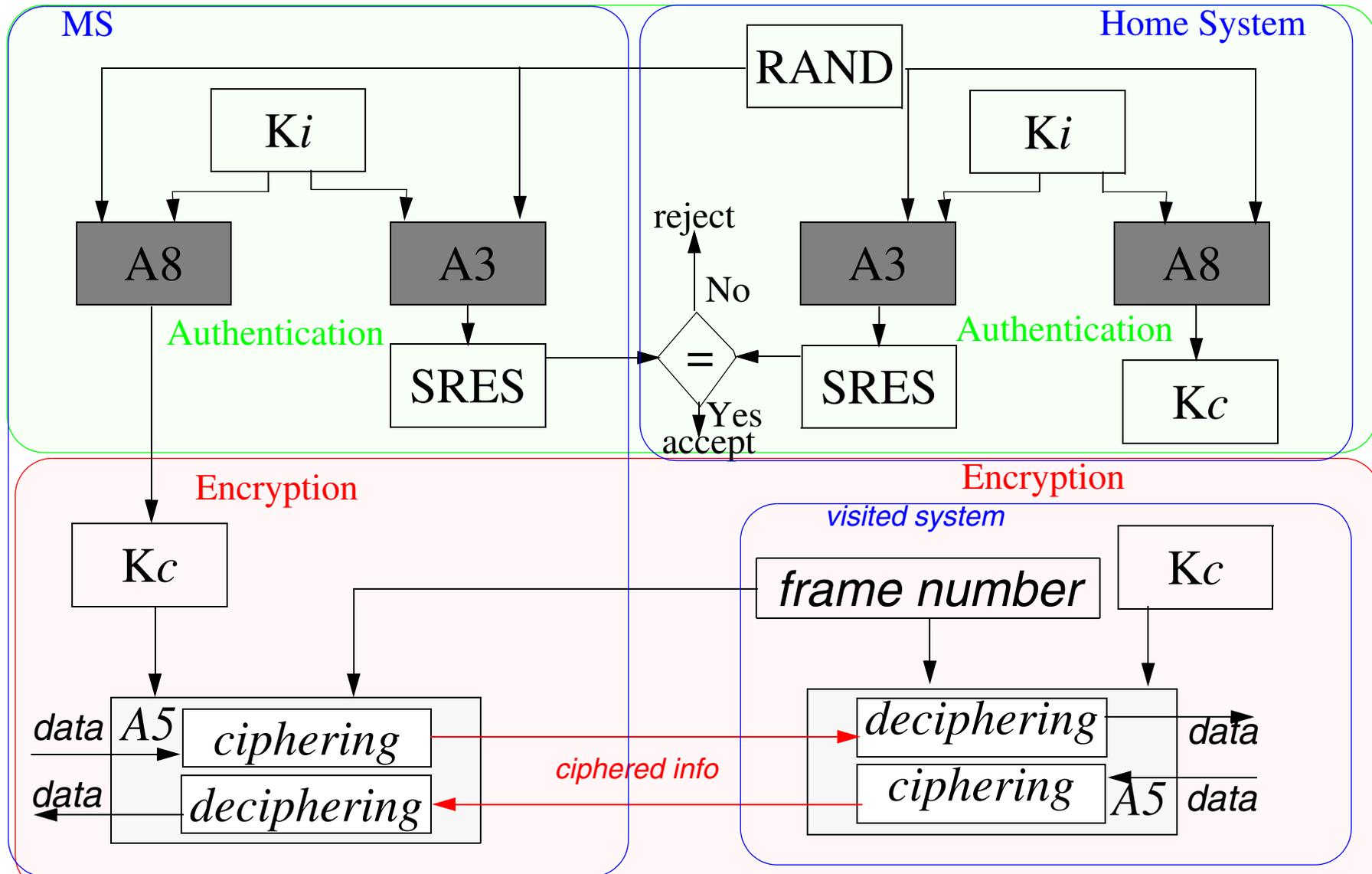
Practical breaking of GSM encryption using readily available tools

The GSM A5/1 algorithm has been criticized for many years. In a presentation Karsten Nohl and Chris Paget, GSM - SRSLY?, 26th Chaos Communication Congress, 27 December 2009[1], have shown a practical means of intercepting and decrypting GSM calls using readily available hardware and software. See also the related A5/1 Cracking Project[2].

Note that an interesting aspect of their method of computing tables to be used for the actual decryption is the user of multi-core processors such as the graphical processors (GPUs) (found on many graphics cards) and cell processors (found in many gaming systems).

---

1. http://events.ccc.de/congress/2009/Fahrplan/attachments/1479_26C3.Karsten.Nohl.GSM.pdf

2. http://reflextor.com/trac/a51

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GSM data rates

The following table of data rates is from page 39 of [56]

Connection Type[a]	Two-way delay
TCH/F9.6 T	330 ms
TCH/F9.6 NT	> 330 ms
TCH/F4.8 T	330 ms
TCH/F2.4 T	200 ms
TCH/H4.8 T	600 ms
TCH/H4.8 NT	> 600 ms
TCH/H2.4 T	600 ms

a. T = Transparent, NT = Non-transparent

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GSM data rates GSM, GPRS, SMS, International Roaming, OAM 201 of 241
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System engineering

The operator must choose how many of each element (MSC, BSC, BTS, …) to order, what capacity each must have, where to install them, …. However, since traffic does not remain constant installing enough capacity for long term traffic is *not* cost effective $\Rightarrow$ system engineering is an on-going activity

Note: goal of cellular planning is to choose the cell sites and cell parameters (frequency allocation, capacity, power, etc.) to provide economically continuous coverage and support the required traffic density (not an easy task)

Table of parameters, from page 101 of [56]

Area	Parameters	
Cell planning	frequencies beacon frequencies hopping sequences power control parameters	handover parameters cell selection parameters Base Station Identity Code (BSIC)
Dimensioning	# of common channels # of traffic channels	location areas periodic location updating
Load control	overload control parameters	

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GSM Network Optimization

Based on network performance & utilization, subscriber behavior, and (QoS)

Test methods:

- **Traffic analysis**: the signaling channels in the PCM frame are monitored and analyzed on the Abis and A interfaces

- **Bit error ratio test** (BERT): bit error measurement at the PCM level and the GSM-specific level (TRAU frame)
  - PCM bit error ratio (BER) is used to verify the quality of lines leased from fixed network operators
  - By evaluating the control bits in the TRAU, a bit error probability can be determined (uplink) during actual communications (in-service) {No easy measurement of the downlink BER}
  - More accurate radio link BER measurement (out-of-service) measurement in which the 260 data bits in the TRAU frame are checked using a pseudo-random bit sequence (PRBS)

- **Alarm monitoring** - checking PCM links for layer 1 alarms

- **Network quality test**: lots of measurements - including:
  - island problems, detection of coverage holes, interference, network load regarding signaling and traffic, handover failures, Receive level (RXLEV) surveillance, bit error ratio of a BTS (RXQUAL), multipath interference and propagation delays, frequency interference (due to nearby frequency reuse), call completion/disconnect rate, indications of system overload.

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Optimal Cell Planning

Some of the parameters which have to be decided [59]:

- **Selecting Site location**

- **Antenna parameters**
  - Tilt, Azimuth, Height, Antenna type

- **Site parameters**
  - **Transmitter power/Dedicated channel power level/Common channel power level**

- **Service parameters**
  - **Power per service**
  - **Enable/disable handover per service**

- **Network parameters**
  - **Handover**
    - **Neighbor lists/Hysteresis/Timers**
  - **Power control policy**

- **Resource management**

Note: first two are sets of parameters are fixed ($\Rightarrow$ a physical change in the site), while the others can be changed under software control.

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Optimal Cell Planning   GSM, GPRS, SMS, International Roaming, OAM 204 of
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Features

Call Waiting (CW)	{network-based feature} users with a call in progress receive an audible beep to alert them that there is an incoming call for their MS The incoming call can be: • **accepted** {the original call is put on hold}, • **sent to voice mail**, or • **rejected** {in this case the caller will receive a busy signal}
Call Hold (CH)	allows the MS to "park" an "in progress call", to make additional calls or to receive incoming calls
Call Forwarding (CF)	{network-based feature} allows calls to be sent to other numbers under conditions defined by the user Conditions can be either unconditional or dependent on certain criteria (no answer, busy, not reachable)
Calling Line ID	caller's network to delivers the calling line ID (telephone no.) to the GSM network; GSM telephone displays the originating telephone number
…	

GSM Phase 2+

- **High Speed Circuit Switched Data** (HSCSD)
- **General Packet Radio Service** (GPRS)

High Speed Circuit Switched Data (HSCSD)

Idea is simple	use several time slots out of each TDMA frame for one data connection
Reality	this is taxing for the RF power systems

In the basic GSM model transmit/receive (TX/RX) activities, the terminal can be implemented using **one** frequency synthesizer (even though it takes some time for the synthesizer to change from one frequency to another) - because of the offset of 3 slots between transmit and receiver.

If you only use 2 slots, you just need a synthesizer that changes faster, but at 3 slots you potentially need to transmit and receive at the same time.

At eight time slots (i.e., continuous transmission):

- monitoring neighboring base stations would require an independent receiver
- the terminal will be more expensive than one slot terminals
- power consumption will be **much** higher

Multi-slot systems have required changes in: ciphering, frequency hopping, and generally radio resource management functions.

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High Speed Circuit Switched Data (HSCSD)
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HSCSD depends on:

- **Terminal Adaptation Function** (TAF)
- **Interworking Functions** (IWF)
- enhanced RLP to handle multilink (aka multiple time slot) operation

Radio Link Protocol (RLP)
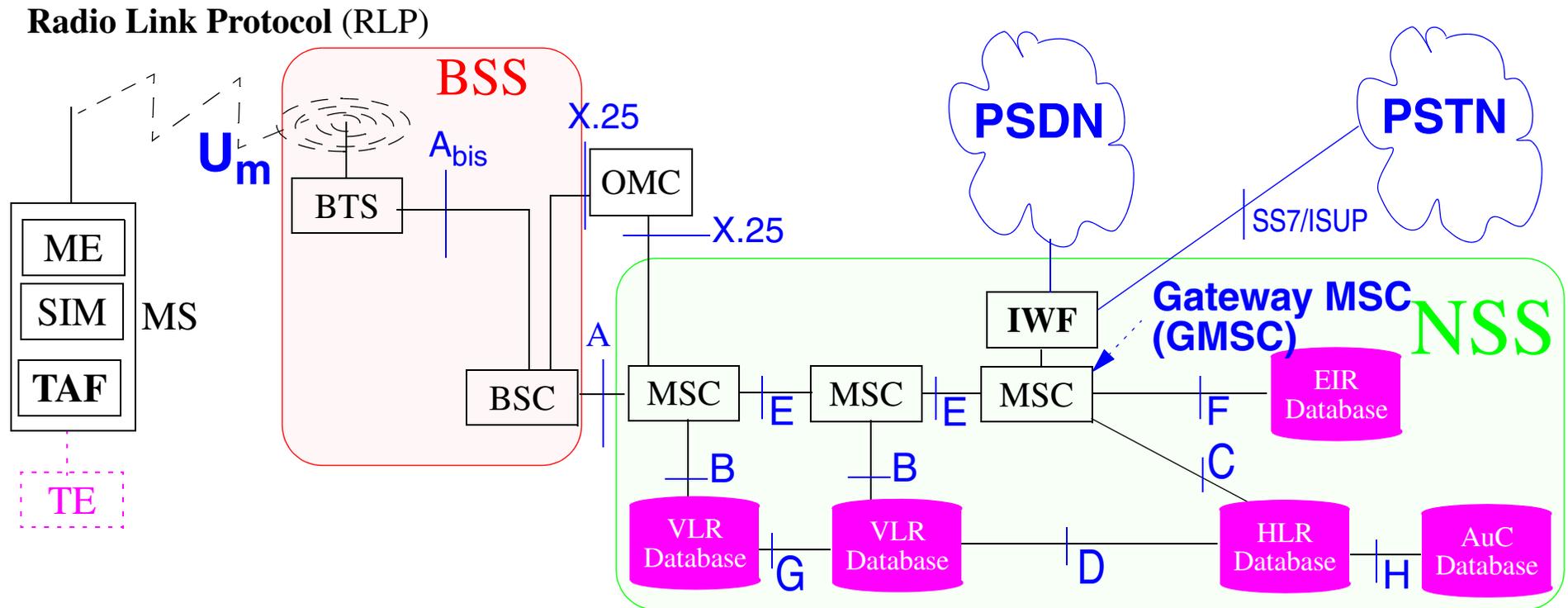
Figure 29: GSM/HSCSD Architecture

Nokia's Card Phone 2.0: HSCSD at upto 43.2 kbps (without data compression)

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High Speed Circuit Switched Data (HSCSD)
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GSM, GPRS, SMS, International Roaming,
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General Packet Radio Service (GPRS)

GPRS features:

- True packet radio system - sharing network and air interface resources
- Volume based charging
- TCP/IP (Internet & Intranet) interworking, SMS over GPRS, (and X.25 interworking)
- Peak data rate from 9.05 kbps .. 171.2 kbps
  - bandwidth may be **asymmetric**, for example: 2 up/4 downlink channels
- Protocols designed for evolution of radio
  - Enhanced Data rates for Global Evolution (EDGE) - a new 3G GSM modulation scheme
  - Migration into 3rd Generation

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General Packet Radio Service (GPRS) GSM, GPRS, SMS, International Roaming, OAM
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GPRS nodes

GPRS introduces new network elements

- **Serving GPRS Support Node** (SGSN)
  - authentication & authorization, GTP tunneling to GGSN, ciphering & compression, mobility management, session management, interaction with HLR,MSC/VLR, charging & statistics, as well as NMS interfaces.

- **Gateway GPRS Support Node** (GGSN)

- interfacing to external data networks (basically it is a network router) encapsulating data packets in GTP and forwarding them to right SGSN, routing mobile originated packets to right destination, filtering end user traffic, as well as collecting charging and statistical information of data network usage

GPRS is the result of committees trying to "adapt" Mobile IP to GSM systems.

GSM/GPRS Architecture and Interfaces

Radio Link (with radio media access protocol)

G_a	Charging data collection interface between a CDR transmitting unit (e.g. a SGSN or a GGSN)
G_b	between a SGSN and a BSS (G_b = **b**ase interface)
G_c	between a GGSN and a HLR (G_c = **c**ontext)
G_d	between a SMS-GMSC and a SGSN, and between a SMS-IWMSC and a SGSN (**not shown**)
G_f	between an SGSN and a EIR (G_f = **f**raud)

G_i	reference point between GPRS and an external packet data network (G_i = **i**nternet)
G_n	between two GSNs within the same PLMN (G_n = **n**ode)
G_p	between two GSNs in different PLMNs (G_p interface allows support of GPRS network services across areas served by the co-operating GPRS PLMNs.) (G_p = **P**LMN)
G_r	between an SGSN and a HLR (G_r = **r**oaming)
G_s	between a SGSN and a MSC/VLR

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GSM/GPRS Architecture and Interfaces GSM, GPRS, SMS, International Roaming, OAM
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GPRS Coding Schemes

Four coding schemes (but only CS1 and CS2 are in early systems)

Coding Scheme	CS1	CS2	CS3	CS4
User Data Rate	9.05 kbps	13.4 kbps	15.6 kbps	21.4 kbps
Correction Capability	Highest			None
Worst-link Budget[a]	135 dB	133dB	131 dB	128.5 dB
Maximum Cell Range	450 m	390 m	350 m	290 m
40 bytes (320 bits) of payload see [64], pg. 33	1956 bits	1132 bits	1018 bits	625 bits
1500 bytes (12000 bits)	55787 bits	32490 bits	27218 bits	19345 bits

a. For comparison with GSM the worst-case link budget is 142.5 dB.

The real problem is that GPRS uses *interleaving* to spread the effect of burst errors - but this means that the delay is always high! Some newer coding schemes try to reduce this delay.

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GPRS Coding Schemes GSM, GPRS, SMS, International Roaming, OAM 212 of
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Mobile and Wireless Network Architectures

Unstructured Supplementary Service Data (USSD)

When MS can not recognize text - it simply passes it to the network as USSD.

USSD supports all digits, asterisk (*), and punt/pound (#) keys. In the form:

(* | #) command_code (2-3 digits) {*parameter}* #

total length up to 200 ASCII characters

A USSD server (or gateway) is connected to the user's HLR via MAP and to servers (which actually provide a specific service) via TCP/IP. USSD is thought to be ~7x faster than SMS for two-way transactions (this is because USSD is session oriented as opposed to SMS's store-and-forward behavior).

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Unstructured Supplementary Service Data (USSD)
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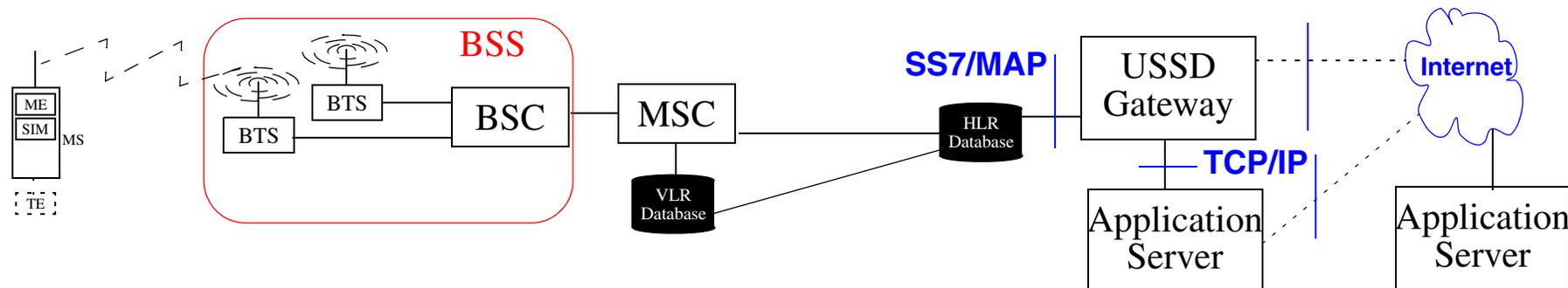
USSD continued

Examples:

- set-up or cancel of services like call forwarding
- Swisscom's SIm Card Application Platform (SICAP) prepaid roaming platform[1]: users dial in a USSD string that includes the telephone number they want to call (e.g., *101*NUMBER#) this is sent to the SICAP platform at their (home) operator, who then connects them to the desired number by dialling them back!

In addition to passing the USSD message to the external application, the USSD Gateway passes:

- originating subscriber's MSISDN
- number of the HLR which handled the USSD
- originating subscriber's IMSI (optional)
- VLR Number (optional)

Disadvantage: USSD and SMS both use the same control channel

---

1. Sold as "GSM Card easyRoam"

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USSD continued GSM, GPRS, SMS, International Roaming, OAM 214 of 241
2010.01.21   Mobile and Wireless Network Architectures

Short Message Service (SMS)

Short Message Service (SMS) offers connectionless (message) delivery (similar to "two-way-paging")

If the GSM telephone is not turned on, the message is held for later delivery. To Ensure that each time a message is delivered to an MS, the network expects to receive an acknowledgement from the MS that the message was correctly received.

- SMS supports messages up to 140 octets (160 characters of GSM default Alphabet - see GSM 03.38) in length.
- SMS concatenation - combines several messages
- SMS compression - defined standard for compression of content

With international roaming these messages can be delivered by any GSM network around the world to where the MS currently is.

Two types of messages: **cell broadcast** and **point-to-point service**

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Short Message Service (SMS) GSM, GPRS, SMS, International Roaming, OAM 215
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Mobile and Wireless Network Architectures

SMS message types

User-specific   message is to be display to the user

ME-specific   message is targeted at the mobile terminal itself

- playing a ring tone
- displaying a business card
- changing the default icon
- …

SIM-specific   message is targeted at the SIM card

- change the balance in a pre-paid card

Short Message Service Architecture

SMSC	Short Message Service Centre
SMS GMSC	SMS Gateway MSC
IWMSC	Interworking MSC
ESME	External Short Message Entities
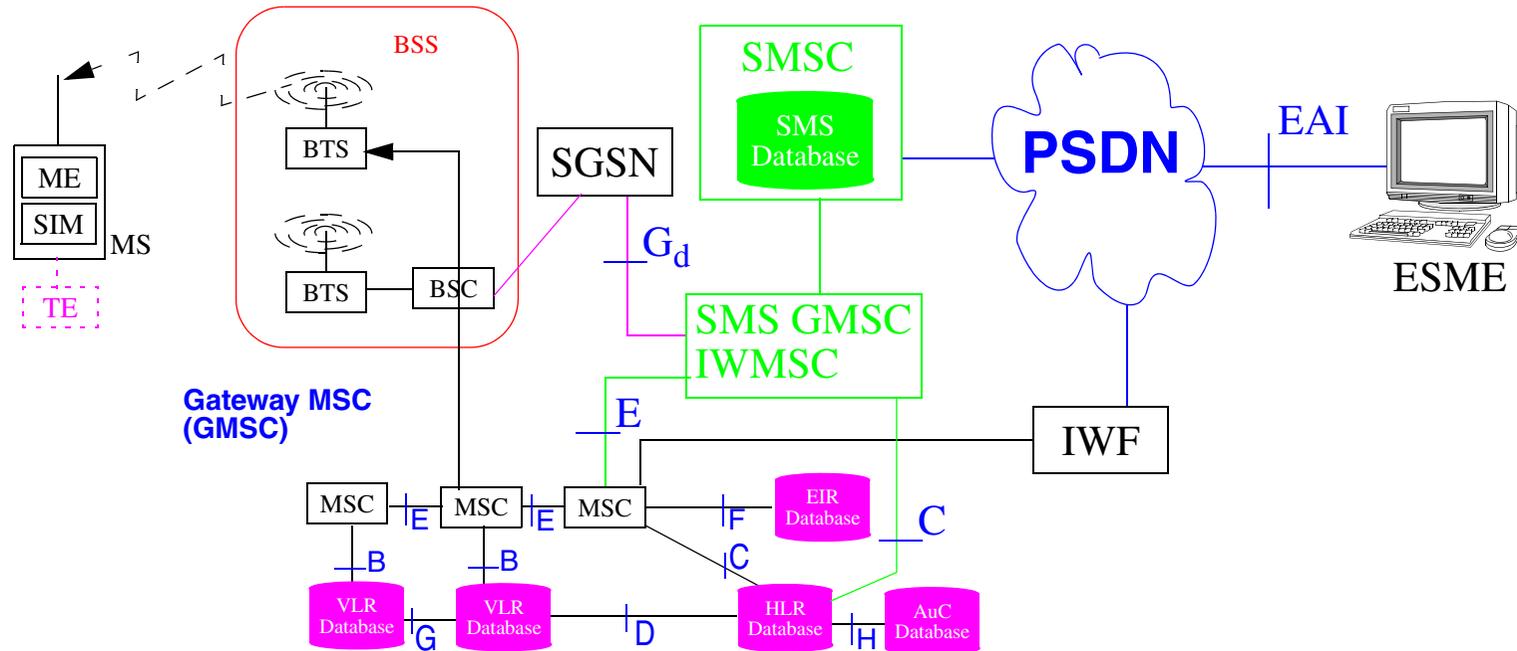
Figure 30: SMS Architecture

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Short Message Service Architecture   GSM, GPRS, SMS, International Roaming, OAM
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SMSCs

- High reliability
- High availability
  - Logica's Picasso SMS Centre allows new hardware can be added within 60 seconds, with no service outage
- High performance
  - HP's (formerly Compaq's) AlphaServer™ ES45,over 8,000 SMS deliveries per second with CMG Wireless Data Solutions (formerly CMG Telecommunications) SMSC software [70]; note that they have merged with Logica plc forming: LogicaCMG
  - Logica's Picasso SMS Centre supports 1 to 128 nodes with automatic load sharing
- existing SMSCs talk TCP/IP as well as other protocols
- SMS brokers: buy SMS capacity in bulk, they receive your messages and then transfer them to operators that they have agreements with.
- As **each** SMS is charged for the resulting CDR volumes can be very high, e.g., Mannesmann has peak CDR rates as high as 2,500-3,000 CDRs per second ([73], pg. 13).
  - For a performace study of SMS and MMS centers see [80].
  - William Enck, Patrick Traynor, Patrick McDaniel, and Thomas La Porta, "Exploiting Open Functionality in SMS Capable Cellular Networks" [81], describes a distributed denial of service via SMS

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SMSCs
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Mobile and Wireless Network Architectures

Three kinds of SMSs

User-specific	display to a user
ME-specific	ME processes the message when it is received
	Nokia has special function to play ring tone, display a business card, modify the default icon, …
SIM-specific	SIM processes the message when it is received
	(for use via SIM toolkit applications)

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Three kinds of SMSs   GSM, GPRS, SMS, International Roaming, OAM 219 of
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Entering Short Messages

To improve the speed of entering SMSs (and other text)

- Full keyboards (such as Ericsson's Chat Board)
- Onscreen keyboard (such as Palm's on-screen keyboard)
- Fitaly keyboard - arranges letters based on their frequency and probability transitions in English (see page 43 of [69])
- Predictive text input algorithms
  - Tegic T9 - utilizes numeric keypad and probability to work out probably string (see page 45 of [69])
  - e-acute's Octave keyboard (see pages 46-47 of [36])
- Handwriting recognition
  - Word recognition, such as Psion's CalliGrapher (see pages 47-48 of [36])
  - Character recognition, such as Palm's Graffiti (see pages 48-49 of [36]) and
  - CJKOS - an OS extension for Palm for Chinese, Japanese, and Korean (see page 49 of [36])
- Speech recognition

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Entering Short Messages GSM, GPRS, SMS, International Roaming, OAM 220 of
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SMS shorthand

From "Get 2 grips with SMS-speak b4 it's 2 L8 !" some examples:

afasik	as far as I know	<g>	grin	sc	stay cool
asap	as soon as possible	gr8	great	sol	sooner or later
atw	at the weekend	gsoh	good sense of humour	t+	think positive
awhfy	are we having fun yet?	h2cus	hope to see you soon	t2ul	talk to you later
b4	before	hak	hug and kisses	tuvm	thank you very much
bbfn	bye bye for now	ic	I see	w4u	waiting for you!
bcnu	be see in you	idk	I don't know	wuwh	wish you were here
brb	be right back	idts	I don't think so!	X!	Typical woman!
btw	by the way	iow	in other words	Y!	Typical man!
cm	call me	j4f	just for fun		
cu	see you	kc	keep cool		
cul8ter	see you later	khuf	know how you feel		
dk	don't know	l8r	later		
dur?	do you remember	m8	mate		
e2eg	ear to ear grin	mtfbwu	may the force be with you		
eod	end of discussion	nc	no comment		
F?	Friends?	nwo	no way out		
F2F	Face to Face	o4u	only for you		
fya	for your amusement	O!ic	Oh, I see!		
fyi	for your information	ruok	are you okay?		

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SMS shorthand GSM, GPRS, SMS, International Roaming, OAM 221 of 241
2010.01.21
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External Application Interface (EAI)

In order to enable non-mobile External Short Message Entities (ESME) to interface with an SMSC one of the following protocols (which all run over TCP/IP) is generally used:

Short Message Peer to Peer (SMPP)   open message-transfer protocol to enable

SMPP V5.0 specification released 20 February 2003 [72]

Initially defined by Logica - now SMSForum

CIMD2   Nokia's Computer Interface to Message Distribution 2 [74]

EMI/UCP   Vodafone's description of CMG's Universal Computer Protocol[75]

Note:
- this avoids the earlier problem of the interface to the SMSC being closed;
- more and more operators seem to be converging on using SMPP.

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External Application Interface (EAI)   GSM, GPRS, SMS, International Roaming, OAM
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SMS performance

See section 1.1.2 of [1] for some statistics concerning time to deliver a SMS message and the probability that the mobile station is not available (hence the message can not be delivered).

Observe that Figure 1.7 of [1] showing the time to delivery and cummulative distribution function (CDF) of the time to delivery - clearly indicates that message delivery is rather slow - with more than a minute of time required to deliver 90% of the message and even after several minutes there are many messages which are not yet delivered (but which are still deliverable).

For some additional reading about SMS performance see [80], [82], and [83].

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2010.01.21
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Voice Messaging System (VMS)

A value-added service which redirects incoming calls (i.e., forwards them) to a voice mailbox when MS is turned off, low on battery, left unattended (after ringing for xx seconds) or temporarily out of coverage.

A **Voice Message Alert** (VMA) can be send (via SMS) to the MS to let the user know there is a waiting voice message.

Note that you can use SMS's "replace message" facility - to over-write last VMA - thus there will only be one message with the latest status voice messages (for example saying: "You have N voice messages waiting").

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Voice Messaging System (VMS)GSM, GPRS, SMS, International Roaming, OAM 224
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Voice Profile for Internet Mail (VPIM)

Voice Profile for Internet Mail (VPIM) Version 2 is currently a Proposed Standard (RFC 2421) Applicability Statement, it is an application of Internet Mail originally intended for sending voice messages between voice messaging systems

http://www.ema.org/vpim

http://www.ietf.org/html.charters/vpim-charter.html

VPIM v3 Specification add extensions: IMAP voice extensions, voice directory profiles, content negotiation details for voice, and partial non-delivery notifications.

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Voice Profile for Internet Mail (VPIM)   GSM, GPRS, SMS, International Roaming, OAM
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Enhanced Message Service (EMS)

Allows basic graphics, icons, and sounds to be incorporated in SMS messages.

Based on concatenating (i.e., linking together a chain of) several SMS messages

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Enhanced Message Service (EMS)   GSM, GPRS, SMS, International Roaming, OAM
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Multimedia Messaging Service (MMS)

MMS Centre (MMSC) - a logical extension of an SMS Centre, but must cope with a larger variety of message types; in addition, it can convert message formats to suit the capabilities of the receiving terminal

Four key functional elements:

- MMS Relay - engine which transcodes and delivers messages to mobile subscribers
- MMS Server - provides the store in the store-and-forward architecture
- MMS User Databases - user profiles, subscription data, …
- MMS User Agent - an application server which enables users to view, create, send, edit, delete, and manage their multimedia messages

An MMS presentation can utilize a synchronization language (e.g. **Synchronized Multimedia Integration Language** (SMIL)[128]) for synchronized presentation.

In addition to store and forward, MMS also supports store and retrieve (via e-mail and web), but it was primarily designed as a person-to-person service.

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Multimedia Messaging Service (MMS) GSM, GPRS, SMS, International Roaming, OAM
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SMS over GPRS

Can send SMS over GPRS - thus avoiding the problem of SMS utilizing the GSM control channel

However, if users send their messages directly via an messaging application or via e-mail -- this could take a lot of revenue away from the operators (as SMS and MMS have a high premium over the cost of simply transferring the bits).

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SMS over GPRS GSM, GPRS, SMS, International Roaming, OAM 228 of 241
2010.01.21
Mobile and Wireless Network Architectures

International Roaming

GSM's roaming feature allows a user to make and receive calls in **any** GSM network and to use the same user-specific services worldwide, but this requires a **roaming agreement between the *individual* operators**.

Good news	With worldwide roaming the MS is accessible via the same phone number everywhere!
Bad news	It could be very expensive - much more expensive than you think!

The basic problem is that when you roam to another network (for example, in another country) - your Mobile Station ISDN number (MSISDN) *still looks like it is in your home network*.

Worse: If you are in the same (non-home) network as the person you are calling, this results in two international calls! This is due to tromboning. For four solutions see section 13.2 of [84], pages 242-249.

Using IP backbone with GSM Roaming

Hamid Shahzad and Nishant Jain recently presented a Masters Thesis entitle: "Internet Protocol based Mobile Radio Access Network Architecture for Remote Service Areas"[86].

They describe a packet optimized IP- GSM Radio Access Network (GRAN) architecture that uses the Internet Protocol (IP) for communications between Base Transceiver Stations (BTS), Base Station Controllers (BSC), and the Network Switching Subsystem (NSS) -- located in a remote (and potentially mobile: ships, planes, etc.) area.

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Using IP backbone with GSM Roaming GSM, GPRS, SMS, International Roaming, OAM
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Enhanced Data Rates for GSM Evolution (EDGE)

- enhanced modulation technique designed to increase network capacity and data rates in GSM networks

- provide data rates up to 384 Kbps.

- EDGE lets operators without a 3G license compete with 3G networks (since the data rates are comparable in the wide area)

GSM/EDGE Radio Access network (GERAN)

The radio interface used in Enhanced Data Rates for GSM Evolution (EDGE)

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Enhanced Data Rates for GSM Evolution (EDGE) GSM, GPRS, SMS, International Roaming,
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EGRPS

EGPRS = EDGE -- an extension/enhancement of GPRS including 4 new Data Packet Traffic Channels using 8-PSK modulation and a incremental redundancy mechanism extended to the GMSK based data packet traffic channels.

- Support for simultaneous, multiple radio access bearers with different QoS profiles.
- New bearer classes:

Conversational Class	Voice & video conferencing where small delay is required
Streaming Class	Capable of processing as transfer is taking place, needs somewhat constant delay and throughput
Interactive Class	on-line applications
Background Class	Delay insensitive but requires few errors (may require multiple re-transmissions to hide errors)

Operation/Administration/Maintenance

Operation/Administration/Maintenance (OA&M) follows ITU-T's Telecommunications Management Network (TMN) model, which has several components:

Operations system (OS)	OS uses Operating System Function (OSF) to provide overall management, billing, account, management of mobile equipment, HLR measurement, …
Network Element Functions (NEFs)	provides monitoring and control of Network Elements (NEs): HLR, VLR, AuC, EIR, MSC, BSC, and BTS
Data Communication Network	OS, NEs, and other TMN elements via Data Communication Function (DCF)
Mediation device (MD)	adapts the OS to a specific NE
Q-Adapter (QA)	uses Q-adapter function to adapt non-TMN equipment
Workstation (WS)	OA&M personnel interact with OS via Workstation functions (WSFs)

I personally find this ITU-T speak! But you have to talk the talk to walk the walk!

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Operation/Administration/Maintenance GSM, GPRS, SMS, International Roaming, OAM
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`http://www.itu.int/rec/recommendation.asp?type=items&lang=e&parent=T-REC-E.212-200405-I` {note that ITU charges for access to the document}

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Further reading GSM, GPRS, SMS, International Roaming, OAM 240 of 241
2010.01.21
Mobile and Wireless Network Architectures

[86] Hamid Shahzad and Nishant Jain, "Internet Protocol based Mobile Radio Access Network Architecture for Remote Service Areas", Masters Thesis, Department of Communication Systems, Royal Institute of Technology, October 2007

http://web.it.kth.se/~maguire/DEGREE-PROJECT-REPORTS/071001-Hamid_Shahzad_and_Nishant_Jain-IP_GRAN_Architecture-with-cover.pdf

[87] David Crowe, "IMSI Problems in North America", IFAST, IFAST#19, 2002.06.06

http://www.ifast.org/files/IFAST19_015_IMSI.pdf

[88] "ECTRA Decision on Mobile Network Codes ˇ2013 Change from 2-digits to 3-digits", GSM Europe, Paris, France, 1st September 2000

http://www.gsmworld.com/gsmeurope/documents/positions/2000/ectra_web.pdf

Operation/Administration/Maintenance

[89] Yi-Bing Lin and Imrich Chlamtac, *Wireless and Mobile Network Architectures*, **Chapter 14**, pp. 252-263 in [2].

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Further reading GSM, GPRS, SMS, International Roaming, OAM 241 of 241
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IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

4. Number portability, VoIP, Prepaid, Location Based Services

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

KTH Information and Communication Technology

Database lookups

Local Number Portability (LNP)

Local Number Portability required by the Telecommunications Act of 1996 and a July 1996 order of the Federal Communications Commission (FCC) - similar requirements in Sweden and elsewhere.

LNP (as defined by the FCC): "the ability of users of telecommunications services to retain, at the same location, existing telecommunications numbers without impairment of quality, reliability, or convenience when switching from one telecommunications carrier to another."

LNP implies efficient call-routing must **not be based** on a **physical** location, but rather a **logical routing scheme** for how and where to route a call.

Verizon's cost recovery for providing LNP amounts to US$13.80/line over a 5 year period! In Denmark, donor operator charges the recipient operator a fee of DKK 72 (~9.6€) excl. VAT (~9.6€) for the coverage of one-time administrative costs related to the porting of a single subscriber number.

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Database lookups Number portability, VoIP, Prepaid, Location Based Services
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Three kinds of Local Number Portability

- **Service Provider Portability**: subscriber can move to an new provider without a change in number (current requirement)
- **Location** (or **Geographic**) **Portability** (GNP): subscriber can move to a new location/geographic area (future requirement)
- **Service Portability**: if the service (mix) which the subscriber has is not available in their new local exchange, then connect them to where the services are available (future requirement)

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Three kinds of Local Number PortabilityNumber portability, VoIP, Prepaid, Location Based
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Mobile Number Portability (MNP)

requirement that any mobile (e.g., GSM) subscriber be able to move to a new **operator** or **service provider** and keep the same number (MSISDN)

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Mobile Number Portability (MNP)   Number portability, VoIP, Prepaid, Location Based
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Non-geographic number portability (NGNP)

numbers (typically) associated with a service rather than a geographic destination, e.g., freephone, low rate calling numbers, premium rate numbers; requires that the service provider can be changed without a change of number; these all require DB lookup

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Non-geographic number portability (NGNP)
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Call forwarding at donor end

Donor = service provider whom the number is initially associate with
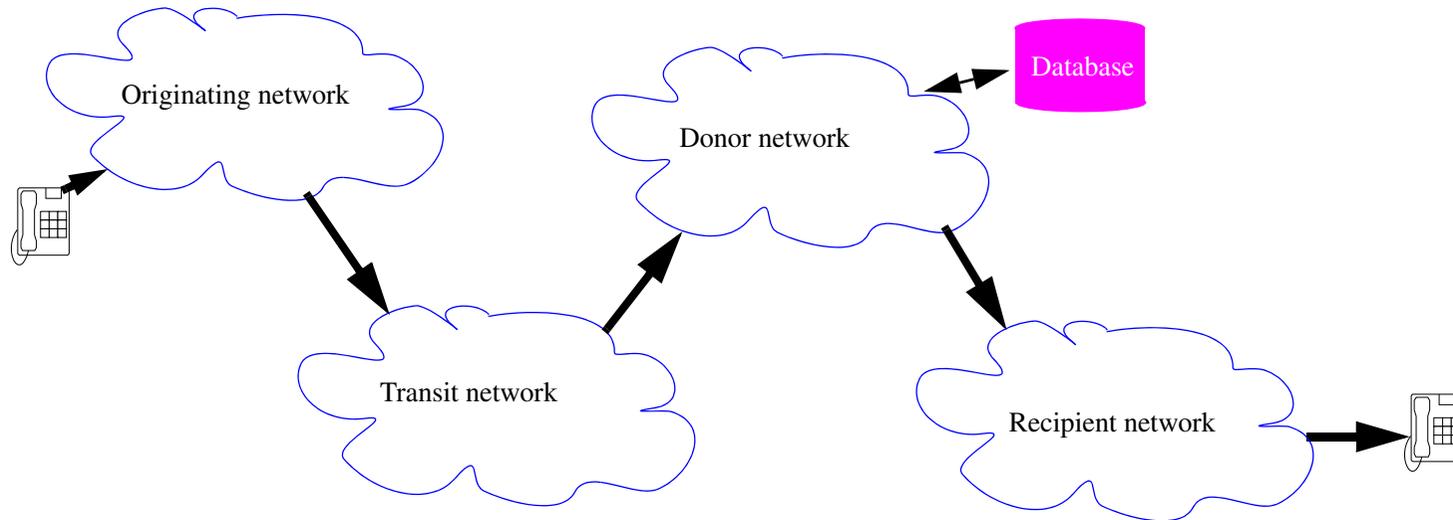
- inefficient in terms of call setup delays and usage of transmission capacity
- can not easily cope with numbers ported more than once, and
- the donor network continues to control first and subsequent portings.

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Call forwarding at donor endNumber portability, VoIP, Prepaid, Location Based Ser-
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Drop back forwarding
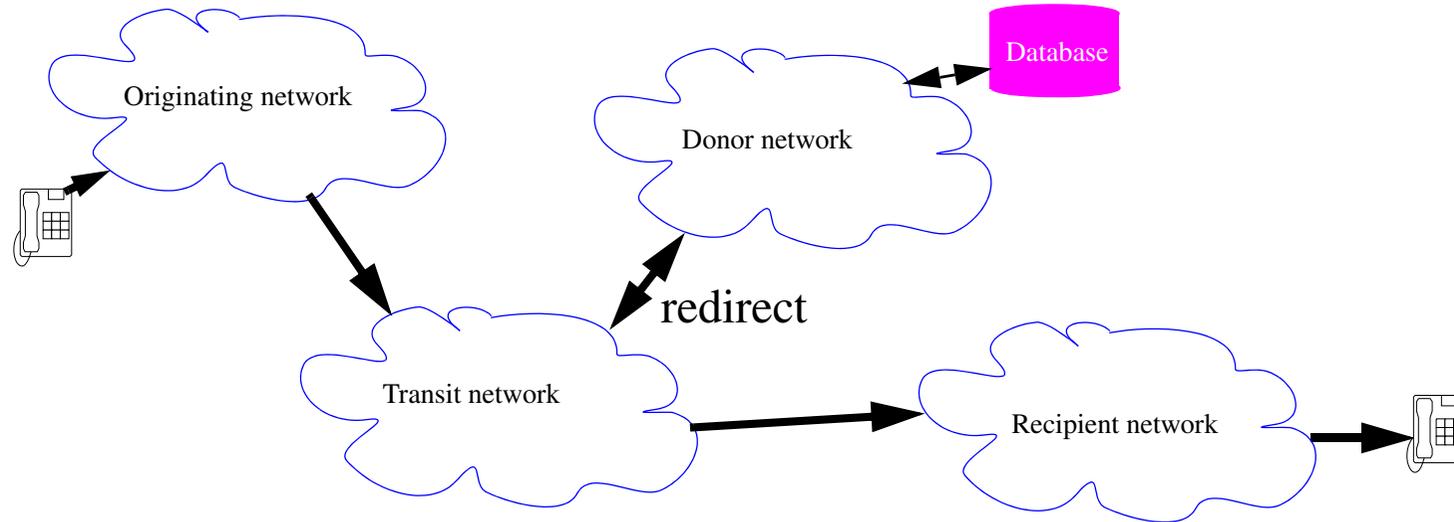
- transit network gets a **redirect** from the donor network, it may be able to pass this all the way back to the originating network (i.e., dropping back through each of the networks to the originating network)
- makes better use of transmission capacity and can handle multiple portings
- the donor network continues to control first and subsequent portings.

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Drop back forwarding Number portability, VoIP, Prepaid, Location Based Servic-
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Query on release (QoR) solutions
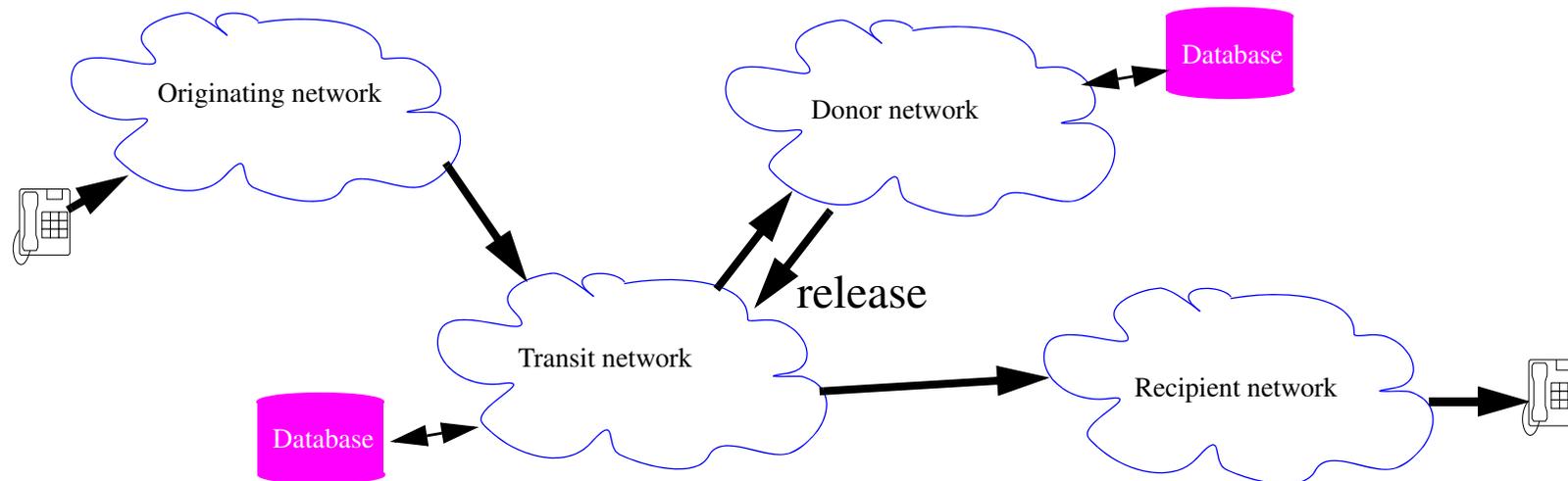
- Donor network realizes the number has been ported out and sends an **ISUP release** or it might not know anything about this number (i.e., not it its DB any longer) $\Rightarrow$ releases the call

- Release causes an intermediate point to query a portability database and to redirect the call.

- If the forward signalling indicates that preceding networks have QoR capability, then the release goes all the way to the originating network, which does the DB lookup and reroutes the call to recipient network.

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Query on release (QoR) solutions
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Look up type solutions
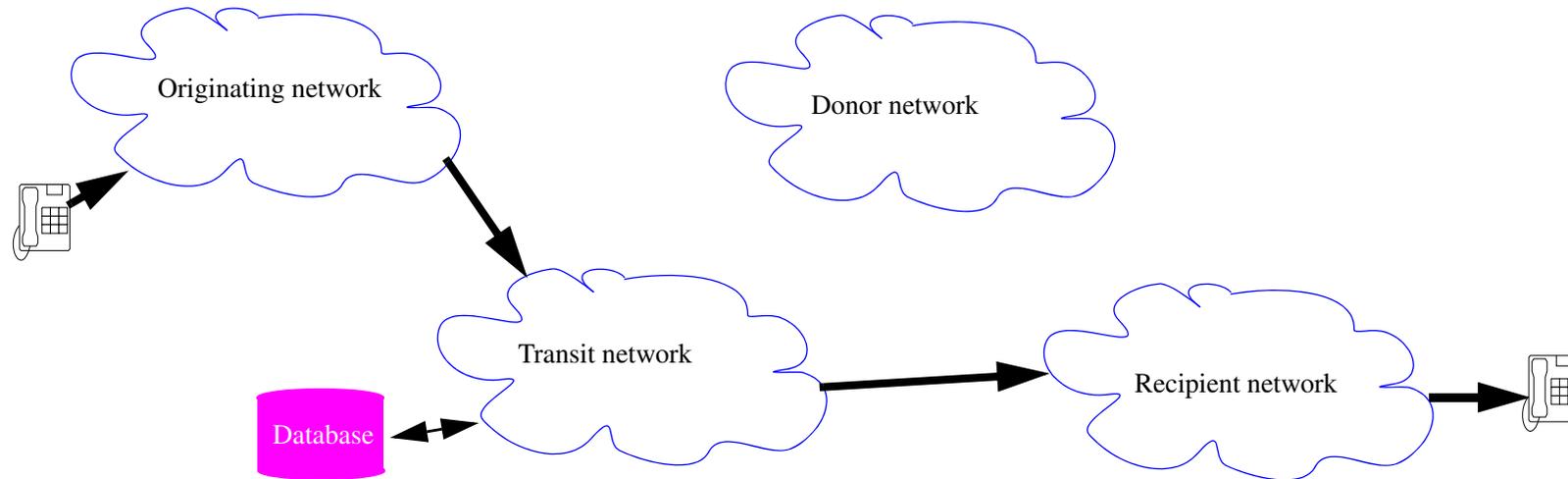
- portability database is checked for **all** calls, if the number has been ported, the new number is obtained and the call rerouted (done at first **first exchange** in a network that can access a **portability database**)
- solution is often implemented in North America via modified Signalling Transfer Points (STPs) which can check and translate ported numbers by modifying call setup information
- the donor network now has **no** role, multiple portings easy; but requires lookup of **all** numbers

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Look up type solutionsNumber portability, VoIP, Prepaid, Location Based Servic-
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Two stage solutions
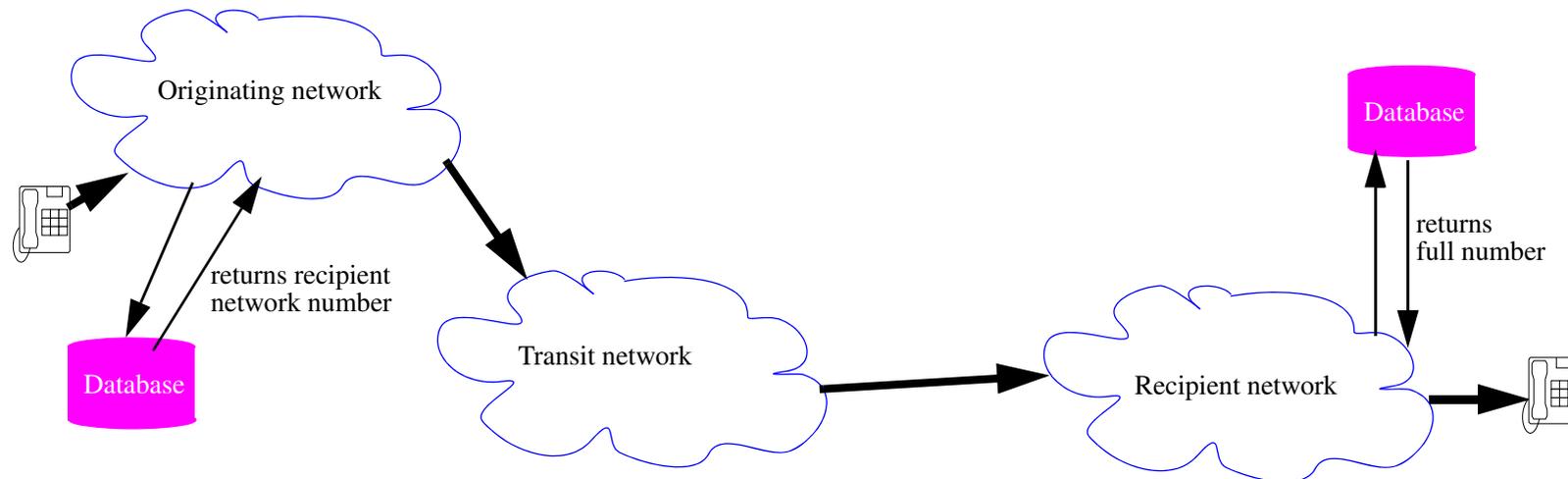
- Originating network simply learns the recipient network's number (called a **Logical Routing Number** (LRN), in North America this is a unique 10 digit number for the exchange)

- Recipient network does a second lookup to determine where to deliver the call within their network

- increases the privacy (since the originating network does not learn about the recipient network numbering)

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Two stage solutionsNumber portability, VoIP, Prepaid, Location Based Services
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All call/all network solutions
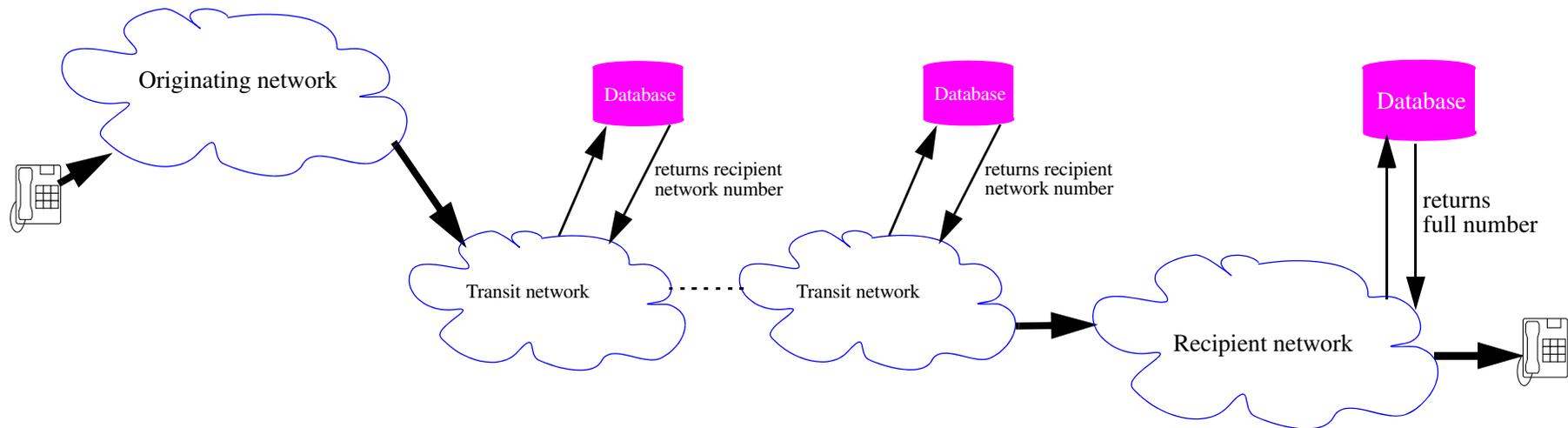
- each network does a lookup, but simply learns the "next" network's number
- final recipient network does a second lookup to determine where to deliver the call within their network
- increases the privacy -- since all networks along the path only learn about the "next" network

Who knows the mappings?

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All call/all network solutions Number portability, VoIP, Prepaid, Location Based Ser-
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Who knows the mappings?

For North America the **Number Portability Administration Center** (NPAC) has **all** the mappings and passes then to the operator's **Local Service Management System** (LSMS).

See also Neustar Number Pool Administration *http://www.nationalpooling.com/*

Swedish Number Portability Administrative Centre AB (SNPAC) officially appointed as the single operator of the Swedish **Central Reference Database [94]**; interaction follows ITS standard SS 63 63 91 [95].

see also regional numbering plan administrators:

- North American Numbering Plan (NANP) *http://www.nanpa.com/* (also performed by NeuStar Inc.)
- …

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Who knows the mappings? Number portability, VoIP, Prepaid, Location Based Ser-

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Nummerportabilitet i Sverige

- Europaparlamentets och rådets direktiv 98/61/EG om nummerportabilitet

- Sverige ändringar i telelagen (1993:597) 1 juli 1999

- Post- och telestyrelsen (PTS) om nummerportabilitet (PTSFS 1999:3 och PTSFS 2000:6).

- PTS beslut 15 augusti 2001 (ärende nr. 01-19102):

Swedish Number Portability Administrative Centre AB (SNPAC)

Peter Myndes Backe 12

118 46 Stockholm

 (organisationsnr. 556595-2925)

http://www.pts.se/Archive/Documents/SE/Beslut_for_SNPAC.pdf

PTS recommended **All Call Query** (ACQ) as the preferred routing method for Swedish telecommunications networks [93], this means that the originating operator will do a lookup of the number to route every call.

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Nummerportabilitet i SverigeNumber portability, VoIP, Prepaid, Location Based Ser-
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EU Document 398L0061

[13.20.60 - Information technology, telecommunications and data-processing]

[13.10.30.20 - Research sectors]

Instruments amended:

397L0033 (Modification)

398L0061: Directive 98/61/EC of the European Parliament and of the Council of 24 September 1998 amending Directive 97/33/EC with regard to operator number portability and carrier pre-selection

Official Journal L 268 , 03/10/1998 p. 0037 - 0038

http://www.icp.pt/streaming/98.61.EC.pdf?categoryId=59431&contentId=94157&field=ATTACHED_FILE

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EU Document 398L0061   Number portability, VoIP, Prepaid, Location Based Ser-
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Nortel Networks' Universal NP Master (UNMP)

A complete end-to-end **number portability** (NP) solution provides:

- **Number Portability Database** (NPDB) and **Number Portability Global Title Translation** (NPGTT) functionality as a single network element
- **Local Service Management System** (LSMS) for the management of the ported subscriber records
- support: AIN/IN and IS41 protocols for wireline and wireless porting services
- up to 11-digit GTTs for wireless number porting
- up to five million ported number records.
- Ported number service support includes Calling Name, CLASS, Inter-switch Voice Messaging, Line Information Database, Short Message Service, and PCS Call Delivery services.
- 5,000 queries per second, with planned expansion to 20,000 queries per second.

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Nortel Networks' Universal NP Master (UNMP)
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Lookup engines

Aeroflex UTMC LNP-Engine (cPCI or PCI board) [no longer UTMC]:

- Stores up to 160 million 16-digit phone number pairs
- Supports 100k lookups/sec. and 10K updates/second

Based upon two Content Addressable Memory Engines:

- custom 100 MHz chip
- lookup in as little as 100 nanoseconds
- partitions memory into upto 8,192 tables, from 256 to 30 million records
- programmable key widths (per table): from 1 to 32 bytes
- programmable association widths (per table) up to 8 megabytes
- performs exact matches, as well as hierarchal, longest-prefix, and proximity matches
- pipelined operation with separate I/O FIFOs
- bulk table load, unload, and count functions
- handles table overflows

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Lookup engines   Number portability, VoIP, Prepaid, Location Based Services
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Voice over IP (VoIP)

Integrating VoIP with mobile telephony - see also the course IK2554: Practical Voice Over IP (VoIP): SIP and related protocols

http://www.it.kth.se/courses/IK2554/

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TIPHON

ETSI's Telecom. and Internet Protocol Harmonization over Network (TIPHON)
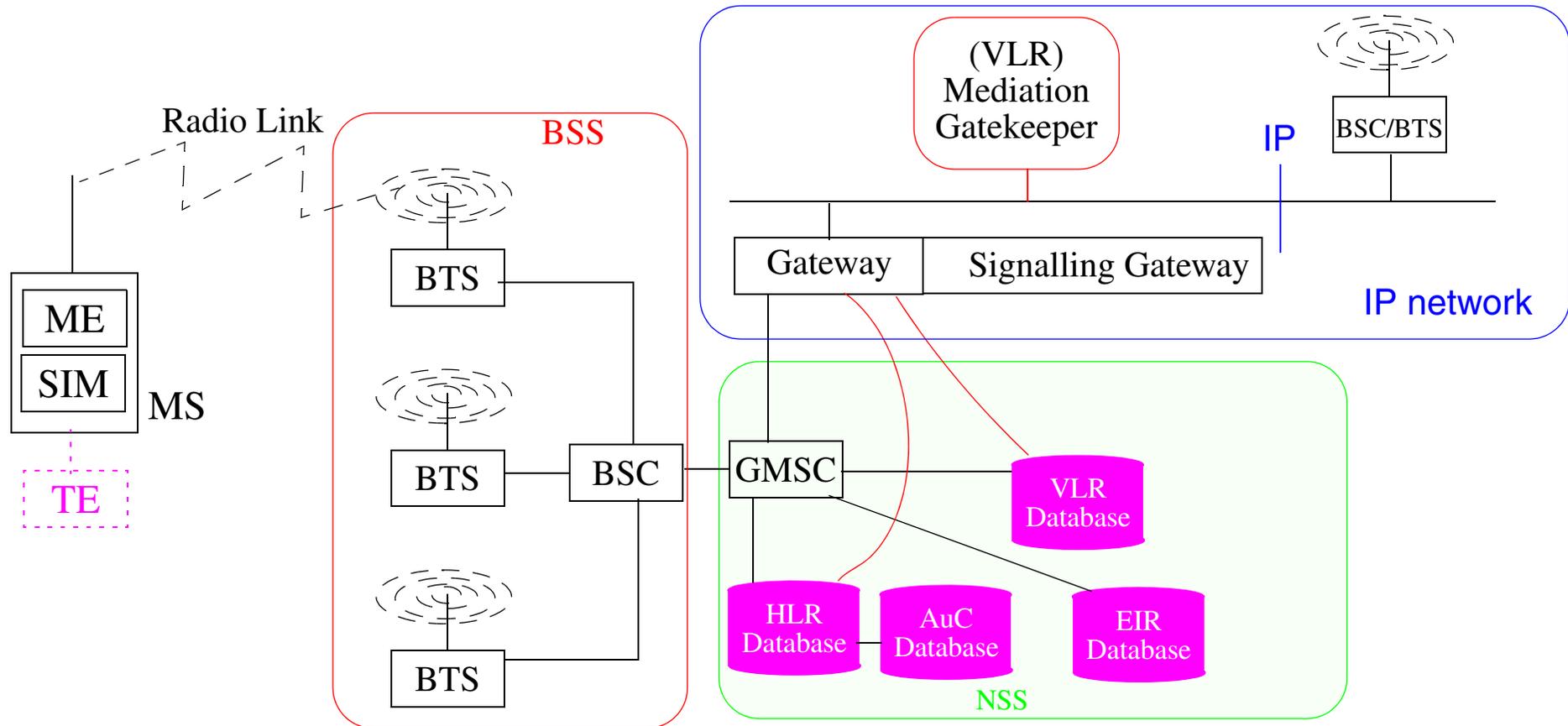
Figure 31: TIPHON Architecture

As of 24 September 2003 ETSI combined SPAN on fixed network standardization and TIPHON on Voice over IP (VoIP) based networks into one committee, named TISPAN *http://portal.etsi.org/tispan* .

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TIPHON Number portability, VoIP, Prepaid, Location Based Services 259
2010.01.21
Mobile and Wireless Network Architectures

Ericsson's GSM on the Net

Olle Granberg, "GSM on the Net", Ericsson Review No. 04, 1998[1]
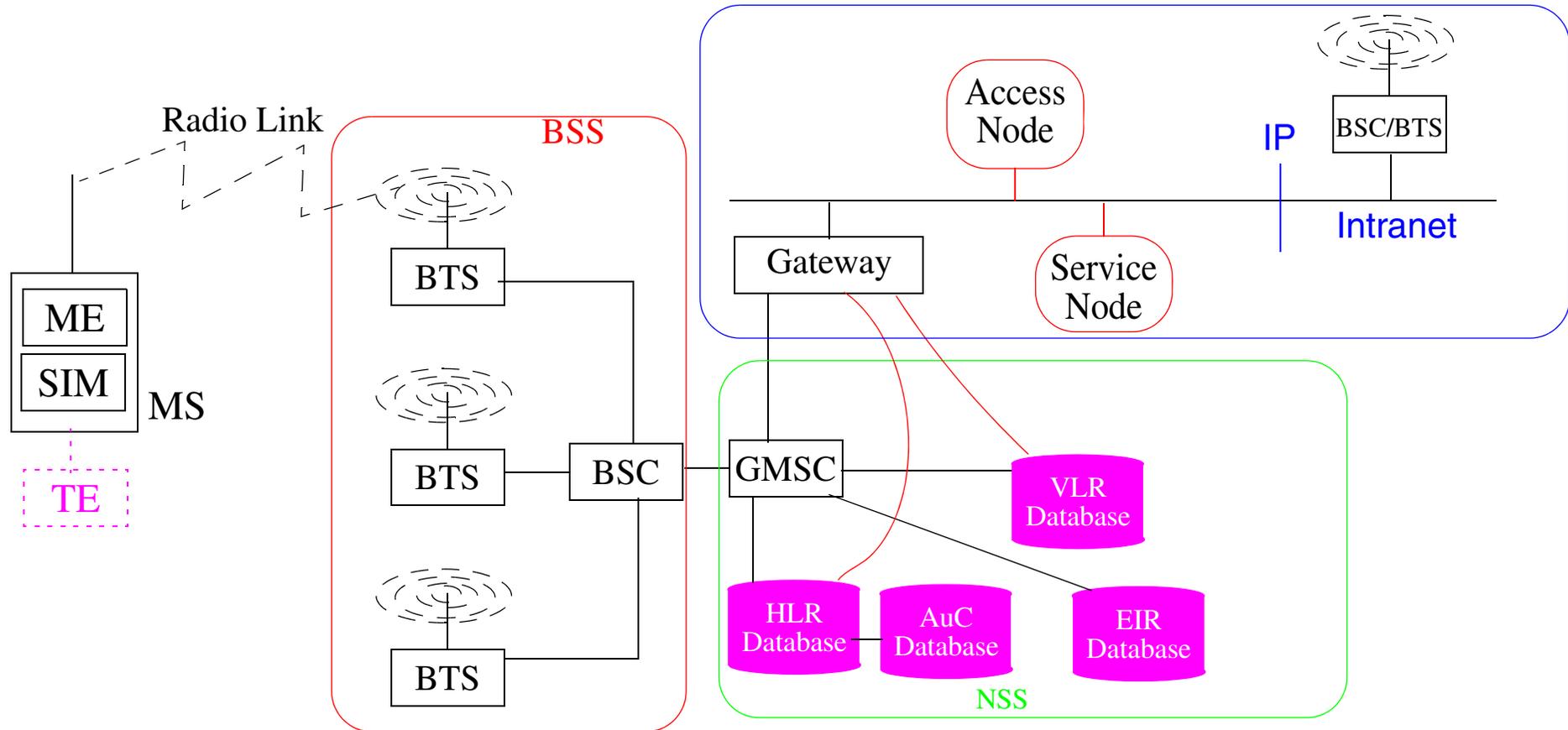
Figure 32: Ericsson's GSM on the Net Architecture

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1. *http://www.ericsson.com/about/publications/review/1998_04/files/1998046.pdf*

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Ericsson's GSM on the Net Number portability, VoIP, Prepaid, Location Based Ser-
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iGSM

Proposed by Yi-Bing Lin and Imrich Chlamtac in section 16.2([2], pp. 290-293).

This architecture is really a joining of H.323 with a gateway to GSM.

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iGSMNumber portability, VoIP, Prepaid, Location Based Services 261 of
2010.01.21
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Prepaid

Customer pays **before** using service.

Advantages:

- operator has the money - all up front (**no risk** and they can even earn interest on it)
- operator **saves**: no need for: credit checking, invoices, collections, …
- customer: no need for credit worthiness, no need for a contract, immediate service, anonymous service is possible
- since for many cultures and countries there is no tradition or infrastructure for post-paid service - business is strictly cash up front -- prepaid fits well with the expectation of these customers
- prepaid value can be installed in devices (such as toys, jewelry, …)
- many customers will never use up all their balance - it will simply be abandon -- much to the delight of the operator {It is "like printing money"!}

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PrepaidNumber portability, VoIP, Prepaid, Location Based Services 262 of
2010.01.21
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GSM Prepaid

Prepaid credit is either kept in the SIM card or in the network.

When the balance is zero, customer can only receive calls. {this may be limited by the operator}

To refill:

- customer buys a refill/top-up card with a secret code
- dials a freephone number to an Interactive Voice Response server
- enters MSISDN number of their phone + secret code
- system verifies secret code (so code can only be used once), then refills the account

Prepaid comprises 81% of the Latin Americas mobile subscriptions - so it is very important in practice.[99]

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GSM Prepaid
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Difference between Mobile and Fixed Prepaid

Mobile servers needs:

- more complex billing system due to more complex **tariffs** (which can be location dependant!)

- more complex billing system due to more complex **taxation** (which can be location dependant!)

- real-time usage metering - which has to cut off service when balance is zero (there is a trade off between accuracy and cost of implementation - if the operator is willing to take some loss, the implementation can relax the real-time constraints)

- increased complexity of customer care: warning customer to refill in a timely fashion (maintaining a credit balance - maintains cash at the operator!)

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Difference between Mobile and Fixed Prepaid
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Four alternatives for Mobile Prepaid

- **Wireless Intelligent Network** (WIN)
- Service Node
- Hot Billing
- Handset-Based

Wireless Intelligent Network (WIN)
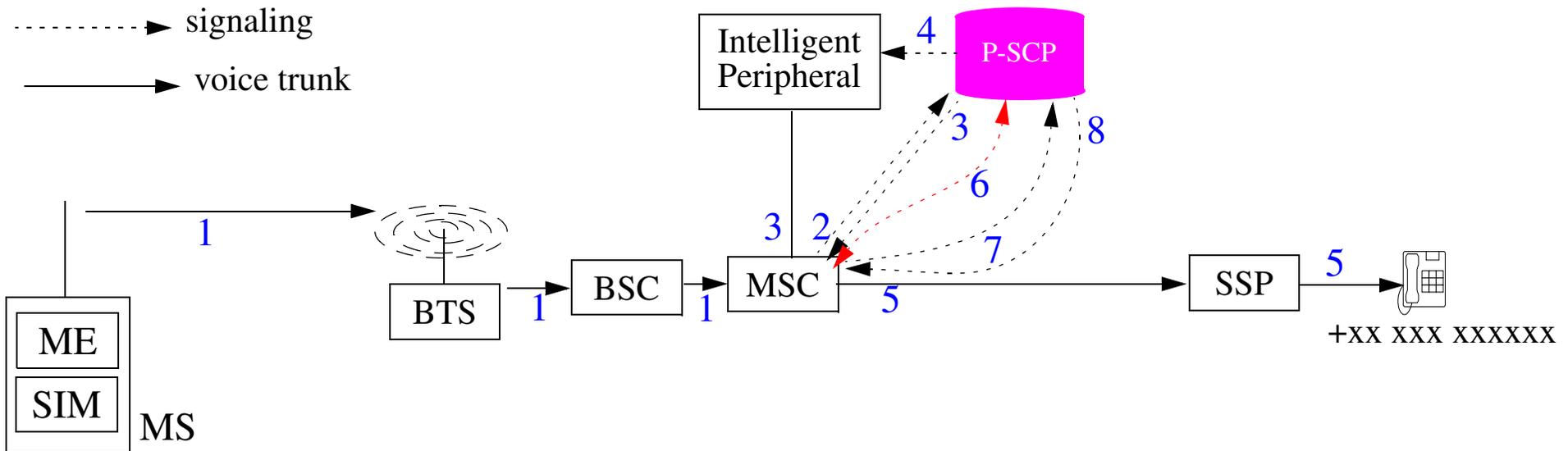
Figure 33: WIN Prepaid call origination

1. Prepaid mobile customer calls +xx xxx xxxxxx

2. MSC gets WIN call setup trigger, call setup suspended, message sent to Prepaid Service Control Point (P-SCP)

3. P-SCP instructs MSC to set up ISDN (voice) link to intelligent peripheral

4. P-SCP instructs intelligent peripheral to provide **account status notification** (balance, charging rate, …) for this call

5. P-SCP starts countdown timer & instructs MSC to resume call processing -- which connects the call

6. Call terminates: either (a) countdown timer expires (P-SCP instructs MSC to terminate call) or (b) call completes

7. MSC gets WIN call release trigger, sends disconnect message to P-SCP indicating duration of call

8. P-SCP rates the call (computes charges) and debits the prepaid balance, sends current balance and cost of call to MSC

Calling party pays vs. Called party pays

Calling party pays style billing - Europe, Taiwan

Called party pays style billing - US (where mobile subscriber pays for *both* incoming and outgoing calls)

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Calling party pays vs. Called party paysNumber portability, VoIP, Prepaid, Location Based

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WIN Call termination when called party pays
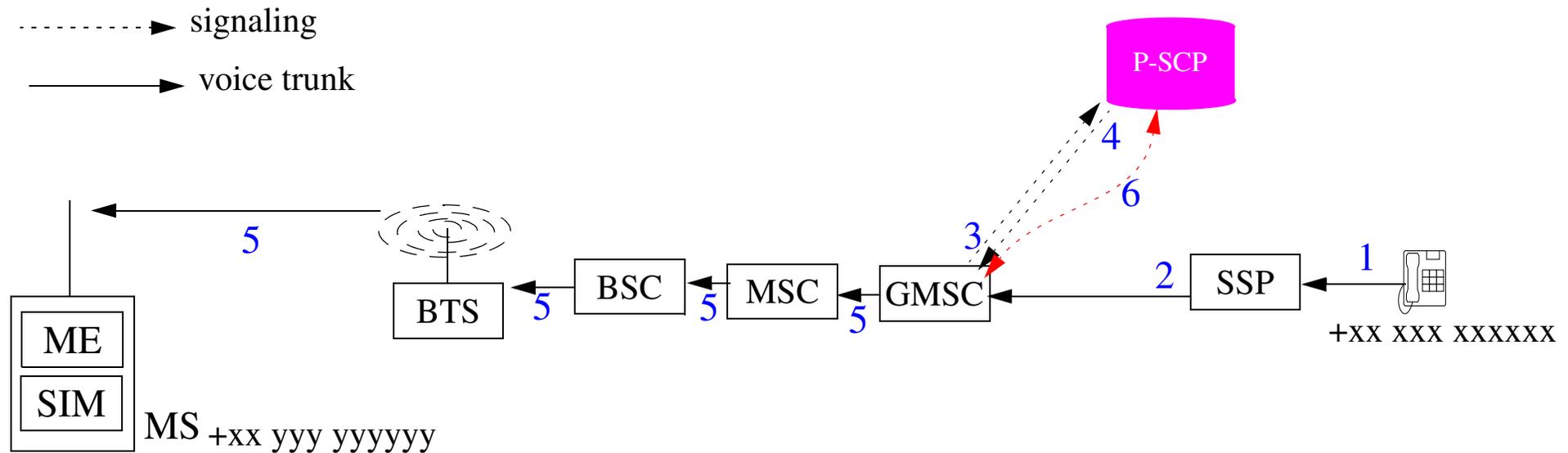
Figure 34: WIN Prepaid call termination

1. Caller dials prepaid mobile customer +xx yyy yyyyyy

2. Call forwarded to gateway GMSC

3. GMSC get a WIN call setup trigger, suspends call processing, sends message to P-SCP

4. P-SCP determines if mobile is allowed to receive this call, if so instructs GMSC to resume call setup procedure

5. GMSC connects the call

6. P-SCP monitors called party's balance and can terminate the call if there is no credit (just as per call origination case)

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WIN Call termination when called party pays
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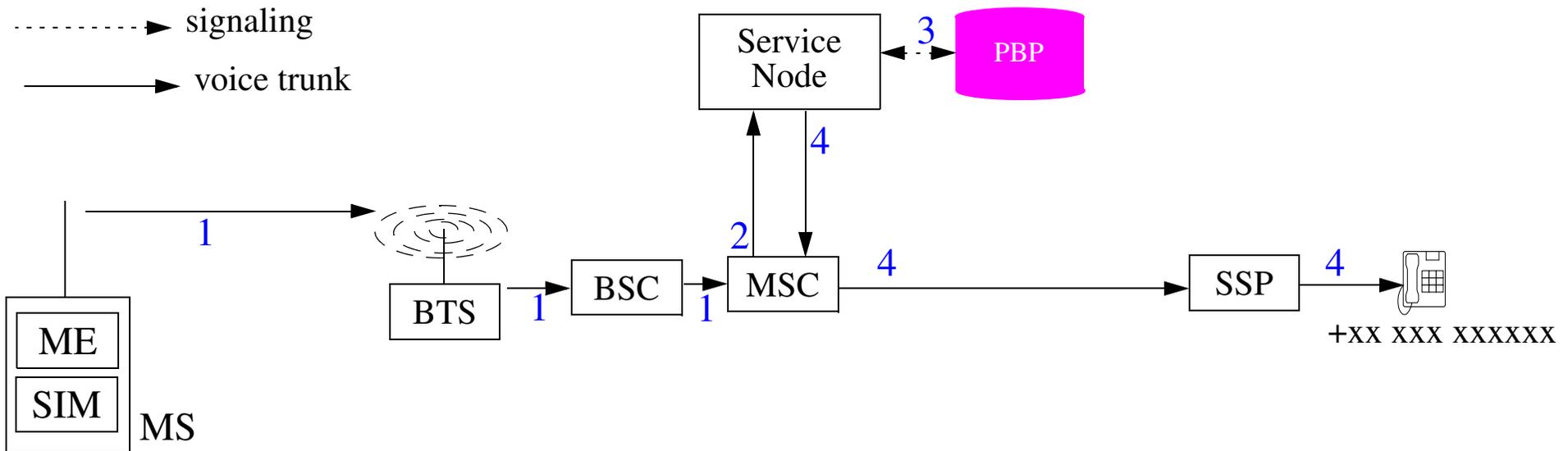
Service Node

Figure 35: Service Node Prepaid call origination

1. Prepaid mobile dials called party (+xx xxx xxxxxx)
2. MSC detects this is a prepaid customer and sets up trunk to service node
3. Service node consults Prepared Billing Platform (PBP) to determine if the call should be allowed
4. If so, then a 2nd trunk is setup from the service node via the MSC to the called party

Note: at the cost of the 2nd trunk (and two ports of MSC), this is a very easy service to build - since the MSC does not actually know about the prepaid service - only that it is to connect calls from these customers to the service node.

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Service Node
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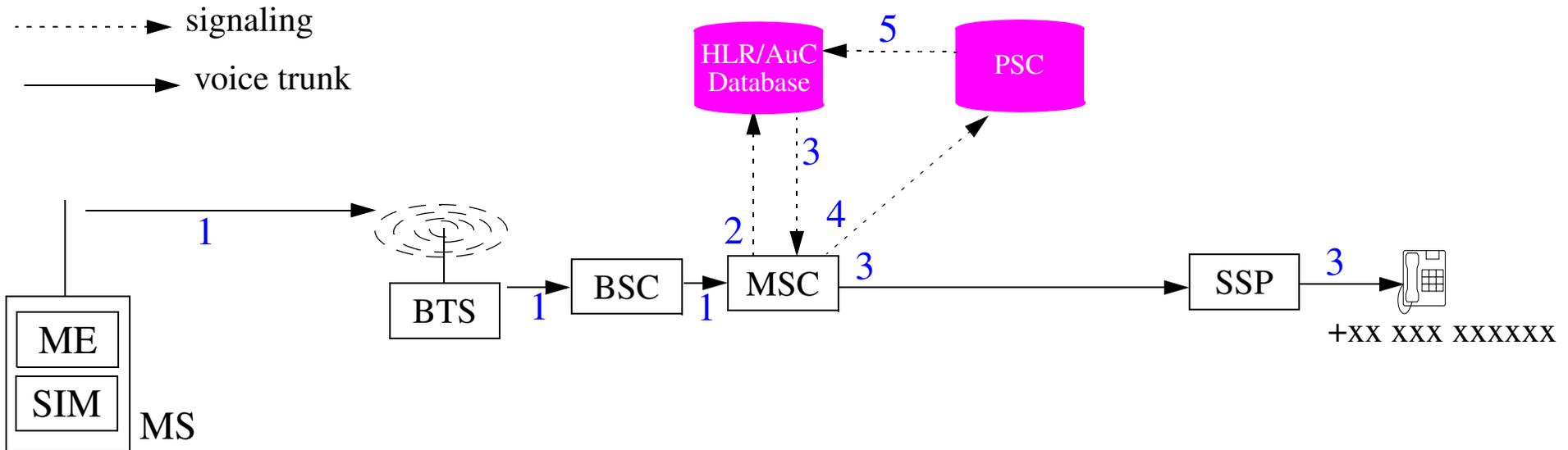
Hot Billing

Figure 36: Hotbilling Prepaid call origination

1. Prepaid mobile dials called party (+xx xxx xxxxxx) and sends their own IMSI

2. Based on IMSI, MSC asks HLR/AuC if this is a valid service request

3. If verified, HLR/AuC sends customer data and a prepaid tag to MSC, MSC connects call

4. When call terminates, a Call Detail Record (CDR) is sent to the Prepaid Service Center (PSC)

5. PSC debits the account, if the account is out of funds it notified the HLR/AuC to suspend service!

With hot billing the operator is taking a risk (of the cost of the call exceeding the balance), but it is a "**one-call exposure**" and reduces the complexity of the system.

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Hot BillingNumber portability, VoIP, Prepaid, Location Based Services 270
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"one-call exposure" in depth

Since the operator may have no idea of who this customer is, they have no way of collecting on the "bad debt", thus they try to avoid it:

- Use large values for the initial payment and refill/top-up - thus the account has quite a ways to go before it is depleted (i.e., no low value prepayments)

- prohibit call forwarding to prepaid accounts (since otherwise you could simultaneously forward lots of calls through a given prepaid account at one time and "one-call" suddenly becomes "N-calls"!)

- increase the interval at which CDRs are sent for processing {but this costs in increased load on the PSC} -- in fact the trend is towards the opposite, send bunches of CDRs are one time rather than in "real-time" as calls end {this decreases load on PSC, but increases bad debt exposure} -- in the end it is a business decision of risk/reward

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"one-call exposure" in depth Number portability, VoIP, Prepaid, Location Based Ser-
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Handset-Based

Uses GSM Phase 2, Advice of Charge (AoC):

- Advice of Charge Charging (AoCC) ⇐ this is how you debit the balance in the SIM card
- Advice of Charge Information (AoCI)

Builds upon sever SIM data fields:

- accumulated call meter (ACM)
- accumulated call meter maximum (ACM*)
- price per unit and currency table (PUCT)

Prepaid service center (PSC) uses SMS messages to execute program in the handset, these applications are controlled by the SIM Toolkit.

- Different sized SIM cards may be needed if large tariff rate tables or complex rating schemes are to be used.

- ACM and ACM* are generally user accessible (via PIN2), but for prepaid cards this access is disabled (either at time of manufacture or via an SMS message when users subscribes to prepaid service).

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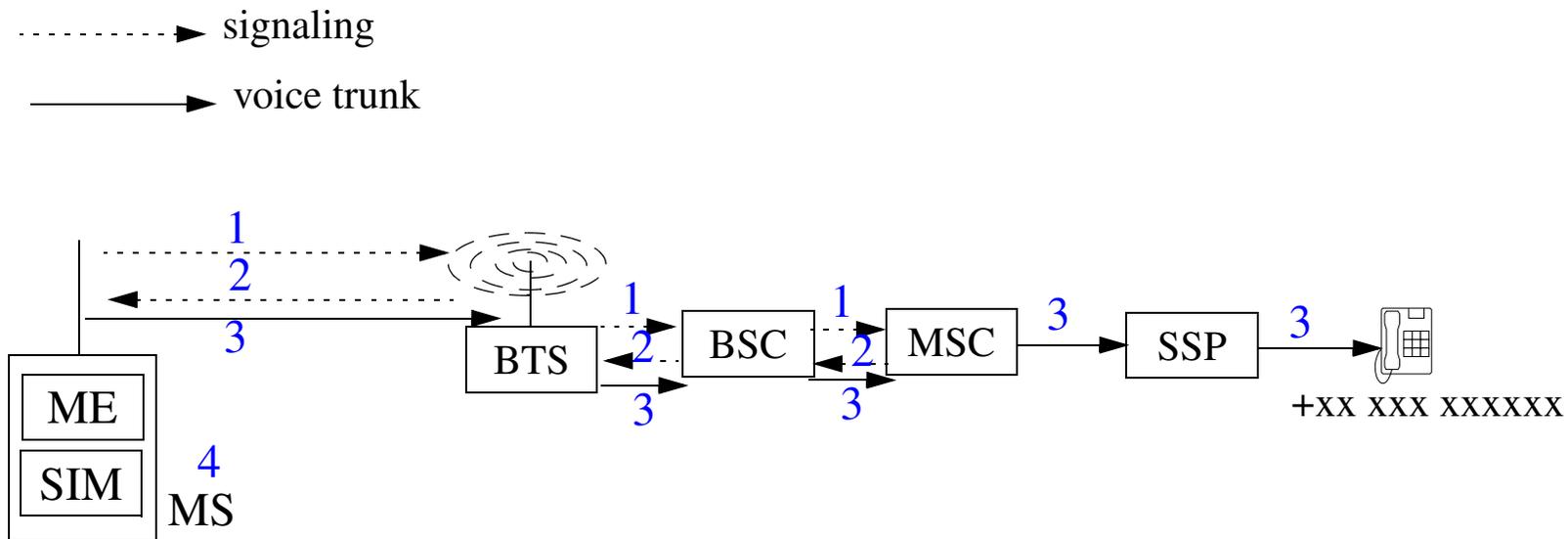
Figure 37: Handset-Based Prepaid call origination

1. Prepaid mobile dials called party (+xx xxx xxxxxx)

2. Based on rate plan (+ destination, time/date), MSC sends AoC e-parameters (including ACM and ACM*) to mobile

3. If mobile support AoCC, it acks receipt of e-parameters; if MSC gets this ack, call is connected, otherwise call is denied

4. During call MS uses AoC e-parameters for tariff info; locally decrements credit by incrementing ACM. When ACM reaches ACM*, MS terminates call and informs MSC of call release

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Combined Handset-based + Hot Billing

For fraud reduction, Handset-based approach can be combined with the Hot billing approach - thus if PSC thinks there is no credit but SIM claims credit, the PSC can inform operator to: terminate service and/or trigger fraud investigation.

Unfortunately, the disagreement might be legitimate due to poor synchronization (of charging information) between PSC and MS.

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Combined Handset-based + Hot BillingNumber portability, VoIP, Prepaid, Location Based
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Roaming and Prepaid

Lots of problems:

- cannot easily use special MSISDN numbers as this would:
    - prevent operator number portability
    - service portability is not allowed, since you could not change to post paid without changing MSISDN
    - could use IMSI, but this might require software change at visited system
- prepaid charging might not be performed at visited system (because it uses a different prepaid scheme than home system)
    - therefore route the call via the home system - letting it implement the prepaid debiting
    - but this requires a trunk to the home system ($\Rightarrow$ higher charge for a specific prepaid call than a postpaid call) -- this may be too expensive for international roaming
- scalability problems with service node approach (since you use up two MSC ports per call)
- AoC traffic is not encrypted - so the handset can just tamper with or ignore debit commands! $\Rightarrow$ manufactures working on SIM encryption
- handset-based approach may lock operator to a SIM supplier
- some of the schemes have a high setup cost

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Roaming and Prepaid Number portability, VoIP, Prepaid, Location Based Servic-
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Revenue and new services

Carriers generally think in terms of Average Revenues Per User (**ARPU**).

ARPU is defined (by TeliaSonera) as total sales during the period, divided by the average number of subscribers, divided by the number of months in that period.

- For example, TeliaSonera Q4 2003 ARPU and MoU figures from [100]:

	Customers	ARPU			Minutes of Use (MoU)		
		Prepaid	Post-paid	Avg.	Prepaid	Post-paid	Avg.
Sweden	3,838,000	94 SEK	452 SEK	268 SEK[a]	56	209	129
Finland	2,428,000			41€[b]			**164**
Norway	1,195,000	129 NOK	560 NOK	351 NOK[c]	60	267	167

a. 227 SEK in 2004 (from TeliaSonera's Annual report for 2004), 179 SEK (Q3 2009) (TeliaSonera Operational data Q3 2009 Excel spreadsheet)

b. 38€ in 2004

c. 339 NOK in 2004

With time and competition ARPU generally decreases, hence the pressure to introduce new services which have a higher margin.

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Revenue and new services Number portability, VoIP, Prepaid, Location Based Ser-
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Location Based Services (LBS)

As we have seen it is possible to locate where a user is to within a cell, but it is also possible to refine this positioning via the infrastructure or via other means such as GPS. Popular uses of LBS include:

- Navigation applications
- Location based information
  - Enabling services such as - Where is the nearest X? where X can be gas station, hospital, restaurant, … different responses depending on where you are when you ask for the information
- Location sensitive billing
  - see for example "Virtual enterprise networks" on page 352
- Emergency services
  - US FCC's Wireless E911 Phase II Automatic Location Identification - requires wireless carriers, to provide more precise location information to PSAPs, specifically, the latitude and longitude of the caller to an accuracy of 50-300 meters (depending on the type of technology used).
- Tracking
  - fleet vehicles (such as taxis, service trucks, …)

For an introduction to LBS see [108].

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Location Based Services (LBS)
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Number portability, VoIP, Prepaid, Location Based
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Means of determining location

- Passive
  - Cell identity
    - especially useful if you have a table of where the cells are
    - completely passive - you just listen to the broadcast of the Cell ID, etc.
  - Based on satellite navigation systems: Global Positioning System (GPS), GLOSNASS, Galileo, …
    - must listen for sufficient data from multiple satellites

- Active
  - Based on timing
    - Timing Advance (distance from basestation estimated by the timing advance value)
  - Based on timing and triangulation
    - Time of Arrival (TOA)
    - Time Difference of Arrival (TDOA)
    - Enhanced Observed Time Difference (EOTD)
    - Angle of Arrival (AOA)

- Mixed
  - Assisted GPS (A-GPS), assisted-x

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Means of determining location
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Geographic Location/Privacy (geopriv)

IETF RFC 3693: "Geopriv requirements", sets out a number of requirements necessary preserve geopgraphic location privacy[102].

The RFC details authorization, security and privacy[1] requirements for the Geopriv Location Object (LO) and for the protocols that use this Location Object. The LO is used to securely transfer location data.

Additional working drafts:

- Dynamic Host Configuration Protocol Option for Coordinate-based Location Configuration Information [103]
- DHCP Option for Civil Addresses [104]
- Geopriv Policy [105]
- A Presence-based GEOPRIV Location Object Format
- A Presence Architecture for the Distribution of Geopriv Location
- Common Policy [107]

---

1. The protection of privacy is based on Privacy Rules set by the "user/owner of the Target".

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Geographic Location/Privacy (geopriv)Number portability, VoIP, Prepaid, Location Based
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Context aware services

In addition to location it is possible to include other information about the user's context to create context-aware services. See for example:

- Alisa Devlic's licentiate thesis: Context addressed communication dispatch [109]
- Bemnet Tesfaye Merha's masters thesis: Secure Context-Aware Mobile SIP User Agent [110]

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Context aware servicesNumber portability, VoIP, Prepaid, Location Based Servic-
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Number portability

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Prepaid

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Number portability, VoIP, Prepaid, Location Based Services
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Further reading

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Mobile and Wireless Network Architectures

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

5. WAP, Heterogeneous PCS, 3G

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

KTH Information and Communication Technology

Wireless Application Protocol (WAP)

Goal: a set of communication protocol standards to make accessing online services from a mobile phone simple

"The motivation for developing WAP was to extend Internet technologies to wireless networks, bearers and devices."[111], page 4.

Initially conceived by four companies: Ericsson, Motorola, Nokia, and Openwave Systems Inc. (formerly Unwired Planet)

WAP Forum is an industry association to promote WAP, they are now called "The Open Mobile Alliance Ltd." *http://www.openmobilealliance.org/*

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Wireless Application Protocol (WAP)
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Mobile and Wireless Network Architectures

WAP Model

Now called the WAP "Proxy Model" - since WAP gateway acts as a proxy:
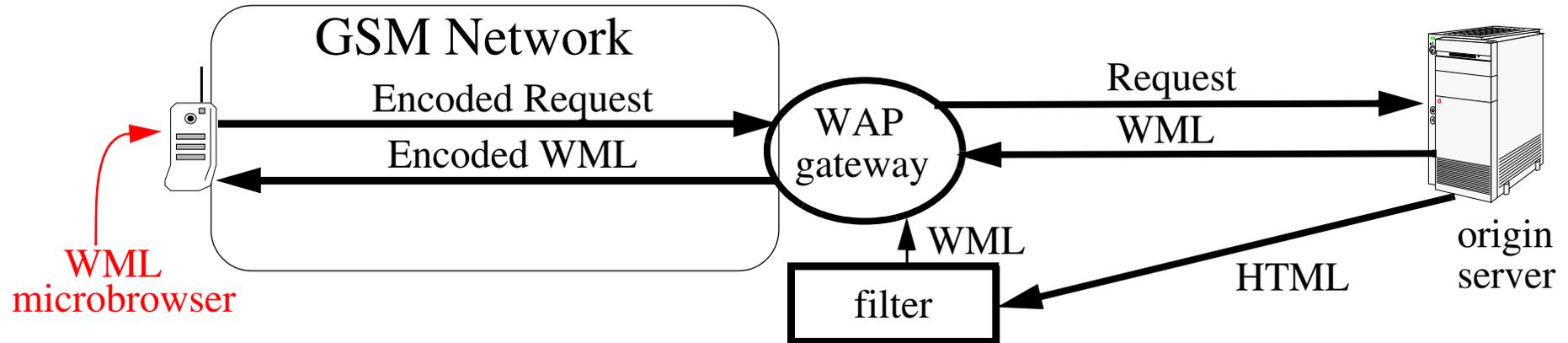
The basic (erroneous) thoughts behind WAP were:

- that terminals were "limited" in processing/memory/display,
- that the communication channel was expensive,
- that the operator was "the" natural intermediary in every mobile user's interaction with any services, and
- that a special protocol stack was necessary to "optimize" for the above.

An emphasis was on **push services**: In push services **content** is sent to the user without the user requesting it.

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WAP Model
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Mobile and Wireless Network Architectures

WAP (first round) Summary

Massive failure, because:

- tried to introduce a WAP protocol stack
- did not really provide an **end-to-end service** {because they wanted to keep the operator in the middle of all transactions} - the result is that content was in clear text in the WAP gateway
  - the result was significant security problems - especially because the changes that were introduced into the "WAPified" SSL introduced problems
- most operators used SMS to carry the WAP traffic and this was too expensive and had very significant delay problems
- many terminals had problems with their software and each type had its own resolution, size, … - so content had to be prepared for a specific terminal {which increased content development costs - since automatic conversion was not really successful}

WAP 2.0 moves toward being an IP based stack (with HTTP, TLS, and TCP) - although of course they still support their earlier "optimized/wapified" stack. The new model is a direct connection between mobile and HTTP server.

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WAP (first round) Summary
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WAP 2.0

Wireless Profiled HTTP (WP-HTTP) — a profile of HTTP for the wireless environment and is fully interoperable with HTTP/1.1. Built on HTTP request/response transaction. Supports message body compression of responses and the establishment of secure tunnels.

Transport Layer Security (TLS) — a wireless profile of the TLS protocol, includes cipher suites, certificate formats, signing algorithms and the use of session resume. Support end-to-end security at the transport level.

Wireless Profiled TCP (WP-TCP) — provides connection-oriented services, optimized for wireless environments and fully interoperable with standard TCP implementations. Builds upon IETF Performance Implications of Link Characteristics (PILC) working group recommendations

Wireless Session Protocol (WSP) — Wireless Transaction Protocol (WTP), Wireless Transport Layer Security (WTLS), Wireless Datagram Protocol (WDP) - as now "Legacy Protocol Layers"[111]

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WAP 2.0
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WAP 2.0 new & enhanced services

WAP Push	allows content to be sent or "pushed" to devices by server-based applications via a Push Proxy; real-time applications; provides control over the lifetime of pushed messages, store&forward capabilities at the Push Proxy, control over bearer choice for delivery.
User Agent Profile (UAProf)	provides a mechanism for describing the capabilities of clients and the preferences of users to an application server, based on the Composite Capabilities / Preference Profiles (CC/PP) work of the W3C Wireless Telephony Application (WTA)
External Functionality Interface (EFI)	specifies the interface between WAE and components or entities with embedded applications that execute outside of the defined WAE capabilities (i.e., basically allowing plug-in modules) - thus allowing access to external devices (e.g. smart cards, GPS, digital cameras, sensors, …)
Persistent Storage Interface	a standard set of storage services and interface for organizing, accessing, storing and retrieving data on the wireless device or other connected memory device.
Data Synchronization	adopts SyncML language for the data synchronization (see www.syncml.org)
Multimedia Messaging Service (MMS)	permits delivery of varied types of content
Provisioning	provides clients with information needed to operate on wireless networks; permits network operator to manage the devices on its network using a common set of tools
Pictogram	tiny images, that can be used to quickly convey concepts in a small amount of space

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WAP 2.0 new & enhanced services
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Heterogeneous PCS

Utilize multiple types of radios to get the advantages of each to:

- increase capacity and/or
- increase coverage area and/or
- decrease power consumption and/or
- increase bandwidth and/or
- decrease delay, …

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Heterogeneous PCS

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Similar Radio technologies + Same Network technology (SRSN)

with different power levels

different size cells; for example macrocells with microcells for hotspot coverage; microcells "borrow" radio channels from the macrocellular system - so that they use a different channel than the overlapping macrocell

with different frequency bands

multiband system such as: GSM900+GSM1800macrocell since the cells can overlaps arbitrarily they can of course be of different sizes

Macrocell

Microcell

band$_1$

band$_2$

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Similar Radio technologies + Same Network technology (SRSN)   WAP, Heterogeneous PCS, 3G 293
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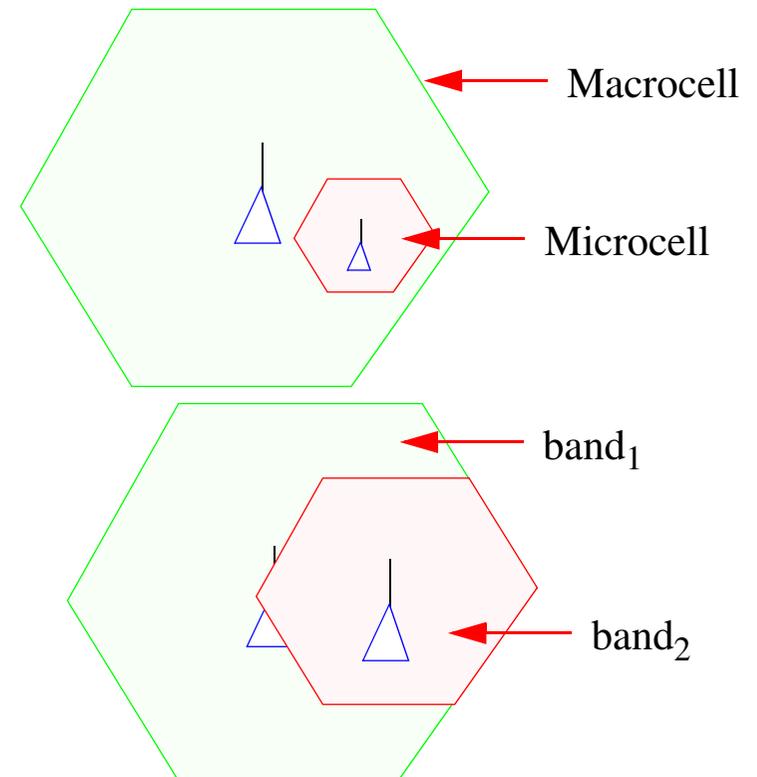
Different Radio technologies + Same Network technology

Both using IS-41 as network protocol:

• IS-136 + AMPS
• IS-95 +AMPS

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Different Radio technologies + Same Network technology
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Different Radio technologies + Different Network technology

Generally high-tier PCS with low-tier PCS

Examples:

- AMPS +PACS or GSM +PACS
- GSM + DECT

Tier Handoff

Tier Handoff performs handoffs from one system to another.

For the case of SRSN - different power levels, the macro and microcells use the same air interface and the handoffs is as usual.

For the case of SRSN - different bands, just a little harder than usual (because the handset might not be able to listen to more than one frequency at a time).

For the case of DRSN it is harder yet generally requires modification in the handoff of each system, in some cases the handoff might only work in one direction

For the case of DRDN the easiest is to simply set up a new call (perhaps via automatic redial) in the new network.

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Tier Handoff
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Registration for SRSN & DRSN

Fairly straight forward since the systems use the same network technology.

Key problem is: Who does the tier selection?

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Registration for SRSN & DRSN

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Registration for DRDN

Since the different systems use different registration & authentication and different data may be store in their different HLRs (and VLRs) $\Rightarrow$ define a new **multitier HLR** to integrate the two.

Implemented via **tier manager**

Single (SR) vs. Multiple registrations (MR) - the former is simpler, the later reduces the registration traffic and decreases the time required for tier handoffs.

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Registration for DRDN
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Call delivery

SR case	simply query the MHLR to find where to deliver the call
MR case	either select the tier to try based on some heuristic (for example, always try low-tier first or try the system where the MS register most recently) or page first, then try the one where you get a response

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Call delivery
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User identity (identities) and MSs

There can be:

- ## a single identity or several identities
  - user can be associated with a single logical "number" or multiple
  - identities can have a primary association with a MS or no

- ## single or multiple MSs
  - user can use one (multimode MS) or several MSs
  - Does the user choose which device to use or does the multitier manager?

A hard problem is what to do when the service (for example, streaming video) only makes sense on a subset of the MSs or PCS systems.

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User identity (identities) and MSs
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Mobile and Wireless Network Architectures

Major forces driving heterogeneous PCS

consolidation/mergers&acquisitions/bankruptcy/… $\Rightarrow$ new owner may end up owning several different types of systems, examples:

- AT&T acquisition of McCaw's cellular system
- Bell Atlantic merger with NYNEX
- Merger of Vodaphone with AirTouch
- DeutscheTelekom's (T-Mobile) Voicestream Wireless Corp. acquistion of WLAN operations of MobileStar

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Major forces driving heterogeneous PCS
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Internetworking scenarios

There are several alternatives for how tightly the different systems might be coupled[113]:

- ## Open coupling
  - No real integration between the systems, except perhaps for sharing a billing system
  - Separate authentication for each system

- ## Loose coupling
  - sharing a single subscriber database
  - allows centralized billing
  - allows interworking, but has two separate IP address spaces and does not support vertical handoffs (so connections are dropped when the use moves from one network to another)

- ## Tight coupling
  - an Interworking Unit (IWU)/Radio Network Controller (RNC) interconnects the radio access neworks to the SGSNs
  - might require a new set of interfaces `Iu` (RNC-SGSN) and `Iub` (RAN-RNC) {RAN = Radio Access Network}

- ## Very tight coupling
  - an Interworking Unit (IWU) connects the Radio Access Network to the RNC
  - for example, using an interface `Iu`(RNC-WLAN) to connect WLAN as a cell of the RNC

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Internetworking scenarios
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Paradigm shifts

- voice-centric $\Rightarrow$ data centric
  - shift to packet switching
  - problems: QoS, streaming media
- continually evolving terminals and data applications - end users **expect** the same services (and more) from wireless systems as they expect from wireline systems
- low peak data rates $\Rightarrow$ high peak data rates
- same service everywhere $\Rightarrow$ spatially & temporally varying service (especially with respect to achieved data rates)

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Paradigm shifts
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Third Generation Mobile (3G)

Offering data rates greater than ISDN (144kbps), typically thought to be 384kbps and perhaps upto 2 Mbps when stationary near a base station.

Six types of services:

- Interactive multimedia (video conferencing)
- High speed multimedia ("broadcast" TV)
- Medium speed multimedia (web browsing)
- Circuit switched data (FAX)
- Speech (telephony)
- Messaging (e-mail, SMS, …)

All based on CDMA; Europe's Universal Mobile Telecommunications System (UMTS) will be Wideband CDMA (W-CDMA, 25 MHz channel bandwidth):

- ETSI agreed to use a combination of wideband code division multiple access (W-CDMA) and time division multiple access (TD/CDMA) on the air interface
- W-CDMA will be used to cover larger areas
- TD/CDMA for local (indoor) applications

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Third Generation Mobile (3G)
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Mobile and Wireless Network Architectures

3rd Generation Partnership Project (3GPP)

Original scope was to produce **globally applicable** Technical Specifications and Technical Reports for a **3rd Generation Mobile System** based on evolved GSM core networks and the radio access technologies that they support $\Rightarrow$ Universal Terrestrial Radio Access (UTRA)[1], W-CDMA, UMTS (in Europe) and FOMA (in Japan)

Amended to include the maintenance and development of the Global System for Mobile communication (GSM) Technical Specifications and Technical Reports **including** evolved radio access technologies (e.g. General Packet Radio Service (**GPRS**) and Enhanced Data rates for GSM Evolution (**EDGE**)).

See: *http://www.3gpp.org/*

ETSI is the 3GPP Secretariat

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1. Both Frequency Division Duplex (FDD) and Time Division Duplex (TDD) modes.

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3rd Generation Partnership Project (3GPP)
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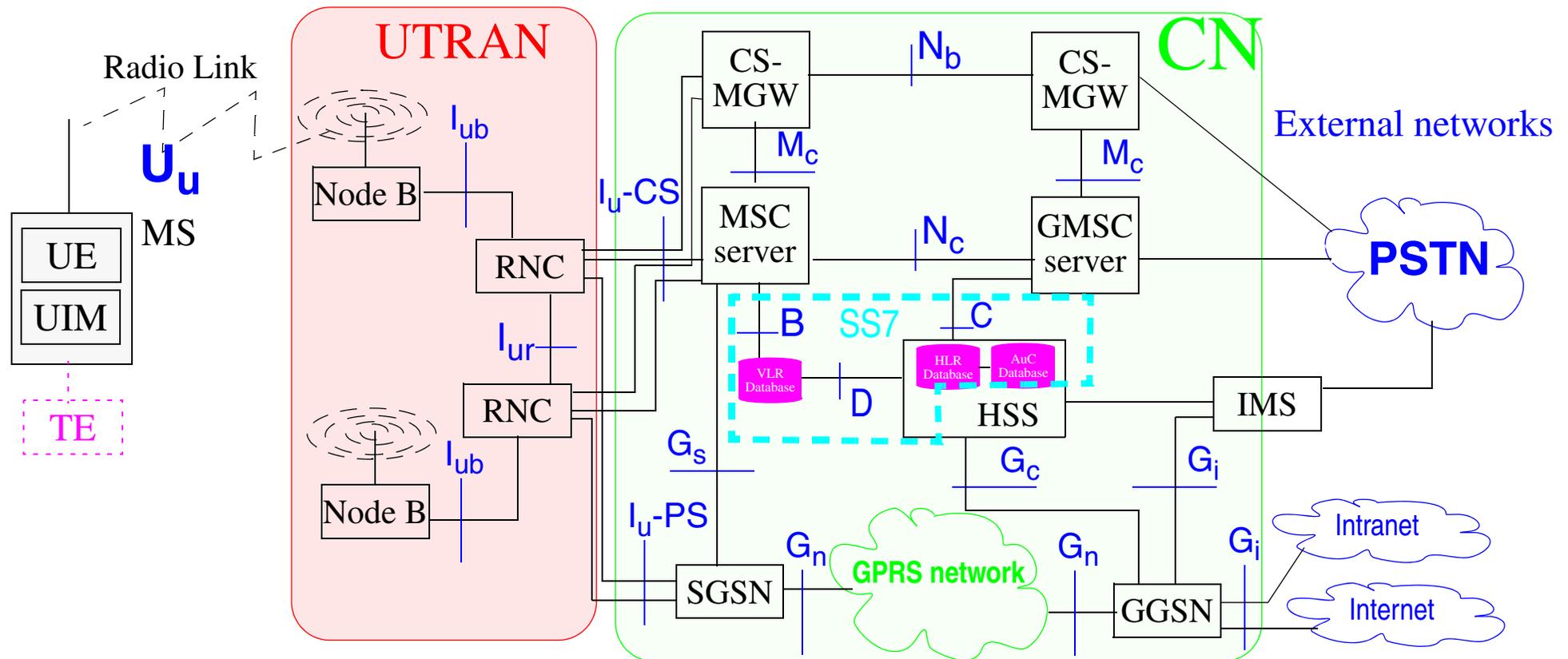
3G(PP) Architecture

Figure 38: 3G(PP) Architecture; CN = Core network; RNC = Radio Network Controller; IMS=IP Multimedia Subsystem; HSS=Home Subscriber Server; CS-MWG=Circuit Switch Media Gateaway

The division into a circuit switched domain and a packet switched domain - will disappear as the architecture evolves to an "All-IP" network (see Figure 41).

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3G(PP) Architecture
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3.5G or super 3G

HSDPA + HSUPA sometimes called 3.5G or super 3G

High Speed Downlink Packet Access (HSDPA)

An enhancement to WCDMA providing fast retransmissions over wireless link and fast scheduling to share a high speed downlink.

For further details see [135] and [136]

High Speed Uplink Packet Access (HSUPA)

An enhancement to WCDMA providing sharing of a high speed uplink, called the **enhanced dedicated channel** (E-DCH); this channel is assigned to one mobile device at a time

Goal: upload (burst) speeds up to 5.8 Mbit/s

For further details see [137] and [138]

For some measurements of achieved upload rates see Shuang Di's thesis [147]

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3.5G or super 3G
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Third Generation Partnership Project 2 (3GPP2)

A collaborative third generation (3G) telecommunications standards-setting project comprising **North American** and **Asian** interests developing global specifications for **ANSI/TIA/EIA-41** "Cellular Radiotelecommunication Intersystem Operations network evolution to 3G", and global specifications for the radio transmission technologies (RTTs) supported by ANSI/TIA/EIA-41.

Focus is cdma2000

See: *http://www.3gpp2.org/*

TIA is the 3GPP2 Secretariat

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Third Generation Partnership Project 2 (3GPP2)   WAP, Heterogeneous PCS, 3G 308 of 345
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3GPP2 reference model
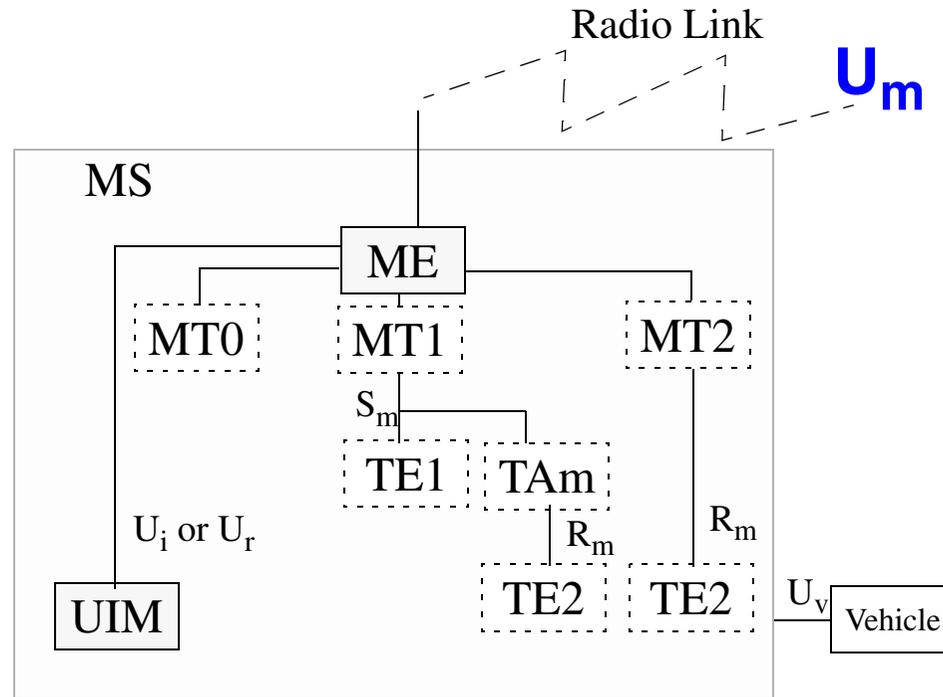
Figure 39: 3GPP2 Architecture (the mobile)

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3GPP2 reference model
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Mobile and Wireless Network Architectures
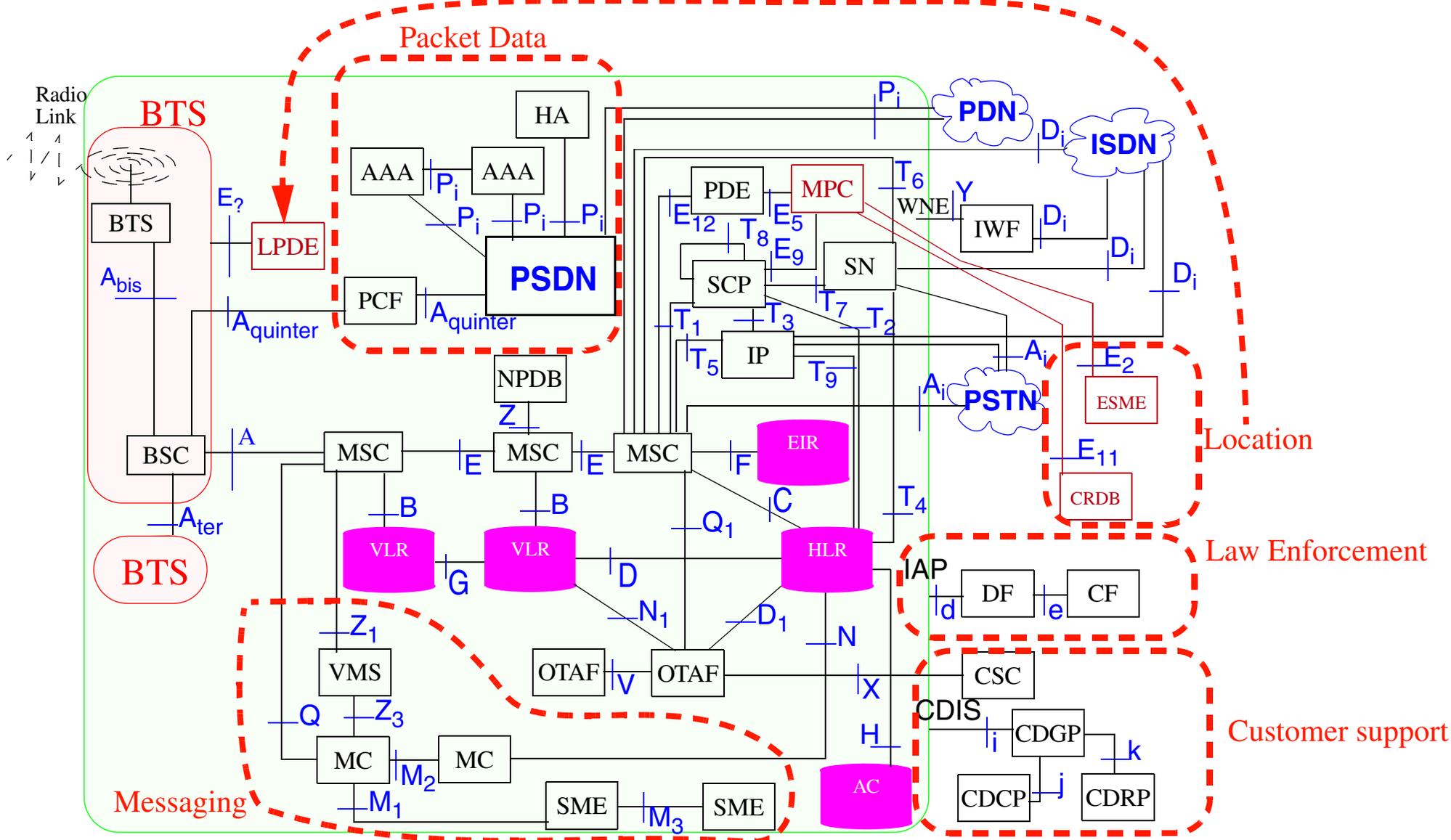
Figure 40: 3GPP2 Architecture (infrastructure and external entities)

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3GPP2 reference model
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Mobile and Wireless Network Architectures

Interface	Description
A	between BSC and MSC, PCM 2 Mbps, G. 703
A_i	Analog Interface to PSTN
A_{bis}	between BTS and BSC, PCM 2 Mbps, G. 703
$A_{quinter}$	between BSC and PCF (Packet Control Function)
B	between MSC and VLR (use MAP/TCAP protocols)
C	between MSC and HLR (MAP/TCAP)
D	between HLR and VLR (MAP/TCAP)
D_i	Digital interface to ISDN
D_1	between VLR and OTAF (Over-The-Air Service Provisioing Function)
E	between two MSCs (MAP/TCAP + ISUP/TUP)
E_2	between MPC and ESME (Emergency Service Message Entity
E_5	between MPC and PDE (Position Determining Entity)
E_9	between MPC and SCP
E_{11}	between MPC and CRDB
E_{12}	between MPC and ESME
F	between MSC and EIR (MAP/TCAP)
G	between VLRs (MAP/TCAP)
H	between HLR and AuC
M_1	between MC (Message Center) and SME (Short Message Entity)
M_2	between MCs (Message Centers)
M_3	between SMEs (Short Message Entities)

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3GPP2 reference model
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WAP, Heterogeneous PCS, 3G 311 of 345
Mobile and Wireless Network Architectures

Interface	Description
N	between MC (Message Center) and HLR
N_1	between HLR and OTAF
P_i	Packet interface
Q	between MSC and MC (Message center)
Q_1	between MSC and OTAF
T_1	between MSC and SCP
T_2	between SCP and HLR
T_3	between SCP and IP
T_4	between SN and HLR
T_5	between MPC and IP (Intelligent Peripheral)
T_6	between MPC and SN (Service Node)
T_7	between SN and SCP
T_8	between SCPs
T_9	between IP and HLR
U_i	between UIM and ME
U_m	Radio link between MS and BTS
U_r	between UIM and ME
U_v	between MS and vehicle
V	between OTAFs
X	between OTAF and CSC
Y	between WNE and IWF (Inter-working Function)
Z	between MSC and NPDB

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3GPP2 reference model
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Interface	Description
Z_1	between MSC and VMS
Z_3	between VMS and MC
d	IAP (Intercept Access Point) interface to DF (Delivery function)
e	between DF and CF (Collection function)
i	CDIS (Call Data Information Service) interface to CDGP (Call Data Generation Point)
j	between CDGP and CDCP (Call Data Collection Point)
k	between CDGP and CDRP (Call Data Rating Point)

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3GPP2 reference model
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Mobile and Wireless Network Architectures

3GPP2 abbreviations

Abbrev	Explaination
AAA	Authentication, Authorization, and Accounting
AC	Authentication Center (called AuC in GSM)
BTS	Base Transceiver Station
CDCP	Call Data Collection Point
CDGP	Call Data Generation Point
CDIS	Call Data Information Service
CDRP	Call Data Rating Point
CF	Collection Function (for collecting intercept information)
CRDB	Coordinate Routing Database
CSC	Customer Service Centre
DF	Delivery function (for delivering intercepted communications)
ESME	Emergency Service Message Entity
EIR	Equipment Identity Register
HA	Home Agent
HLR	Home Location Register
IP	Intelligent Peripheral
IAP	Intercept Access Point
IWF	Inter-working Function
LPDE	Local Position Determing Entity
MC	Message Centre

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3GPP2 abbreviations
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Mobile and Wireless Network Architectures

Abbrev	Explaination
ME	Mobile Equipment
MPC	Mobile Positioning Center
MS	Mobile Station
MSC	Mobile Switching Centre
NPDB	Number Portability Database
OTAF	Over-The-Air Provisioning Function
PCF	Packet Control Function
PDN	Packet Data network
PDSN	Packet Data Serving Node (aka a router!)
SCP	Service Control Point
SME	Short Message Entity
SN	Service Node
TA/Tm	Terminal Adapter
UIM	User Identity Module
VLR	Visitor Location Register
VMS	Voice Message System
WNE	Wireless Network Entity

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3GPP2 abbreviations
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Mobile and Wireless Network Architectures

All-IP Architecture
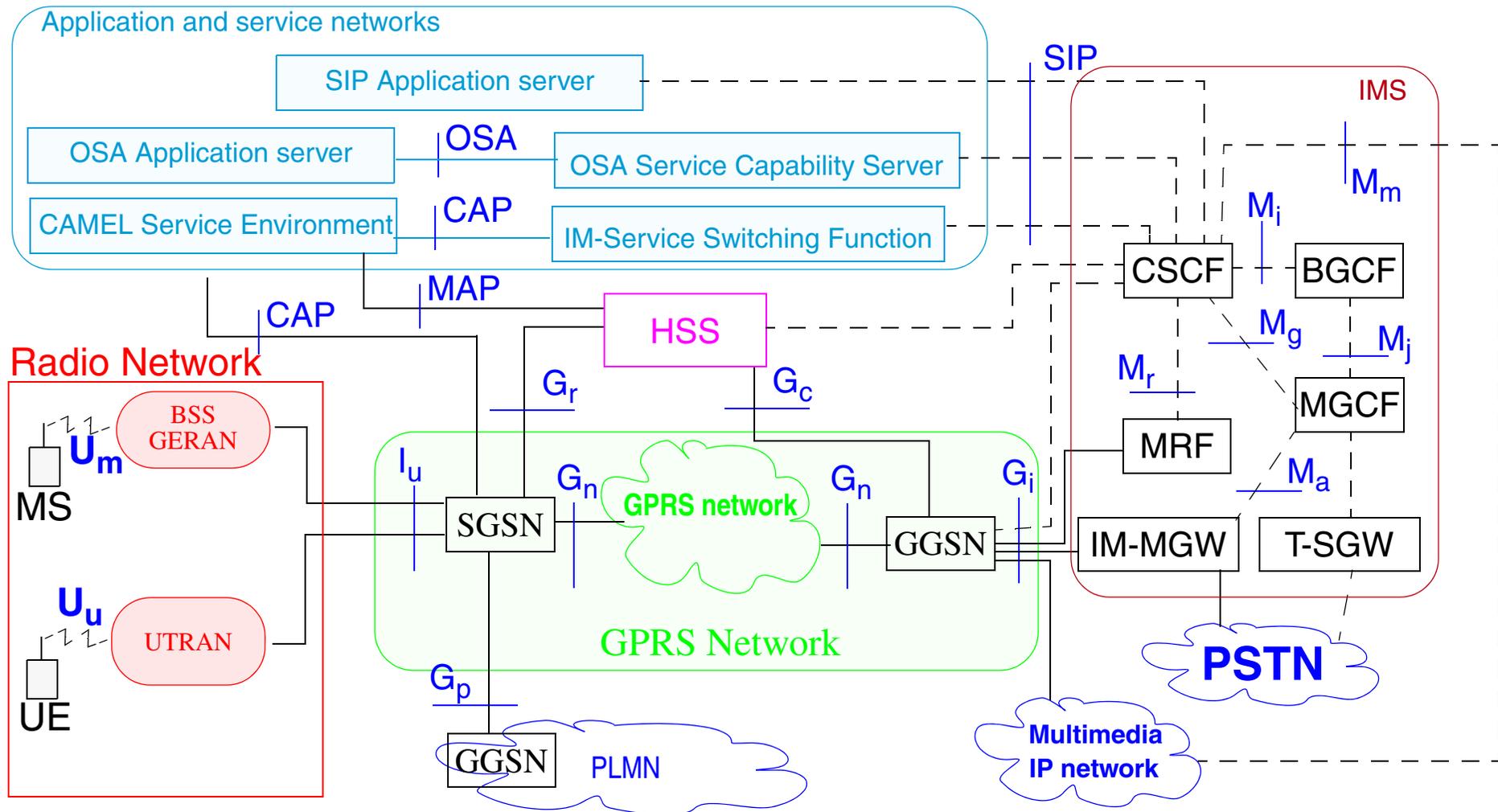
Figure 41: All-IP Architecture

IMS=IP Multimedia Subsystem; HSS=Home Subscriber Server; IM-MGW=IP Media Gateaway

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All-IP Architecture

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WAP, Heterogeneous PCS, 3G 316 of 345

Mobile and Wireless Network Architectures

Mobile Station Application Execution Environment (MExE)

Building on ideas from WAP, UMTS introduces a Mobile Station Application Execution Environment (MExE) to provide a standard environment for the MS to access the internet and intranet services.

MExE Classmark

classifies the MS based on its capabilities (processing, memory, display, …)

MExE classmark 1　　　　based on WAP

MExE classmark 2　　　　based on PersonalJava (supports JavaPhone Power Monitor package)

MExE classmark 3　　　　based on Java 2ME Connected Limited Device Configuration (CLDC) and Mobile Information Device Profile (MIDP) environment - supports Java applications running on resource constrained devices.

MExE classmark 4　　　　based on ECMA's Common Language Infrastructure Compact Profile - supports CLI based applications running on resource constrained devices (CLI designed to be programming language and OS neutral)

Common Language Infrastructure for MExE devices: Classmark 4

Service discovery and management

Browser installed on a MExE device should support MIME type text/vnd.sun.j2me.app-descriptor. Allows user to browse and discover a Java application which can then be downloaded. Capability negotiation information in the request header can determine which application to present.

MID applications (MIDlets) and MIDlet suites are indicated to the user, if the terminal has a display, may be presented as an icon and a tag or as a textual tag

Java Application Description (JAD) file can be downloaded and to determine if the MIDlet is suitable for download and installation

- If it is, then JAR file can be downloaded and installed
- If not, the MExE UE should be able to prompt the user so that the user (they can delete some existing applications if there is not enough space to install the new application)
- If the application chosen already exists on the device, the user should be notified so they can choose to either to download the chosen version or to retain the existing one
- user should be able either to launch the MIDlet immediately or later

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Common Language Infrastructure for MExE devices: Classmark 4 WAP, Heterogeneous PCS, 3G 318
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CLI MExE Devices

SMExE Classmark 4 devices based on CLI Compact Profile spec.: defines runtime environment and APIs available to a CLI based MExE device such that services (specified in the form of language independent classes and interfaces) can control such a device in a standardized way.

CLI Compact Profile Namespaces
- System
- System.Collections
- System.Globalization
- System.IO
- System.Text
- System.Threading
- System.Runtime.CompilerServices
- System.Reflection
- System.Net
- System.Xml

Application management features for a Classmark 4 application
- Discovery
- Download
- Verification
- Installation
- Execution Start
- Execution Pause
- Execution Resume
- Execution Stop
- Execution Terminate
- Uninstall

Support for network protocols

Protocol	Optionally		
HTTP/1.1	Mandatory	Gopher	Optional
HTTPS	Mandatory	ftp	Optional
SOAP	Mandatory	mailto	Optional
		File	Optional

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Common Language Infrastructure for MExE devices: Classmark 4 WAP, Heterogeneous PCS, 3G 319
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3G Physical Layer

There has been great fighting over what is the "best" physical and link layer for 3G, due to political, economic, … reasons.

Indications are that there will be several 3G CDMA modes (at least 5 different choices), but there might be some hope for harmonization at the network level (with at least 3 choices: ANSI-41, GSM MAP, and IP)!

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3G Physical Layer
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Mobile and Wireless Network Architectures

Gateway Location Register (GLR)

3GPP introduces a **Gateway Location Register** (GLR) to reduce traffic between VLR and HLR {especially for the case of international roaming}. The GLR is located in the visited network, but is treated by the visited network as the user's HLR (while the user is in the visited network).

The home network treats the GLR as if it were the VLR in the visited network.

While it can clearly reduce signaling costs when the user is not in their home country - the book does not address the question of "Does this really matter?" Since there is an enormous amount of bandwidth available via fibers - does the signaling traffic really matter? Does the GLR reduce the delays for providing service to the user?

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Gateway Location Register (GLR)
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Mobile and Wireless Network Architectures

3G QoS

Four QoS classes:

conversational	for delay sensitive traffic, with limited transfer delay
streaming	for one-way real-time traffic
interactive	for delay-insensitive traffic such as e-mail, telnet, …
background	for delay-insensitive traffic such as FTP, background bulk transfer of e-mail, …

7 QoS parameters: max/min/guaranteed bit rates, max. packet size, reliability, …

Major problems with how to **map** between the QoS of different systems.

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3G QoS
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Mobile and Wireless Network Architectures

UMTS Subscriber Identity Module (USIM)

3GPP specifications:

TS21.111	USIM and IC card requirements
TS22.112	USIM toolkit interpreter; Stage 1
TS31.111	USIM Application Toolkit (USAT)
TS31.112	USAT Interpreter Architecture Description; Stage 2
TS31.113	USAT interpreter byte codes
TS31.114	USAT interpreter protocol and administration
TS31.115	Secured packet structure for (U)SIM Toolkit applications
TS31.116	Remote APDU Structure for (U)SIM Toolkit applications
TS31.120	UICC-terminal interface; Physical, electrical and logical test specification
TS31.121	UICC-terminal interface; USIM application test specification
TS31.122	USIM conformance test specification
TS31.131	C-language binding for (U)SIM API
TR31.900	SIM/USIM internal and external interworking aspects
TS42.017	Subscriber Identity Module (SIM); Functional characteristics
TS42.019	Subscriber Identity Module Application Programming Interface (SIM API); Stage 1
TS43.019	Subscriber Identity Module Application Programming Interface (SIM API) for Java Card; Stage 2
TS51.011	Specification of the Subscriber Identity Module - Mobile Equipment (SIM-ME) interface
TS51.013	Test specification for SIM API for Java card

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UMTS Subscriber Identity Module (USIM)
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Mobile and Wireless Network Architectures

Wireless Operating System for Handsets

There has been a battle brewing for who will define and dominate the OS market for 3G handsets - which given the expected handset volume could be a very large market.

Candidates:

- Microsoft - WinCE (and its successors)
- Symbian's EPOC OS - built upon Psion's OS - Symbian formed by Nokia, Ericsson, Motorola)
- 3Com's PalmOS
- linux

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Wireless Operating System for Handsets
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Mobile and Wireless Network Architectures

Mobile Virtual Network Operator (MVNO)

A virtual operator who uses the physical infrastructure of other operators.

Pyramid Research projects a greater than 3x Return on Investment (ROI) for MVNOs vs. facilities-based UMTS network operator[1].

was *http://www.pyramidresearch.com/info/rpts/mvno.asp*

Richard Branson's Virgin Mobile signed up 700k customers in their first year![2]

"Freed from a large subscriber base that is necessary to cover network deployment costs, an MVNO can target a more finely segmented market."[3]

Mobile Virtual Network Operators: Oftel inquiry into what MVNOs could offer consumers - was

http://www.oftel.gov.uk/publications/1999/competition/mvno0699.htm

note Oftel was replace by Ofcom *http://www.ofcom.org.uk/*

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1. was http://www.pyramidresearch.com/static_content/feature_articles/010402_feature.asp
2. was http://www.adventis.com/mvno/main.htm
3. was http://www.gii.co.jp/english/pr8818_mvno.html

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Mobile Virtual Network Operator (MVNO)
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Mobile and Wireless Network Architectures

IP Multimedia Subsystem (IMS)[1]

One of the major driving forces for 3G telephony (as envisoned by many vendors and operators) is Multimedia. 3GPP has defined an IP Multimedia Subsystem (IMS) and 3GPP2 intorduced the MultiMedia Domain (MMD) for third generation CDMA2000 networks - the two were subsequently harmonized.

The first new services include:

- Instant Messaging
- Presence
- Push to Talk over Cellular (PoC) ("walkie-talkie" like services)
  - one of the major features of such services is group communication - i.e., the audio segment can easily be delivered to many users

All of these services are easily added as they are not too demanding of the underlying radio access network[127], hence they can be offered via existing 2G & 2.5G networks, as well as via WLANs and even to an ISP's xDSL and cable customers.

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1. For additional details concering IMS see: Boris Iv. Kalaglarski and Emilio Di Geronimo's Masters thesis "IMS Interworking"[145] and the book by Camarillo & García-Martín[146].

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IP Multimedia Subsystem (IMS)
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Mobile and Wireless Network Architectures

Future IMS services

- person-to-person real-time IP-based multimedia communications (e.g. voice, videotelephony, …)
- person-to-machine communications (e.g. gaming).
- integrated real-time with non-real-time multimedia communications (e.g. live streaming with a chat group)
- services combining use of presence and instant messaging; other combinations of services, e.g., surveillance - where a remote camera begins streaming video because of a presence detection event)
- multiple services in a single session or multiple simultaneous synchronized sessions (think of Synchronized Multimedia Integration Language (SMIL)[128])
  - should include transitioning from a two party voice call to a multi-party audio and video conference ⇒ no need for special predefined conference services
  - An masters thesis presentation concerning SMIL will be given by Kristofer Borgström entitled "MMS Components for Web 2.0", on Monday 4th of February 2008 at 13:00 in Seminar room Grimeton at Wireless@KTH

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Future IMS services
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WAP, Heterogeneous PCS, 3G 327 of 345
Mobile and Wireless Network Architectures

IMS architecture

Application Server(s)
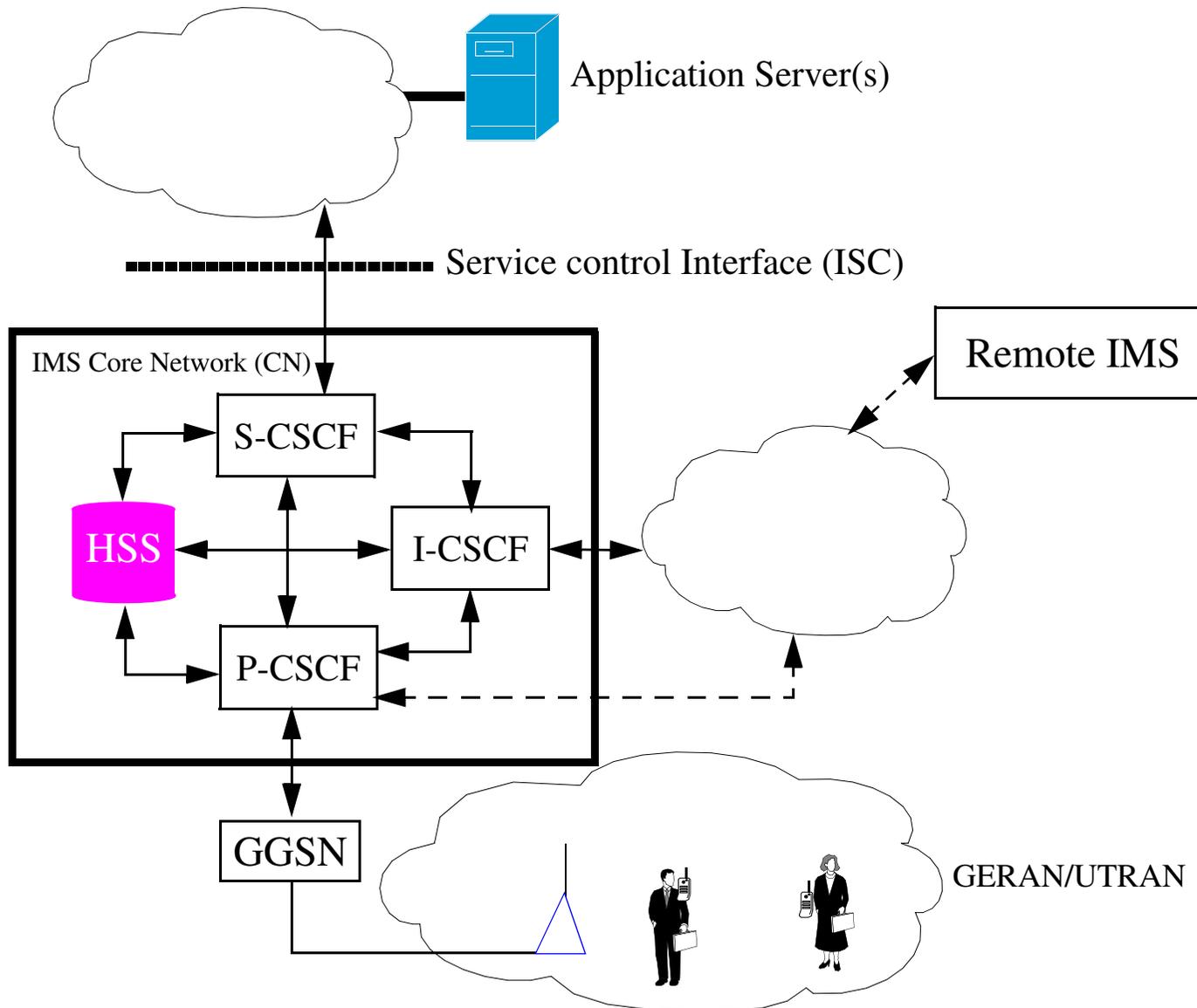
S-CSCF   Serving Call Session Control Function

I-CSCF   Interrogating Call Session Control Function

P-CSCF   Proxy Call Session Control Function

HSS   Home Subscriber Server

Service control Interface (ISC)

IMS Core Network (CN)

S-CSCF

HSS

I-CSCF

P-CSCF

Remote IMS

GGSN

GERAN/UTRAN

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IMS architecture
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Mobile and Wireless Network Architectures

Long-Term Evolution Radio Networks

In spring 2007, Petter Edström presented a masters thesis entitled: "Overhead Impacts on Long-Term Evolution Radio Networks" describing the impact of frame and protocol overhead on Long-Term Evolution (LTE) Radio Networks[143].

3GPP's LTE is an evolution of UMTS to support 100Mbps down link and 50Mbps communication with very low latency (<5 ms) - see

http://www.3gpp.org/Highlights/LTE/LTE.htm .

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Long-Term Evolution Radio Networks
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Generations of technology versus generative technology

Today there are lots of discussion of future communication systems, such as the cellular variants Theo Kanter calls πG systems[1], next generation internet, … . There is even discussion of **if** there will be a 4th **generation** of cellular systems or **if** we will see the end of *generational* architectures and systems.

For some additional insights on the future of networking, see and Jonathan Zittrain's *The Future of the Internet -- And How to Stop It* [148] (see `http://futureoftheinternet.org/`).

Note that Jonathan Zittrain's book focuses on the fact that upto this point the Internet can be seen as a generative system/technology (i.e., provides high leverage, is highly adaptable, is easy to master, the technology and tools are readily accessible, and there is high transferability {developments can easily be transferred to another user]) - see [148], page 70-73.

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1. Because $3 < \pi < 4$ and π is an irrational number.

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4th generation?

User deployed access points (base stations)[142]

As of 16 November 2004, NTT DoCoMo have started shipping their Wi-Fi/Cellular Phone - N900iL (supports a 3G FOMA cellular network and Wi-Fi) [129].

The same day at the 3G World Congress Convention and Exhibition in Hong Kong, Nokia showed real-time streaming video with seamless handoff between two CDMA access networks using Mobile IPv6, the "first Mobile IPv6 call".[130]

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4th generation?
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IEEE 802.21

Developing standards to "enable handover and interoperability between heterogeneous network types including both 802 and non 802 networks".[131]

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IEEE 802.21

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4G in Asia

- CJK
  - Collaboration between China, Japan, and Korea for beyond 3G international standards
- FuTURE - A chinese national project with 4 phases:
  - startup
  - 2003-2005 specfication
  - 2007-2007 implementation
  - 2008- standardization
- mITF
  - A japanese forum for 4G and Mobile Commerce
  - Targeting a commercial introduction in 2010
  - DoCoMo has shown 100 Mbps (outdoors) and 1 Gbps (indoors) using MIMO technology
- Wireless Broadband Portable Internet (WiBro)
  - Korean effect for 2.3 GHz with 10MHz bandwidth to support 0.5 to 50 Mbops at speeds up to 50 km/h.

Information on these 4 efforts is from slide 5 of 12 in a Siemens presentation at the Public Launch of the eMobility Platform [132].

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4G in Asia
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Wireless Broadband Portable Internet (WiBro)

For further information on WiBro, see the presentation given by Dongwoo Kim, "Overview of WiBro/WiMAX and Its Evolution" at Wireless@KTH on 2007-11-30:

Abstract: "WiBro is presently in service in Korea. Moreover, the spectrum used for WiBro in Korea is recently allocated to future generation mobile systems in WRC and WiBro evolution (so-called 802.16m) has a target towards IMT-2000 advanced. WiBro was attracted as an economic tool of providing mobile data service, which extends existing IEEE 802.16 to mobile WiMAX. This talk will cover WiBro network architecture, technological elements, frame structure and evolutions."

http://www.wireless.kth.se/news and events/view event.php?event id=360

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Wireless Broadband Portable Internet (WiBro)
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Mobile and Wireless Network Architectures

eMobility Platform

Member organisations of the Steering Board:
- Alcatel
- Deutsche Telekom AG
- Ericsson
- France Telecom
- Hutchison 3G Europe
- Lucent Technologies
- Motorola
- Nokia
- Philips
- Siemens AG
- STMicroelectronics
- Telecom Italia Mobile
- Telefónica Móviles España
- Thales
- Vodafone

"eMobility Platform leads the way

In order to serve Europe's need and to maintain its position in the global market for mobile and wireless systems in the 2010-2020 time horizon, it will be necessary to develop large-scale European approaches to system research and development, and to mobile services and applications in the context of digital convergence.

To this end, the eMobility Platform will define and implement a comprehensive research agenda in the mobile and wireless sector." [133]

Driven by a new paradigm: "Individual's quality of life improvement by making available an environment for instant provision and access to meaningful multi-sensory information and content." pg. 3 of [134]

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WAP, Heterogeneous PCS, 3G 336 of 345
Mobile and Wireless Network Architectures

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2010.01.21

WAP, Heterogeneous PCS, 3G 342 of 345
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Further reading
2010.01.21

WAP, Heterogeneous PCS, 3G 343 of 345
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[148]Jonathan Zittrain, The Future of the Internet -- And How to Stop it, New Haven: Yale University Press, 342 pages, 2008. ISBN 978-0-300-12487-3, Web site of the book and blog: *http://futureoftheinternet.org/* ; the author's web site: *http://www.jz.org/*

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Further reading
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WAP, Heterogeneous PCS, 3G 345 of 345
Mobile and Wireless Network Architectures

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

6. Wireless Local Loop (WLL) and Enterprise Networks

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

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Wireless Local Loop (WLL)

Providing wireless connections to stationary or near stationary stations within a small service area

Generally targeted at the "last mile" or from a point in the neighborhood to the user

Advantages of Wireless local loop:

- **ease of installation**
  - reducing digging, reduce poles, ducts/conduits, …
  - quick installation of new links (i.e., rapid provisioning)
  - largely distance insensitive pricing - at least up to some limit

- **concentration of resources (especially at the multiplexer to the high bandwidth backbone)**

IS-54 architectural reference model for WLL:

WANU = Wireless
Access Network Unit

WASU = Wireless
Access Subscriber Unit

U_{WLL}

WASU

WANU

transceiver

AM
HLR

WLL
controller

A_{WLL}

Switch
Function

Trunk

PSTN

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Wireless Local Loop (WLL)
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Wireless Local Loop (WLL) and Enterprise Networks
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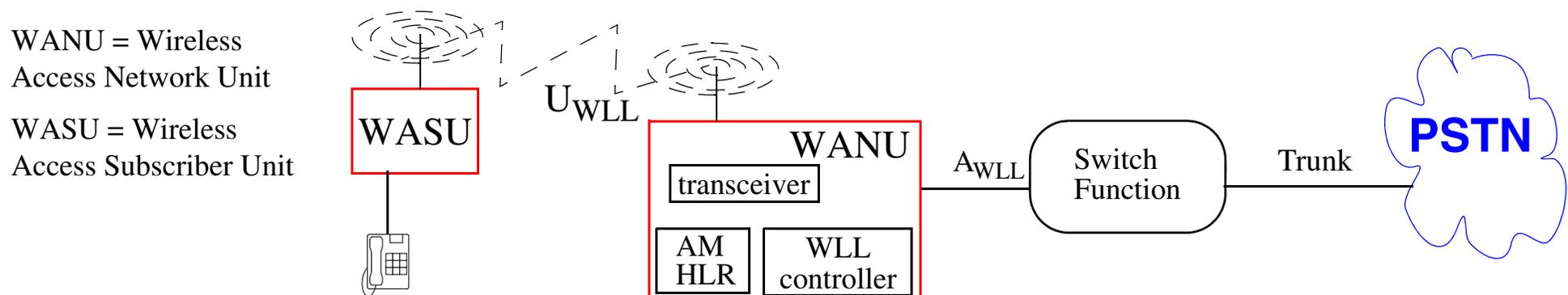
Deployment issues

- **Spectrum**
  - licensed - limited interference, but requires licensing
  - unlicensed - more interference, but no licensing - generally limited in (maximum and average) power
- **Service Quality**
  - Users expect it is going to be the same as wireline service
  - high reliability
  - low risk of fraud (due to others "hijacking" the link)
- **Network planning**
  - should support very high penetration levels (for example >90%)
  - exploits the fact that users are not moving (or rarely move)
  - antenna height, etc. is generally derived from user density

Very popular in the former "East block" of Europe - since there was no need to install a local loop cable to bring users to the local exchange of the PSTN; enabled very rapid provisioning to very large numbers of subscribers.

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Deployment issuesWireless Local Loop (WLL) and Enterprise Networks 348 of
2010.01.21
Mobile and Wireless Network Architectures

Wireless Local Loop Technologies

- **Satellite**
  - a great chance for the satellite operators (Hughes Network Systems, Inmarsat International Circular Orbit (ICO), Iridium, Globestar, Odyssey, American Mobile Satellite Corporation (AMSC), Asia Cellular Satellite (ACeS), Thuraya, …)
  - note that some of these operators (such as Hughes) used terrestrial versions of their system

- **Cellular-based**
  - used in rural and sparse urban settings

- **Low Tier PCS** or **Microcellular** based systems
  - PACS, PHS, DECT, …

- **Fixed Wireless Access** (FWA)
  - some times proprietary point-to-point links
  - increasingly LMDS

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Wireless Local Loop Technologies
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Wireless Local Loop (WLL) and Enterprise Net-
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Enterprise Networks

Networking within an organization - often campus networking. Traditional voice enterprise networks were based on a PBX, today this often extended by cordless telephony, wired LANs, and WLAN systems.

Enterprise based location systems (such as Ericsson DECT mobility server, which enabled redirecting a DECT call to any Ericsson site from the user's home site).

Olivetti& Oracle Research Labs (now AT&T Research Labs) in Cambridge developed an active badge system which used IR emitting badges (called *active badges*) to locate users with in the building. This enabled delivering a phone call to the nearest fixed line phone, logging who visited who, finding people and equipment, … . A subsequent project uses ultrasound for location: *active bat*s.

Theo Kanter and colleagues at Ellemtel showed a system in the mid-1990s which utilized SmartBadges (developed at KTH, HP, and Univ. of Wollongong) [151] to locate users and by providing voice gateways the could direct a user's calls to computers, cordless, or mobile phones as appropriate.

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Enterprise NetworksWireless Local Loop (WLL) and Enterprise Networks 350 of
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Cordless PBXs

For example, Ericsson's MD110 Communication System (aka "Consono") -- which is a DECT based system - simply attaches DECT base stations to their PBX.

See `http://www.ericsson.com/enterprise/products/md110.shtml/index.shtml`

Telia provides packages where the user can pay:

- per line/month - fixed
- per line/month - DECT (with local mobility support)
- per line/month - DECT (with mobility support over several exchanges)
- per line - DECT (with local or multiple site mobility) - but only outgoing/incoming trunk costs/month
- …

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Cordless PBXs   Wireless Local Loop (WLL) and Enterprise Networks 351 of
2010.01.21   Mobile and Wireless Network Architectures

Virtual enterprise networks

By utilizing location based billing, it is possible to offer an enterprise a virtual cellular PBX (ala the Centrex systems for fixed telephony). In such a system the operators negotiates a price for providing coverage to a campus or set of coverage areas - typically for a fixed price for a year (or more).

The operator likes this as they know they have a given amount of income and they know what their fixed costs for installing a base station to cover the relevant areas is. As a side effect they may also be able to handle calls for other users -- and not have to pay for renting antenna and other space!

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Virtual enterprise networks
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Wireless Local Loop (WLL) and Enterprise Networks
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Remoting the office to where the user is

A rapidly growing area of business utilizes Virtual Private Network technology to extend the corporate network (voice, fax, data, file system, etc.) to where the user is and via what ever communications interconnect that is available.

(See for example: Ericsson's Virtual Office (EVO))

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Remoting the office to where the user is Wireless Local Loop (WLL) and Enterprise Net-
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corDECT

A version of DECT developed by Midas Communication Technologies (http://www.midascomm.com/) and the Indian Institute of Technology, Madras ((http://www.tenet.res.in), in association with Analog Devices Inc., USA.

Provides toll-quality voice together with 35 or 70 kbps Internet service.

Utilizes the DECT air interface, but at the DECT Interface Unit (DIU) it separates the voice (which it forwards to a telephone exchange over an E1 line) and data which it passes on to an ISP. The data is sent using PPP.

For details see: Midas Communication Technologies, "corDECT Wireless Access System", December 2000 *http://www.tenet.res.in/Papers/cordect.pdf*

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corDECTWireless Local Loop (WLL) and Enterprise Networks 354 of 358
2010.01.21
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Personal Handyphone (PHS)

Personal Handyphone System (PHS) standard [149] is a TDD-TDMA based microcellular wireless communications technology operating in the 1880 to 1930 MHz band.

It is used in public PHS networks, Wireless Local Loop (WLL) and Fixed Wireless Access (FWA) networks, corporate (cordless PBX), and in homes. Like DECT it uses dynamic channel allocation and provides 32kbps bearer capability on each of the 24 TDMA frame slots. Multiple time slots can be utilized by one user, thus providing up to 128kbps.

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Personal Handyphone (PHS)   Wireless Local Loop (WLL) and Enterprise Networks
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PAS in China

PAS is a personal network access system that delivers wireless voice and data, based on the Personal Handyphone System (PHS) protocol. It provides both fixed and low mobility services.

PHS was enhanced by UTStar.com :

- features: Caller ID, call forwarding, voice mail
- city-wide and intercity handover and roaming services
- 32 Kbps mobile internet access
- small handsets with >800 hours of standby and ~6.5 h of talk time

Following the breakup of China Telecom, used by the wireline operators to get around the duopoly (only China Mobile and China Unicom can offer cellular services). Ministry of Information Industry (MII) in an internal notice (June 2000) will continue to allowed PAS in county-level cities and counties. In large and medium-sized cities, it may only be used "*where there is a high concentration of population, such as campuses, commercial buildings and special development zones.*" While new city-wide PAS deployments will only be allowed in cities of *fewer* than two million people. [150]

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PAS in China   Wireless Local Loop (WLL) and Enterprise Networks 356 of
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Unified Communications

- Integrated messaging
  - Cellular, cordless, fixed lines - are share the same voice mailbox, potentially with interface to e-mail, …
- Synchronizing calendars, phone books, …
- Synchronizing services across many devices (which may be using different networks)
- Ericsson's **Always Best Connected** (ABC) - to use the best technology for the current setting

References

[149]Personal Handiphone MoU *http://www.phsmou.or.jp/*

[150]Joseph Tang and Florence Cheung, "The Threat of PAS in China:Impact on China Mobile and China Unicom", SUN HUNG KAI Research Ltd., 3 April 2001
http://www.shkresearch.com/English/ResearchProducts/DailyProducts/sector/prc/english/20010403sector.pdf

[151]Mark T. Smith and Gerald Q. Maguire Jr., SmartBadge/BadgePad version 4, HP Labs and Royal Institute of Technology (KTH),
http://www.it.kth.se/~maguire/badge4.html , June 27, 2006.

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ReferencesWireless Local Loop (WLL) and Enterprise Networks 358 of 358
2010.01.21
Mobile and Wireless Network Architectures

IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

7. Wireless LAN (WLAN)

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Wireless Local Area Networks (WLANs)

IEEE 802.11 Medium Access Control (MAC) protocol uses Carrier sense multiple access (CSMA) with collision avoidance (CA) medium access scheme.

Several variants:

IEEE 802.11b	1, 2, 5.5 and 11 Mbps - DS-SS
	Wireless Ethernet Compatibility Alliance certifies its members' equipment as conforming to the 802.11b standard. Compliant hardware is stamped **Wi-Fi (Wireless Fidelity)** compatible; operates in 2.4GHz band
IEEE 802.11g	enable data transmission speeds of up to 54 Mbps, with backwards compatibility to 802.11b infrastructure; operates in 2.4GHz band
IEEE 802.11a	using OFDM (Orthogonal Frequency Division Multiplexing) achieves upto 54 Mbps - currently **not** approved for use in Sweden; operates in 5 GHz band
IEEE 802.11h	designed to adapt 802.11a to the european HiperLAN/2 requirements; operates in 5 GHz band
IEEE 802.11n	*Amendment to IEEE 802.11-2007 called IEEE P802.11n, "Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications: Enhancements for Higher Throughput"; target maximum data rate of 248 Mbit/s (Approved October 2009)*

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Wireless Local Area Networks (WLANs)
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Wireless LAN (WLAN) 360 of 400
Mobile and Wireless Network Architectures

Two possible network configurations

Independent configuration	Mobile stations communicate directly to each other with no access point (base station) support, i.e., peer-to-peer (**ad hoc**) networking
Infrastructure configuration	Mobile stations communicate only via access points (APs)

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Two possible network configurations
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Terms

Basic Service Set (BSS) - a group of stations that are under the direct control of a single coordination function (PCF or DCF)

Independent BSS (IBSS) - also known as an ad hoc network, defined as a BSS which exist without an access point (AP)

Infrastructure network - a network of wireless stations along with APs, which enables stations in one BSS to communicate with stations in another BSS

Distribution System (DS) - a backbone network between the two or more access points

Extended Service Set (ESS) a series of overlapping BSSs (each with its own AP) connected together by means of a Distribution System (DS)

Hidden node - a node is said to be hidden when its transmissions cannot be heard by some other node in the network (although it can be heard be one or more other nodes)

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Terms
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IEEE 802.11 Basic Access Method

IFS	Inter Frame spacing - during this time the medium is idle
SIFS	Short IFS - transmission after SIFS is reserved for ACKs, Clear To Send frame, or to send a fragmented MAC protocol data unit (MPDU)
DIFS	if after DCF-IFS (DIFS) a station finds the media free it can transmit a pending packet;
	otherwise it sets a backoff timer after selecting a random backoff value (BV) {selected from a uniform distribution over [0 .. CW-1], where CW is the width of the contention window in slots}
	if medium become busy before time goes off, then the value is frozen until the next DIFS interval, where upon it continues the count down
	CW is doubled after collisions and reset to CW_{min} after a successful transmission
EIFS	Extended IFS - used when the receiver can't correct the received packet

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IEEE 802.11 Basic Access Method
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Wireless LAN (WLAN) 363 of 400
Mobile and Wireless Network Architectures

Distribution Coordinating Function (DCF)

Distribution Coordinating Function (DCF) is based on carrier sense multiple access with collision avoidance (CSMA/CA)

Receivers send an ACK if they successfully receive a packet, otherwise the transmitter re-sends.

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Distribution Coordinating Function (DCF)
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CSMA/CA with ACK in infrastructure network

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Distribution Coordinating Function (DCF)

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Wireless LAN (WLAN) 365 of 400

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Distribution Coordinating Function (DCF)
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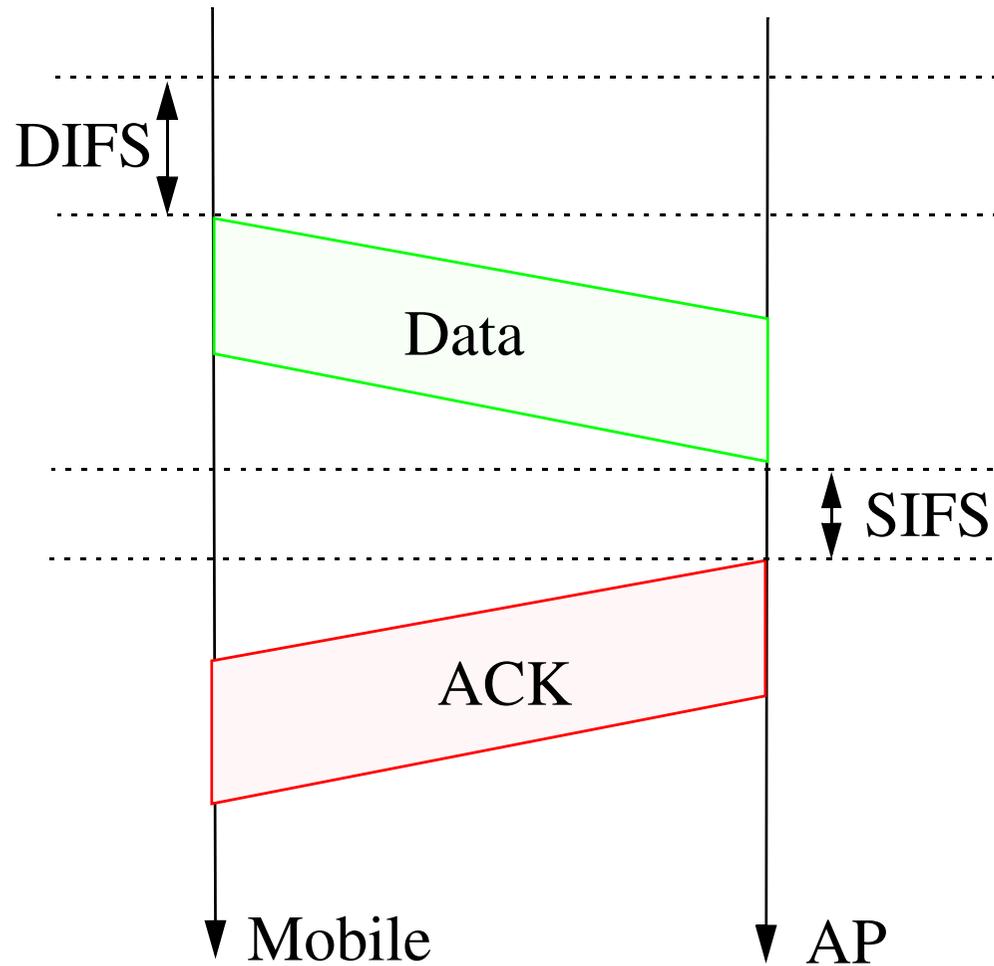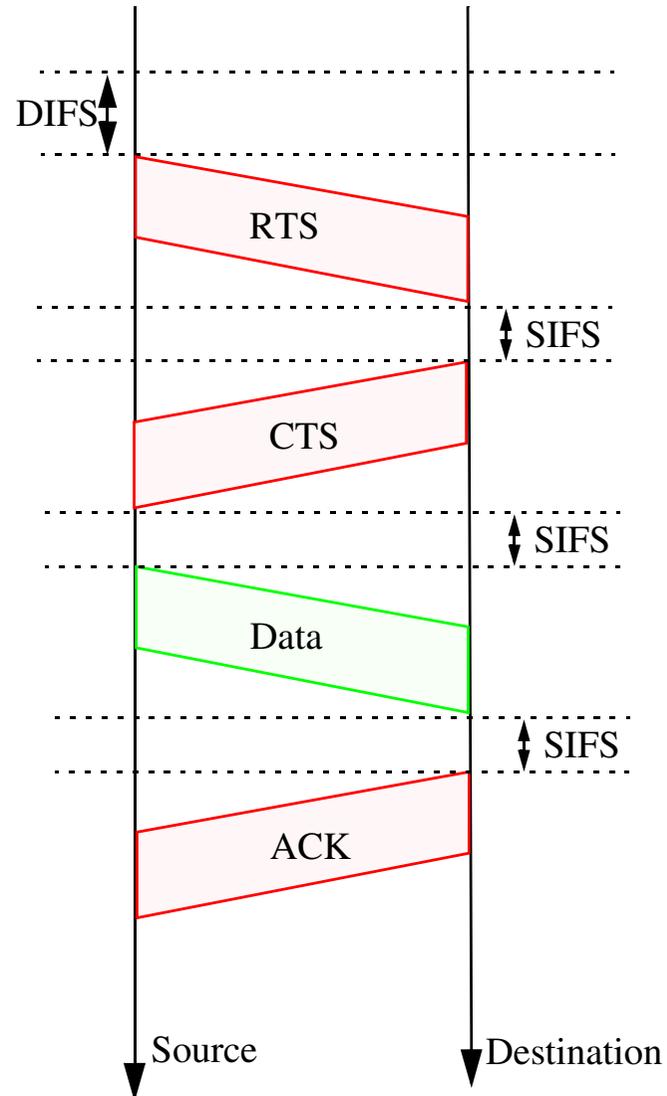
IEEE 802.11 Frame Format

Frame Control	2 bytes
Duration/ID	2 bytes
Address 1	6 bytes
Address 2	6 bytes
Address 3	6 bytes
Sequence Control	2 bytes
Address 4	6 bytes
Frame Body	0 .. 2312 bytes
CRC	4 bytes

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IEEE 802.11 Frame Format
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Wireless LAN (WLAN) 367 of 400
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IEEE 802.11 Frame Control

B0 B1	B2 B3	B4 B5 B6 B7	B8	B9	B10	B11	B12	B13	B14	B15
Protocol Version	Type	Subtype	To DS	From DS	More Frag	Retry	PwrMgt	More data	WEP	RSVD
2	2	4	1	1	1	1	1	1	1	1

Protocol Version	currently 00, other values reserved
To DS/From DS	1 for communication between two APs
More Fragments	1 if another fragment follows
Retry	1 if packet is a retransmission
Power Management	1 if station is in sleep mode
More date	1 if there are more packets to the terminal in power-save mode
WEP	1 if data bits are encrypted

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IEEE 802.11 Frame Control
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Wireless LAN (WLAN) 368 of 400
Mobile and Wireless Network Architectures

Startup, then Join a network

- Turn on & discovery phase
  - determine AP or other stations exist
  - get SSID and other parameters

- Negotiate for connection
  - Authentication & Association

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Startup, then Join a network

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Wireless LAN (WLAN) 369 of 400

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Discovery Phase

Enter scanning mode: Passive / Active scanning mode

- ## Passive
  - Listen for a Beacon for ChannelTime period
  - From Beacon get the SSID & parameters

- ## Active
  - Transmit a probe frame (including the SSID that you wish to join)
  - Wait for a period for responds by AP or other stations

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Discovery Phase
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Wireless LAN (WLAN) 370 of 400
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Authentication

- Open system authentication
  - **Default** mode
  - Flow:
    - send: Authentication Frame: Algorithm="Open", Sequence Number =1
    - response: Authentication Frame: Algorithm="Open", Sequence Number =2, result=accept/reject

- Shared key authentication
  - Somewhat higher degree of security
  - Need to implement WEP
  - Flow:

Initiator → Authenticator: Authentication Frame: Algorithm="Shared Key", Sequence Number =1

Authenticator → Initiator: Authentication Frame: Algorithm="Shared Key", Sequence Number =2, Challenge Text

Initiator → Authenticator: Authentication Frame: Algorithm="Shared Key", Sequence Number =3, encrypted Challenge Text

Authenticator → Initiator: Authentication Frame: Algorithm="Shared Key", Sequence Number =4, Authentication result

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Authentication
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Wireless LAN (WLAN) 371 of 400
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Wire Equivalent Privacy (WEP)

IEEE 802.11 featured **Wire Equivalent Privacy (WEP)** - this proved to be rather insecure; there are efforts to fix it - but meanwhile or in any case one can use VPNs.

WEP use for data encryption & shared key authentication

- Encryption of data through RSA RC4 algorithm
- 40-bit secret key + 24-bits Initialization Vector (IV)
- IV in frame in clear text
- Integrity Check Value (ICV) included in frame
- When WEP is enabled, Shared Key Authentication is enabled

Adam Stubblefield, John Ioannidis, and Aviel D. Rubin, "Using the Fluhrer, Mantin, and Shamir Attack to Break WEP", AT&T Labs Technical Report TD-4ZCPZZ, Revision 2, August 21, 2001 - now available at

http://web.archive.org/web/20030916024638/http://www.cs.rice.edu/~astubble/wep/wep_attack.pdf

see also *http://www.cs.umd.edu/~waa/wireless.html*

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Wire Equivalent Privacy (WEP)
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Handoff
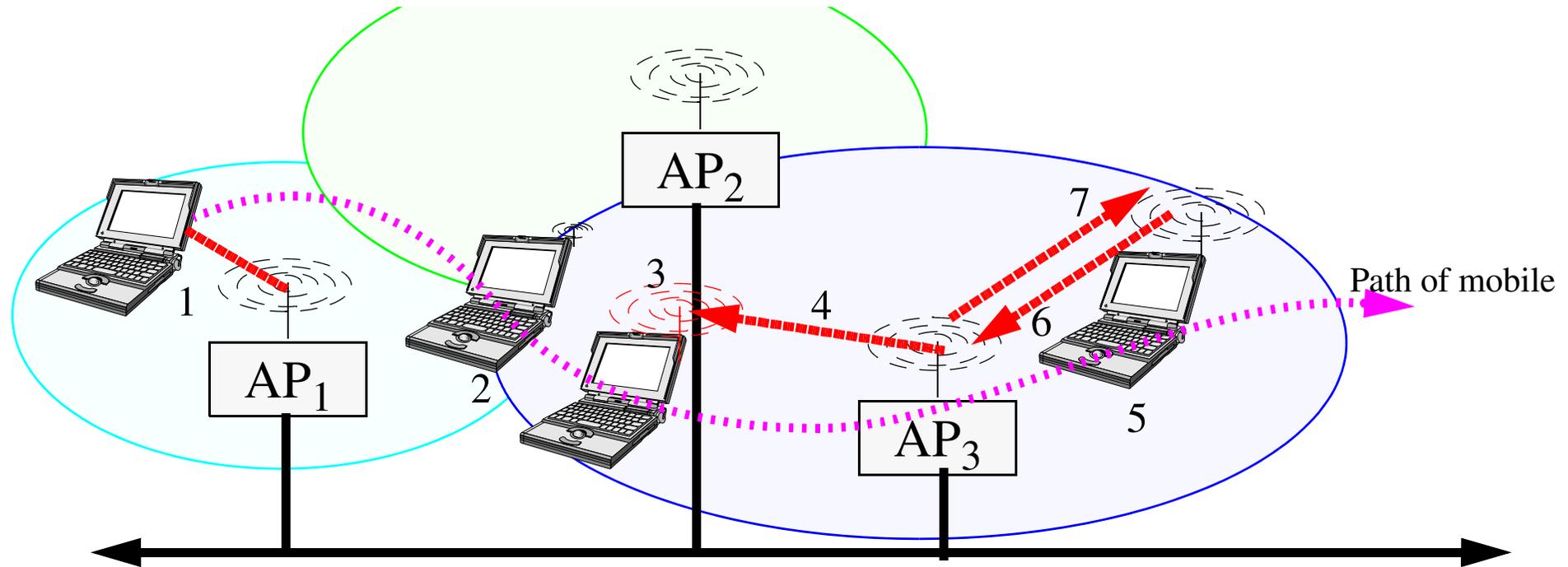
1. Mobile starts with a strong signal from AP_1

2. The signal from AP_1 is now weaker, so mobile starts to look around for a better AP

3. Mobile sends a Probe Request

4. AP_3 send probe response

5. Mobile chooses AP_3 as the best AP

6. Mobile sends Reassociation request

7. AP3 sends a Reassociation Response

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Wireless LAN (WLAN) 373 of 400
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Inter-Access Point Protocol (IAPP)

Project 802.11f: IAPP Inter Access Point Protocol

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Inter-Access Point Protocol (IAPP)
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Mobile and Wireless Network Architectures
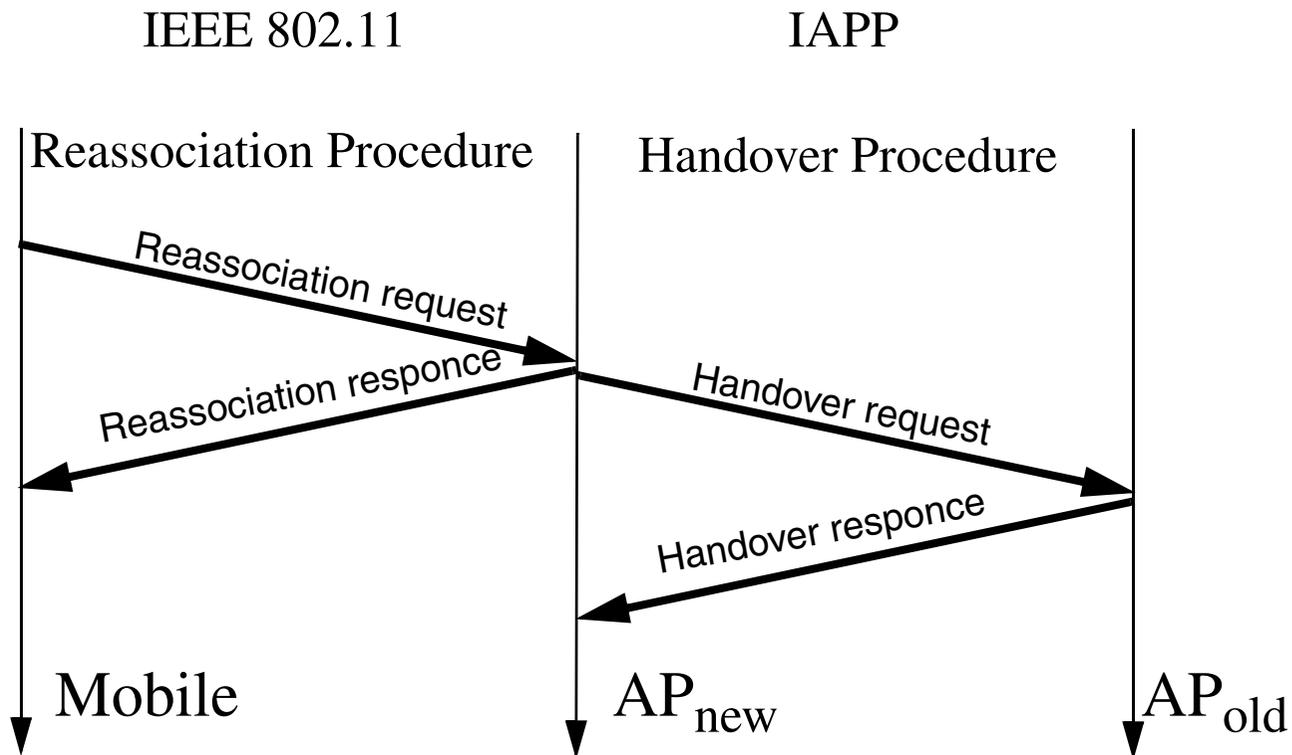
Fast Handoff

- 802.11 being used in PDAs, WLAN phones, lots of new devices (especially for multimedia)
  - Multimedia applications sensitive to connectivity loss (when the loss of data exceeds that which the playout buffers can cover up)
  - TCP sensitive to multiple losses
    - Loss of an entire window causes connection to go into slow-start

- basic handoff is fast and simple, but insecure
  - Authentication occurs prior to reassociation so pre-authentication is possible
  - Management frames are not authenticated, thus no cryptographic operations in critical path
  - If APs involved in the handover use the same WEP key, no inter-AP communication is required

- Unfortunately 802.1x complicates 802.11 handoff
  - now STAs have dynamic per-session keys
  - authentication occurs **after** reassociation, not before
  - If re-authentication is required, then STAs need to complete authentication before recovering connectivity
  - Authentication and key management methods requiring public key operations (e.g. EAP-TLS) -- this can take several seconds to complete
  - Using a TLS continuation can decrease the number of round-trips (from 3.5 to 2.5)
  - if authentication server is far away, then disconnection time can be large

for further information see [161]

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Fast Handoff
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Wireless LAN (WLAN) 375 of 400
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Point Coordination Function (PCF)

Point Coordination Function (PCF) an optional extension to DCF that provides a time division duplexing capability to accommodate time bounded, connection-oriented services.

AP polls each station:

- enabling the polled station to transmit without contending for the medium

- Contention free period repetition interval (consisting of contention free period (CFP) and contention period (CP) is initiated by the AP through a Beacon frame.

  - If AP finds the medium idle, it waits for a PCF inter frame spacing (PIFS) period of time and then transmits a beacon frame with a polling frame following SIFS seconds after it
  - when a station receives the poll from the AP, the medium is reserved for the duration of its transfer (upto the length of CFP), when the data transfer complete (or the reserved time is up), the AP waits for PIFS seconds and polls another station - it continues until the CP interval is up - then the system operates in DCF mode.

- note: AP can transmit data along with the polling frame

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Point Coordination Function (PCF)
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Spacing

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Spacing
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Wireless LAN (WLAN) 377 of 400
Mobile and Wireless Network Architectures
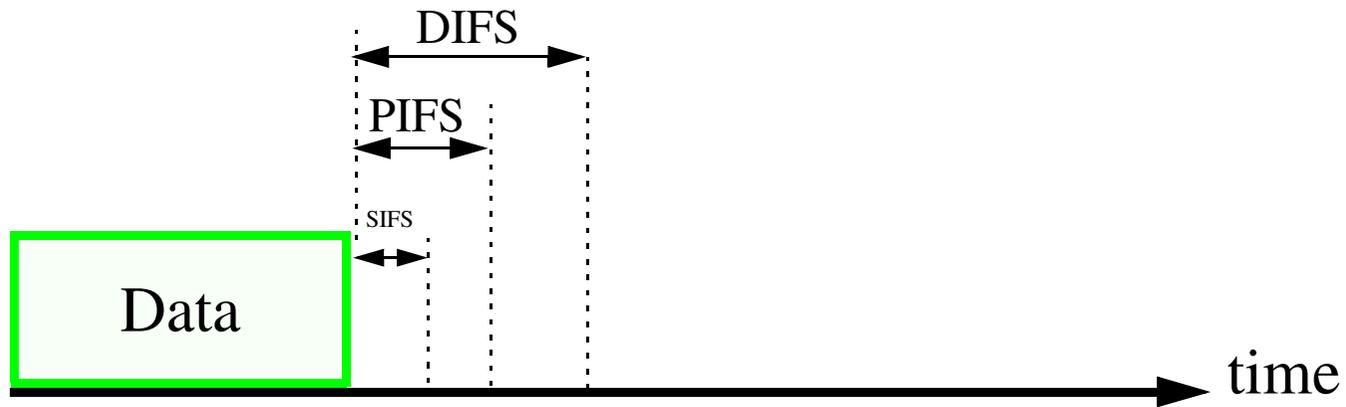
Timing and Power Management

Synchronization (to within 4 μs plus propagation delay) of all clocks within a BSS maintained by periodic transmission of beacons containing time stamp info. AP (in infrastructure mode) is the timing master and generates all timing beacons.

Power saving modes:

awake	STAs (aka mobiles) are fully powered and can receive packets at **any** time.
doze	- unable to transmit or receive data, but uses little power - STA must inform the AP it is entering the doze mode, then AP does not sent packets simply buffers them - Unicast: - When AP has packets queued for STAs in doze state, a traffic indication map (TIM) is broadcast as part of the timing beacon - STAs in the doze mode power up receivers to listen for beacons, if identified by the TIM, they return to awake mode and transmit a PS-Poll message so the AP knows that they are ready to receive data - Broadcast/multicast: - buffered broadcast/multicast packets queued in the AP are indicated in a delivery traffic indication message (DTIM) that is broadcast periodically to awaken all STAs and alert them to a forthcoming broadcast/multicast message; the message is then sent **without** the AP **waiting** for PS-Poll messages.

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Timing and Power Management
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Wireless LAN (WLAN) 378 of 400
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WLAN AP performance

A lot of work has been done to try to understand the details of APs, both their throughput and how they behave during a handoff. For details see:

- Enrico Pelletta's M.Sc. thesis for AP throughput measurements and
- J-O Vatn's technical report [158] for handoff/handover details
  - His detailed measurements present some new aspects which others had not seen

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WLAN AP performance
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Wireless LAN (WLAN) 379 of 400
Mobile and Wireless Network Architectures

AAA

IEEE 802.1x -port-based network access control for authentication, authorization, and security[160]

See also Juan Caballero Bayerri and Daniel Malmkvist, "Experimental Study of a Network Access Server for a public WLAN access network", M.S. Thesis, KTH/IMIT, Jan. 2002 [159].

IEEE Extensible Authentication Protocol

An authentication protocol which supports multiple authentication mechanisms, runs directly over the link layer without requiring IP and therefore includes its own support for in-order delivery and re-transmission. Originally developed for use with PPP: Larry J. Blunk and John R. Vollbrecht, "PPP Extensible Authentication Protocol (EAP) standard", RFC 2284

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IEEE 802.1x

Before authentication

After authentication

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Wireless LAN (WLAN) 381 of 400
Mobile and Wireless Network Architectures
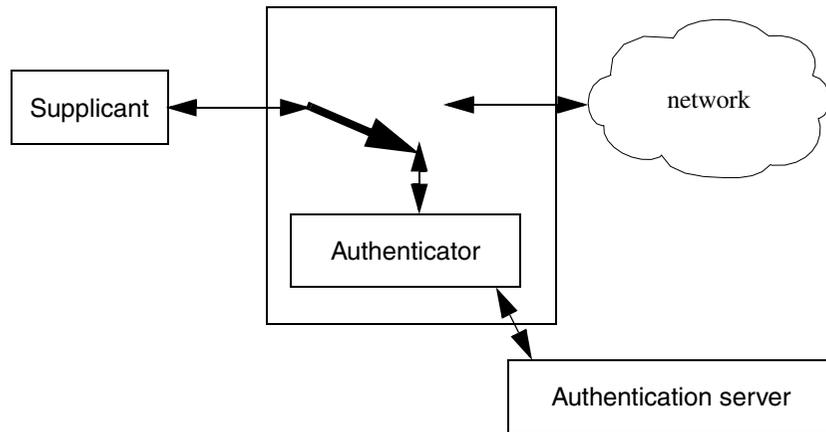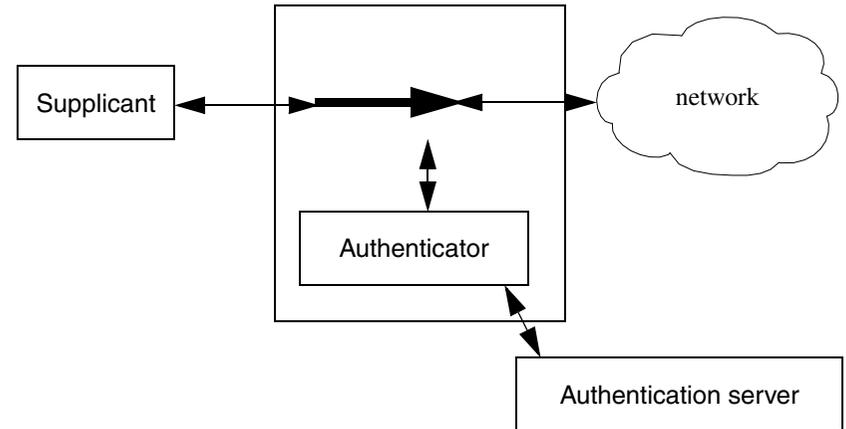
Does authentication have to occur before giving service?

Taking a page from the credit card industry and from the pre-paid cellular market, it is possible to give some service while doing the authentication $\Rightarrow$ non-binary authentication
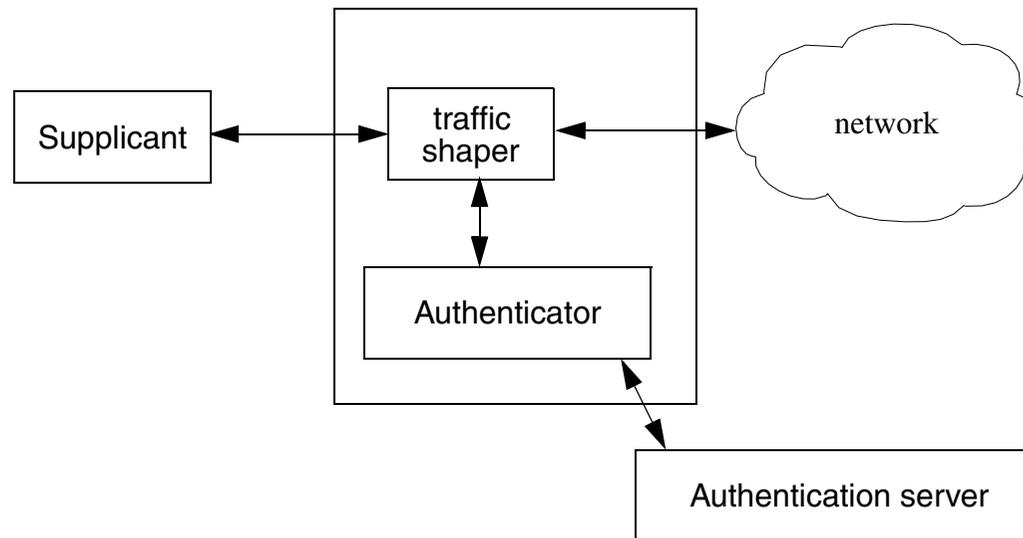
See related theses: [167],[168],[169]

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Does authentication have to occur before giving service?
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Wireless LAN (WLAN) 382 of 400
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Roaming

Roaming is dependent on the underlying networks providing you service and if they are to charge -- knowing who to charge and how much to charge.

Unlike macrocellular systems where you generally only face roaming when making large scale movements (between countries or major regions of a country), in WLAN systems the intersystem movement may occur with little of no movement!

Clearinghouse

Clearinghouse to perform settlements between the various operators, see for example Excilan (*http://www.excilan.com*).

Interconnect Provider

Sören Nyckelgård, Telia's Golden Gate and its Interconnect Provider Role, Telia Golden Gate - Technical Overview, was available January 23, 2002 at

http://www.telia.se/filer/cmc_upload/0/000/030/185/ResearchGoldenGateTec1Overv2.doc

and

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Roaming
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Wireless LAN (WLAN) 383 of 400
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Martin Altinkaya and Saman Ahmedi, "SIP in an Interconnector and Service Provider Role", M.S. Thesis, KTH/IMIT, Dec. 2001.

Since IEEE 802.11 specifies only upto the interface to the 802.2 link layer all mobility management is outside the scope of the standard.

The IEEE 802.11r task group examined fast roaming, by utilizing faster algorithms and preauthentication to avoid some of the performance problems which occurred - this resulted in the IEEE 802.11r Fast Roaming standard.

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Roaming

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Wireless LAN (WLAN) 384 of 400

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Proxies

Numerous proxy based proposals exist to "improve" performance across wireless links - especially targeted to TCP (most have problems keeping TCP/IP's **end-to-end** semantics)

See:

Luis Muñoz, Marta Garcia, Johnny Choque, Ramón Agüero, and Petri Mähönen, "Optimizing Internet Flows over IEEE 802.11b Wireless Local Area Networks: A Performance-Enhancing Proxy Based on Forward Error Control", IEEE Communications Magazine, December 2001, pp. 60-67.

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Proxies
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Mobile and Wireless Network Architectures

Lightweight Access Point Protocol (LWAPP)

A "thin" access point technology where control functions are centralized in switches, instead of at each access point node. Some times this is referred to as a "split MAC".

Cisco introduced its WLAN management technology called **Structured Wireless-Aware Network** solution.

For more information about LWAPP see [165].

See also the IETF working group: Control And Provisioning of Wireless Access Points (capwap) *http://www.ietf.org/html.charters/capwap-charter.html*

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Lightweight Access Point Protocol (LWAPP)
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Wireless LAN (WLAN) 386 of 400
Mobile and Wireless Network Architectures

HiperLAN2

Developed by the European Telecommunications Standard Institute (ETSI)
Broadband Radio Access Networks (BRAN)

- Dedicated spectrum (in Europe) at 5 GHz
- uses Orthogonal Frequency Division Multiplexing (OFDM) with 52 subchannels, 48 subchannels for data, and 4 subchannels for pilot symbols
- TDMA/TDD frames with fixed duration of 2ms
- Maximum gross data rate of 54 Mb/s
- MAC protocol was designed to support multimedia services

For more information see HiperLAN2 Global Forum and ETSI standards documents.

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HiperLAN2
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Mobile and Wireless Network Architectures

802.11a and 802.11h

IEEE 802.11a and ETSI's HiperLAN2 standards have nearly identical physical layers, but are very different at the MAC level

IEEE 802.11h adds **Transmit Power Control (TPC)** to limit a device from emitting more radio signal than needed, and **Dynamic Frequency Selection (DFS)**, which lets the device listen to what is happening in the airspace before picking a channel

- TPC and DFS were introduced to satisfy European requirements
- 802.11h is to be sold under the name Wi-Fi5 (to build on the Wi-Fi branding)

IEEE 802.11 working group j is working to add channel selection for 4.9 GHz and 5 GHz in Japan (and to conform to the Japanese rules for radio operation)

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802.11a and 802.11h
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Wireless LAN (WLAN) 388 of 400
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IEEE 802.11k

This IEEE 802 task group defined Radio Resource Measurement enhancements "to provide mechanisms to higher layers for radio and network measurements".[163]

These enhancements will supplement the 802.11 standard(s) by:

- defining and exposing radio and network information to facilitate the management & maintenance of 802.11 networks, while
- maintaining compatiblity with the IEEE 802.11 MAC

One of the measurements which they include is "location". Interestingly only the STA divulges its location (thus it can choose to divulge this information or not).

They want to enable new applications based on this radio and network information, e.g., location-enabled services.

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IEEE 802.11k

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IEEE 802.11p

The IEEE 802.11p task group was established to address "Wireless Access for the Vehicular Environment (WAVE)"

In particular, Dedicated Short Range Communications (DSRC) [164] as a general purpose communications link between the vehicle and the roadside (or even between vehicles).

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IEEE 802.11p

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Multihop

Motorola (formerly MeshNetworks Inc.)

http://www.motorola.com/governmentandenterprise/northamerica/en-us/public/functions/browsesolution/browsesol

ution.aspx?navigationpath=id_804i/id_2523i MeshLAN Multi-Hopping software:

- designed for use with Wi-Fi hardware
- extending useful range by adding multi-hopping peer-to-peer capabilities to off-the-shelf 802.11 cards

IEEE 802.11s (ESS Mesh Networking) standard is emerging to manage wireless back-haul links and to support mesh networks.

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Multihop
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Wireless LAN (WLAN) 391 of 400
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QDMA (quad-division multiple access)

Motorola (formerly MeshNetworks) QDMA proprietary radio technology was developed (by ITT Industries (`www.itt.com`)) for and currently used by the military.

- IP from end to end
- supports high-speed mobile broadband access
- infrastructure-free, i.e., ad hoc peer-to-peer networking

Claims they can deliver up to 6 Mbps to each user in a QDMA wireless network.

Products have built-in GPS (Global Positioning System) capabilities and QoS for IP voice and video.

First implemented in 2.4GHz as prototype routers, relays, and PDA-size client devices; now developing equipment for MMDS (2.5GHz) licensed operators.

They had FCC experimental license to build a (US) nationwide 4,000-node test network.

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QDMA (quad-division multiple access)
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Wireless LAN (WLAN) 392 of 400
Mobile and Wireless Network Architectures

Wireless Internet Service Providers (WISPs)

- **Location specific** WISP - exploiting high value sites (airports, hotels, coffee shops, …)
  - example: Surf 'n Sip, MobileStar, and Wirelessbolaget
  - Advantages: often have "exclusive" offering
  - Disadvantages: users may also want access in other locations -- hence roaming agreements will be important

- **Single site** or **campus** WISP - a subset of the location specific WISP category (e.g., university or corporate campus, a single conference center/exhibition hall)
  - example: KTH and SU's IT-University campus, CMU's campus, …
  - Advantages: they know the site very well, generally they have "exclusive" offering, users are trapped - so they will have to pay and pay and pay or it is part of the tele/datacom offering
  - Disadvantages: for some sites the users are only there for a short period (hours to days), very high turn over in users (so low administrative costs are very important); in university and corporate campus settings very high demands/expectations

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Wireless Internet Service Providers (WISPs)
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Wireless LAN (WLAN) 393 of 400
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- **Mobile carrier** WISP - mobile (WWAN) operator also offering WLAN
  - examples: Telia HomeRun (Sweden), Sonera wGate (Finland), and VoiceStream (Germany / US) {due to their acquisition of MobileStar in the US - what happens if they bring this technology back to Europe?}
  - Advantages: they know where their users spend time (from their existing traffic and location data) so they can easily build out hotspots; retain customers with whom they already have a billing relationship
  - Disadvantages: offering WLAN might reduce their income (as they might have been able to charge (a lot) for the traffic via the WWAN in these same spots)

- **ISP WISP** - existing ISP that extends their network via WLAN access points
  - example: Sweden's PowerNet
  - Advantages: pretty straight forward extension of their existing network, by shipping dual xDSL/cable/… + AP devices[1]; retain customers with whom they already have a billing relationship
  - Disadvantages: offering WLAN might reduce their income since neighbors can share rather than installing their own service

- **WISP** - a pure wireless internet service provider
  - example: Sweden: Wirelessbolaget, DefaultCity, U.S.: Wayport
  - Advantages: this is their business
  - Disadvantages: this is their business but they depend on an ISP for back haul

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1. Actiontec Electronics

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Wireless Internet Service Providers (WISPs)
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Wireless LAN (WLAN) 394 of 400
Mobile and Wireless Network Architectures

- **Operator Neutral** WISP - an Internet eXchange (IX) to which several independent ISPs (or WISPs) are connected
  - example: StockholmOpen.net
  - Advantages: enable multiple operators
  - Disadvantages:
- **Franchising** WISP -
  - example:
  - Advantages: they simply sell the idea, starter kit, supply backup support, …
  - Disadvantages: dependant on getting a cut from the franchise
- **Virtual** WISP - no actual network, … - but rather they simply rent/buy capacity for their users; thus their major role is to support and bill users
  - example: Boingo
  - Advantages: very low to near zero costs for infrastructure
  - Disadvantages: they must provide either high service level and/or low prices to retain their customers
- **Community/Grassroots** WISP - altruistic providers
  - example: NYC Wireless
  - Advantages: people making their WLAN available to others "because it is the right thing to do"
  - Disadvantages: Support way or many not exist

Herslow, Navarro, and Scholander classify the WISPs based on whether they are "for fee" or for "free" and coverage area: hotspot vs. wide area.

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Wireless Internet Service Providers (WISPs)
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Wireless LAN (WLAN) 395 of 400
Mobile and Wireless Network Architectures

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WISPs

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IEEE 802.11

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Mobile and Wireless Network Architectures

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Wireless LAN (WLAN) 397 of 400
Mobile and Wireless Network Architectures

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Wireless LAN (WLAN) 398 of 400
Mobile and Wireless Network Architectures

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Mobile and Wireless Network Architectures

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Wireless LAN (WLAN) 400 of 400
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IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

8. Bluetooth: Piconets, Scatternets

Lecture notes of G. Q. Maguire Jr.

KTH Information and Communication Technology

Bluetooth

Bluetooth name comes from Danish king Harald Blåtand (Bluetooth), credited with uniting the Scandinavian people during the 10th century.

The idea was that Bluetooth wireless technology would unite personal computing devices.

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Bluetooth
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Bluetooth™

Bluetooth™ is a trademark owned by the Bluetooth SIG, Inc., USA.

The Bluetooth Special Industry Group (SIG) formed in winter of 1998 by Ericsson, IBM, Intel, Nokia, and Toshiba.

Goals

- low cost
- low power
- primarily a cable replacement (to connect mobile phones to headsets)
  - There are those who believe it can be used as a Wireless Personal Area Network (WPAN), hence it was the basis for IEEE 802.15.

Using:

- short-range radio technology
- ad hoc networking
- dynamic discovery of other Bluetooth devices & the services they offer

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Bluetooth protocol stack

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Bluetooth protocol stack

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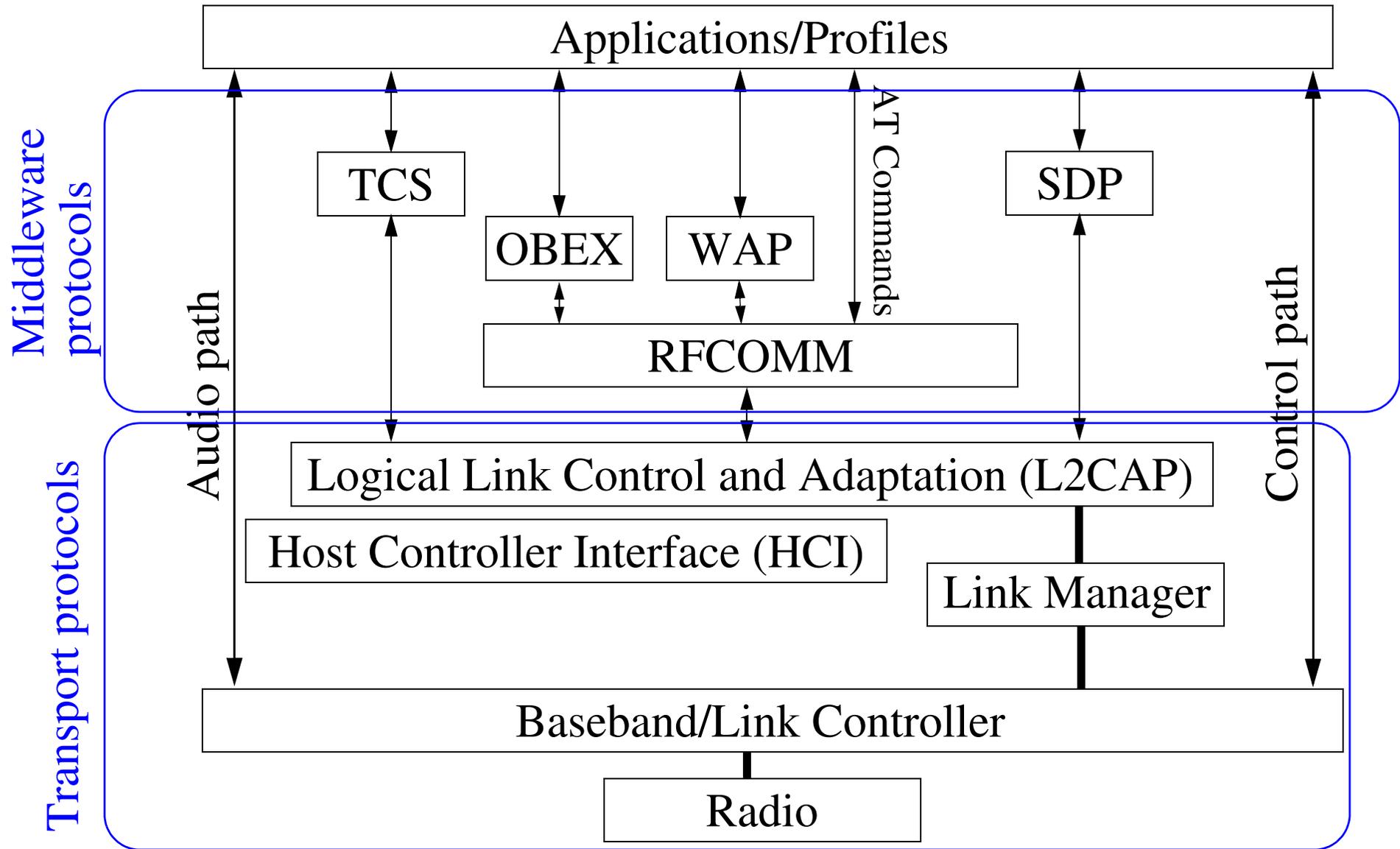
Physical Layer

- Uses 2.4 GHz unlicensed Industrial, Scientific, and Medical (ISM) band (as globally portions of this band are available)
    - many other systems using the same spectrum
        - interference to other systems
        - interference from other systems
    - 2.400-2.4835 GHz, i.e., 83.5 MHz divided into 79 channels with carrier frequencies $f = 2402 + k$ MHz, $k = 0, \dots, 78$; Channel spacing is 1 MHz
    - Gaussian Frequency Shift Keying (GFSK) modulation with one bit per symbol

- uses fast (1600 hops/s) frequency hopping spread spectrum (FHSS)
    - 625 microsecond long time slots
    - one hop per packet, but a packet can be 1 slot, 3 slots, or 5 slots long

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Physical Layer
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Transmit Power

- Low transmit power
- original goal was a 10m radius of operation, but some thought about using Bluetooth for longer ranges $\Rightarrow$ Transmit Power Classes

Class	Max. output power	Range	Power control
1	100mW (20 dBm)	100m+	mandatory
2	2.5mW (4 dBm)	10m	optional
3	1mW (0 dBm)	1m	optional

- most manufacturers producing Class 3 radios
- power control is to reduce both interference and power consumption

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Transmit Power
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Masters vs. Slaves

Each Bluetooth device is a Master or Slave:

- master initiates exchange of data and the slave responds to the master
- in order to communicate devices must use same sequence of frequency hops, hence slaves synchronize to hop sequence of master
- master assigns an **A**ctive **M**ember **add**ress (AM_ADDR) to the slaves participating in active communications within the piconet

Additional devices may be registered with the master and be invited to become active as necessary -- their state is called "**parked**"

Devices not currently associated with any piconet are in **stand-by mode**.

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Masters vs. Slaves
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Frequency Hop Sequence

Each device has a 48 bit IEEE MAC address (called a Bluetooth device address (BD_ADDR)) and a local free-running 28-bit clock that ticks once every 312.5 μs (which corresponds to half the residence time in a frequency when the radio hops at the nominal rate of 1,600 hops/sec.)

Each slave receives master's address and clock, then uses this to calculate frequency hop sequence

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Frequency Hop Sequence
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Time Division Multiplexing (TDM)

Divide the total bandwidth between Bluetooth devices using a given hop sequence

- Master assigns time slots to slaves
- packets are joined together in transmit and receive pairs; master and slaves alternate in time-division duplex (TDD)

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Time Division Multiplexing (TDM)
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Network Topology

Piconet	subnet of Bluetooth devices, synchronized to the timing and hopping sequence of a master
	• slaves only communicate with the master
	• maximum of 7 slaves in a piconet (as there are only 3 address bits!)
Scatternet	multiple Bluetooth piconets joined together by devices that are in more than one piconet
	• Routing of packets between piconets is not defined)

Scatternet

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Network Topology
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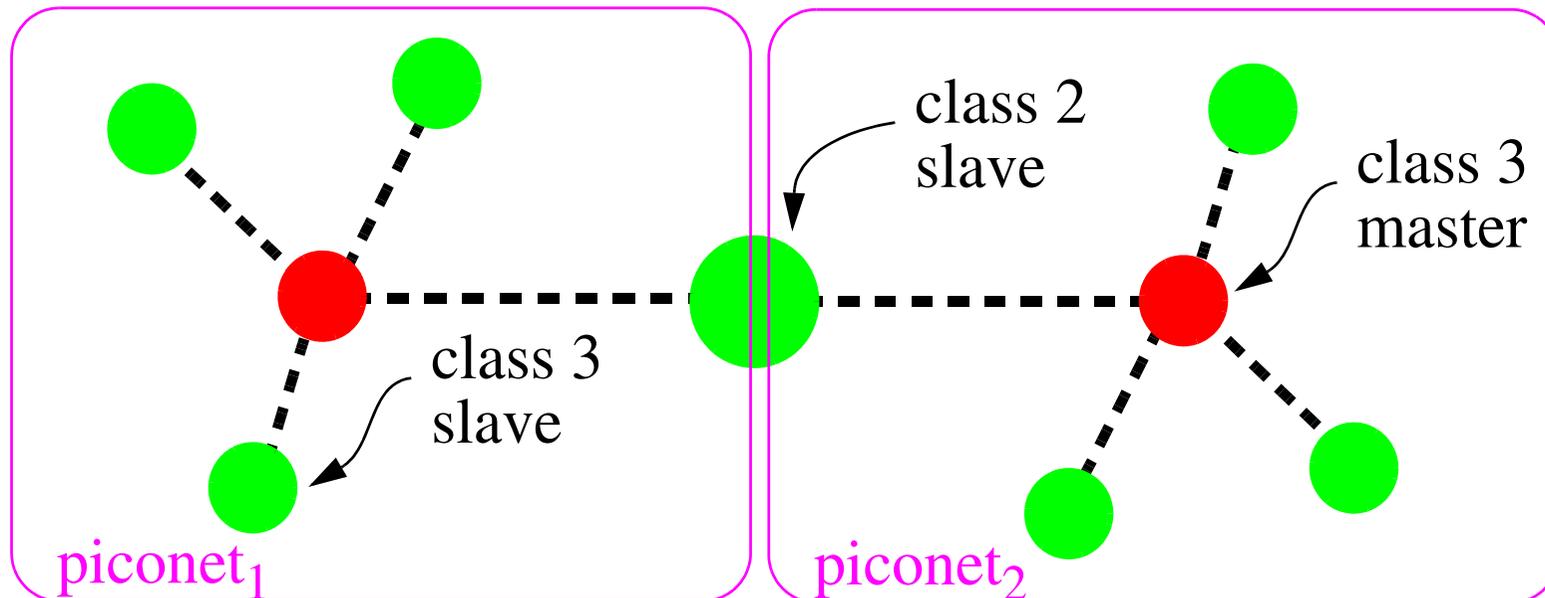
Scatternets

If a device is present in more than one piconet, it must time-share, spending a few slots in one piconet and a few slots in the other

A device may not be master of two different piconets since all slaves in a piconet are synchronized to the **master's** hop sequence, thus if the slaves were all synchronized with a single master -- they would be part of the **same** piconet!

This means that piconets making up a scatternet do **not** coordinate their frequency hopping $\Rightarrow$ unsynchronized piconets in an area will randomly collide on the same frequency.

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Scatternets
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Voice + Data support

As an important application of Bluetooth was a cable replacement between handset and headset and this was developed in a telecom company's development lab $\Rightarrow$ synchronous voice support was the focus of the link protocol design

- Synchronous Connection Oriented (SCO) links for voice
  - circuit-switched connections - 64 kbps in each direction per voice channel (using their own voice coding or) using reserved slots
  - up to three voice channels active at one time (may be to 1, 2, or 3 slaves)
  - ~78% overhead for data! (this is without FEC)

- Asynchronous Connectionless (ACL) links for data
  - ACL Data Packets: 72-bit access code, 54-bit header, 16-bit Cyclic Redundancy Checksum (CRC), and varying amount of data
  - with largest packet (Data High rate, DH5, packet stretching over five slots) $\Rightarrow$ maximum data rate of ~650 kbps
  - a best effort delivery service - maintains integrity by using retransmissions and sequence members, as well as forward error correction (FEC) **if** necessary
  - a master can have an ACL link to each of several slaves, but only one per slave
  - Broadcast packets: packets that are not addressed to a specific Slave

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Voice + Data support
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Baseband

Baseband controls the radio and is responsible for low level timing, error control, and management of link during a single data packet transfer

Packet types:

- SCO, ACL - carrying payload
- ID packet consists of access code, used during re-connection
- NULL packet consists of access code and header, used for flow control or to pass ARQ
- POLL packet same structure as NULL packet, must be acknowledged
- FHS (Frequency Hop Synchronization)

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Baseband
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Mobile and Wireless Network Architectures

Baseband Packet formats

LSB				MSB
ID	AC			
(bit count)	68 or 72			
POLL/NULL	AC	BB_Header		
	68 or 72	54 (1/3 FEC)[a]		
FHS	AC	BB_Header	FHS payload	
	68 or 72	54 (1/3 FEC)	240 (2/3 FEC)	
ACL/SCO	AC	BB_Header	ACL or SCO payload	
	68 or 72	54 (1/3 FEC)	0-2744 ($\{1,2,3^{b}\}$/3 FEC)	
DV	AC	BB_Header	SCO payload	ACL payload
	68 or 72	54 (1/3 FEC)	80	32-150 (2/3 FEC)

a. 54 bits includes the FEC bits (there are 18 bits of information with each bit repeated 3 times)

b. 3/3 FEC implies no FEC

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Baseband Packet formats
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Baseband Packet formats

AC		$\Rightarrow$	preamble	sync word		trailer

Access code

	4	64	4

BB_Header		$\Rightarrow$	AM_ADDR[a]	PDU_TYPE	Flags[b]	HEC[c]

BaseBand header

	3	4	3	8

SCO payload	$\Rightarrow$	SCO Data[d]

ACL payload	$\Rightarrow$	ACL_pld_hdr[e]	ACL_pld_body	CRC
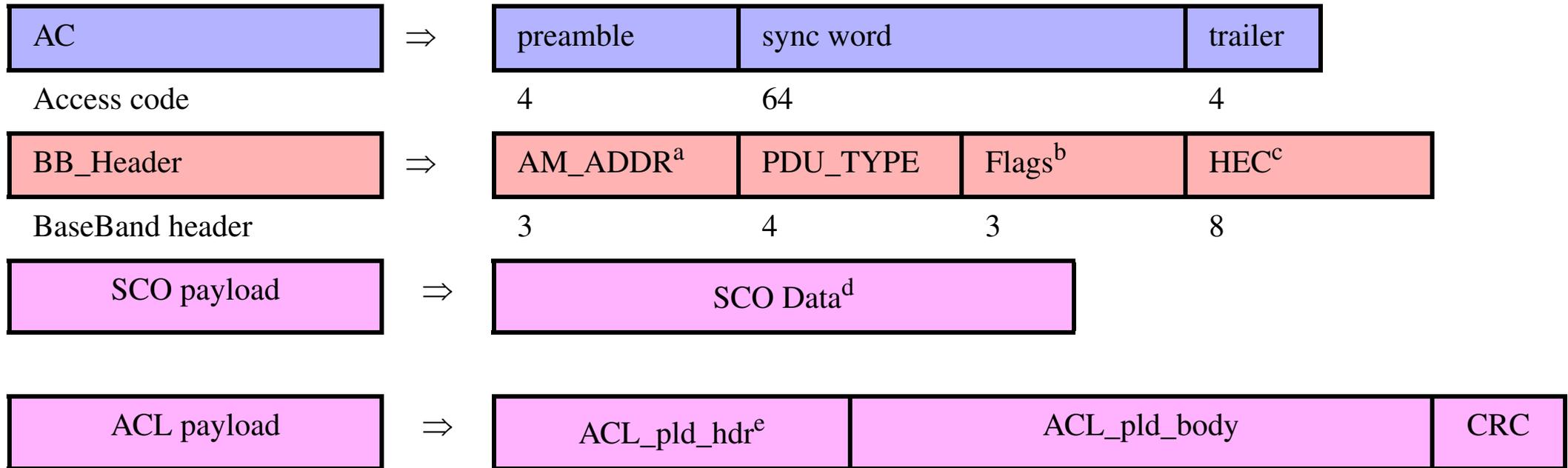
a. Broadcast packet has address zero

b. Flow (=1 means receive buffer is full), ARQN (ACK represented by ARQN=1 and NAK by ARQN=0), SEQN (alternating bit)

c. Header error check (HEC)

d. 30 bytes (240 bits), error control code with rate 1/3, 2/3, or 1 (no FEC) used for source data size of 10, 20, or 30 bytes; note BB_Header flags for ARQN and SEQN are not used - since there is no flow control or retransmission, similarly the HEC is not used

e. L_CH (Logical CHannel) Field (3 bits) indicates whether payload is start or continuation of message, Flow field (1 bit) controls for data transfer at L2CAP level, Length field (8 bits) indicates the number of data bytes in the payload' header ends with 4 undefined bits

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Baseband Packet formats
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Synchronization Word Algorithm

1. Get 24-bit Lower Address Part (LAP) of Bluetooth device address (48 bit IEEE MAC address)

2. Append 6-bit Barker sequence to improve auto-correlation properties

3. XOR with bits 34 to 63 of full length, 64-bit Pseudorandom Noise (PN) sequence

4. Encode resulting 30-bit sequence with (64,30) BCH (Bose-Chaudhuri-Hocquenghem) block code to obtain 34 parity bits

5. 34-bit parity word XOR'd with the remaining bits, 0 to 33 of PN sequence to remove cyclic properties of block code

Note: 34 bits BCH parity word exhibits **very high auto-correlation** and **very low co-correlation** properties, therefore a correlator can be used to obtain a match between the received and expected (reference) synch word

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Synchronization Word Algorithm
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Security

Some think that the high speed, pseudo-random frequency hopping algorithm makes it difficult to listen in on a connection - but of course this is false, because once you know the master's MAC address and clock you can calculate the next hop too!

Authentication and negotiation for link encrypting are both part of the Link Manager Protocol (LMP) specification.

- authentication is based on a challenge/response mechanism based on a common shared secret, a link key is generated through a user-provided PIN

- link level encryption using a public domain cipher algorithm SAFER+[1] generates 128-bit cipher keys from 128-bit plain text

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1. J. L. Massey, On the Optimally of SAFER+ Diffusion, available at
http://csrc.nist.gov/encryption/aes/round1/conf2/papers/massey.pdf

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Security
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Link Control Protocol (LCP)

- configures and controls baseband

- packet level access control - determines what packet is going to be sent next

- high level operations: inquiry and paging

- configures and controls multiple links between devices and piconets

- does **not** require its own packets, but uses the (ARQN and SEQN) bits in baseband packets for SCO and ACL links to signal between link controllers - thus forming a logical LC (Link Control) channel

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Link Control Protocol (LCP)
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Mobile and Wireless Network Architectures

Link Control states

State	Description
Standby	inactive, radio not switched on
Inquiry	device tries to discover all Bluetooth enabled devices in the close vicinity; uses a special fast hopping sequence; FHS packets with device information, such as clock, frequency hop sequence, and BD ADDR, received from available devices; $\Rightarrow$ a list of all available devices
Inquiry Scan	devices periodically enter the inquiry scan state to make themselves available to inquiring devices; a special slow hopping sequence used
Page	master enters page state and transmits paging messages to slave using access code and timing information which it learned earlier
Page Scan	device periodically enters page state to allow paging devices to establish connections
Connection-Active	Slave synchronizes to master's frequency hop and timing sequence. Master transmits a POLL packet to verify link, Slave sends NULL packet in reply
Connection-Hold	device ceases to support ACL traffic for a period of time, keeps Active Member address (AM_ADDR)
Connection-Sniff	device listens in pre-defined time slots only
Connection-Park	device listens for traffic only occasionally, gives up its AM address

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Link Control states
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Link Manager

Translates commands from **Host Controller Interface** (HCI) into operations at baseband level to implement the following operations:

- attaching Slaves to a piconet, and allocating active member addresses (AM addr)

- tearing down connections when slaves leave piconet

- configuring links, e.g., controlling Master/Slave switches

- establishing ACL and SCO links

- putting connections one of the low-power modes

- communicates with other LMs using the **Link Management Protocol** (LMP) which is a set of messages, or **Protocol Data Units** (PDUs), whose payloads contain the following fields:
  - single bit Transaction Identifier equal to 0 (1) for PDU sent from Master (Slave)
  - Operation Code (OpCode) defining type of message being sent
  - message parameters
  - PDUs sent as single slot packets on link management logical channel (L_CH =3)

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Link Manager
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Host Controller Interface (HCI)

- interface between a host and a Bluetooth module
- having a standard interface enables Baseband and Link Manager to run on a processor in the Bluetooth module while higher layers and applications running on host
- Bluetooth module can wake the host via a message across this interface

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Host Controller Interface (HCI)
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HCI Transport Layer

Three different transport interfaces are defined to transfer HCI packets from the host to the Bluetooth module:

USB	Universal Serial Bus
RS-232	serial interface with error correction
UART	Universal Asynchronous Receiver Transmitter, a serial interface without error correction

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HCI Transport Layer
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Logical Link Control and Adaptation Protocol (L2CAP)

L2CAP only transfers data and all applications **must** use L2CAP to send data.

provides:

- multiplexing to allow several higher layer links to pass across a single ACL connection
- segmentation and reassembly to allow transfer of packets larger than lower layers support
- Quality of Service (QoS) management for higher layer protocols

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Logical Link Control and Adaptation Protocol (L2CAP)
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Mobile and Wireless Network Architectures

L2CAP Signalling

labels packets with channel numbers

L2CAP entities communicate with each other using control channels with a special channel number (used for connecting, configuring, and disconnecting L2CAP connections)

packet contains a length field (2 bytes), a channel identifier (2 bytes), and a data field (0 .. 65535 bytes)

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L2CAP Signalling
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L2CAP Command

OpCode	identifying contents of command
Identifier	used to pair up requests and responses
Length	of data field

More than one command can be sent within a L2CAP packet

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L2CAP Command
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Configuring a Connection

Parameters which can be configured are:

- Maximum Transmission Unit (MTU) < 65,535 bytes
- Flush timeout -- time (in milliseconds) a device will spend trying to transmit an L2CAP packet before it gives up
- QoS option can select best effort, or a guaranteed QoS

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Configuring a Connection
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Disconnecting and Timeouts

Two ways for an L2CAP channel to be closed down:

- disconnection request from higher layer protocol or service
- time out: every time L2CAP sends a packet, a Response Timeout Expired (RTX) time is started; if the RTX timer expires before a response is received, the channel may be disconnected

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Disconnecting and Timeouts

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For A to talk to B

Step 1: Discovering a Bluetooth device:

- device A transmits one or more inquiry packets[1]
- device B replies with Frequency Hop Synchronization (FHS) packet which contains device class information (including its BD_ADDR)

Step 2: Connecting to service discovery database:

- ACL baseband connection is established
- Logical Link Control and Adaption Protocol (L2CAP) connection is set up over ACL channel
- L2CAP adds Protocol and Service Multiplexor (PSM) to L2CAP packets to distinguish between different higher layer protocols and services (PSM=0x0001 for service discovery)
- Service Discovery Protocol (SDP) connection over L2CAP channel
- device A receives Dial-Up Networking (DUN) info from B's service discovery database
- device A disconnects

Step 3: Connecting to Bluetooth service:

- ACL link is set up
- device A utilizes Link Management Protocol (LMP) to configure link
- L2CAP connection using the RFCOMM protocol (RS-232 serial cable emulation) is set up (PSM=0x003)
- DUN connection is set up using RFCOMM connection

---

1. A piconet master may explicitly page devices to join its piconet; if it knows their BD_ADDR it can skip the inquiry process and directly paging the device

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For A to talk to B
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Service Discovery Protocol (SDP)

- only provides information about services, does not provide access to these services

- "optimized" for usage by devices with limited capabilities over wireless links
  - uses binary encoding of information
  - unique identifiers (UUIDs) describe services and attributes of these services such that you don't need a central registration authority for registering services
  - generally UUIDs are 128 bits long; however, for known services 16-bit and 32-bit UUIDs may also be used.

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Service Discovery Protocol (SDP)
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RFCOMM Protocol

- provides a serial interface over the packet-based transport layers
- emulates the signals on the nine wires of an RS-232 cable
- based on the ETSI 07.10 standard (also used by GSM terminals), allows multiplexing (via L2CAP) several serial ports over a single transport
  - supports flow control on individual channels
  - has a reserved Protocol and Service Multiplexer (PSM) value used by L2CAP to identify RFCOMM traffic
- no error control
- enables legacy applications -- written to operate over serial cables -- to run without modification

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RFCOMM Protocol
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RFCOMM Frame Types

Five frame types (the first 4 are control frames):

SABM	Start Asynchronous Balanced Mode (startup command)
UA	Unnumbered Acknowledgement (response when connected)
DISC	Disconnect (disconnect command)
DM	Disconnected Mode (response to a command when disconnected)
UIH	Unnumbered Information with Header check

- each RFCOMM channel has a Data Link Connection Identifier (DLCI)
- UIH frames with DLCI = 0 are used for control messages, while DLCI ≠ 0 are used data

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RFCOMM Frame Types
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Telephony Control Signaling (TCS) Protocol

TCS-AT	Telephony control can be performed using the AT command set
	use the RFCOMM to send and receive control signaling based on the AT command set (for example to implement a dialer application)
TCS-BIN	(BIN stands for the binary encoding of information), that runs directly on top of L2CAP; supports normal telephony control functions such as placing and terminating a call, sensing ringing tones, accepting incoming calls, etc.
	TCS-BIN supports point-to-multipoint communications as well, for example, a cordless base station can pass the ringing signal of an incoming call to several cordless headsets associated with the base station.

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Telephony Control Signaling (TCS) Protocol
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Bluetooth Profiles

- specifications for building interoperable applications
- All profiles depend on the Generic Access Profile (GAP) -- defines the basic rules and conditions for connecting devices with each other and establishing Bluetooth links and L2CAP channels.

Profile	Description
serial port profile	defines how RFCOMM runs on top of the Bluetooth transport protocols
generic object exchange profile	defines how objects can be exchanged using the OBEX protocol running on top of RFCOMM

add more profiles - such as LAN access

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Bluetooth Profiles

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Management

- needed to manage links, but not defined by Bluetooth spec!

- could provide fault, accounting, configuration, performance, and security management

- link level encryption using a public domain cipher algorithm SAFER+ generates 128-bit cipher keys from 128-bit plain text

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Management
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Low Power Modes

sniff mode	a slave agrees with its master to periodically listen for the master's transmissions; the period is configured through LMP transactions
hold mode	a device (in a piconet) agrees to remain silent (in that particular piconet) for a given amount of time; note: **keeps** its temporary address, AM_ADDR
park mode	a slave device agrees with its master to park until further notice; **relinquishes** its active member address, AM_ADDR, periodically listens to beacon transmissions from the master • device can either be invited back (by the master) to active communications using a broadcast transmission during a beacon or • if the slave wants to be unparked, it sends a message to the master in the slots following the beacon

Although the radio is often the biggest power drain on a Bluetooth device, the voltage controlled oscillator (for the Bluetooth clock) also consumer power and can be shut off -- instead you can use a less accurate lower power oscillator when the accuracy of the normal oscillator is not needed (for example when sleeping)

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Low Power Modes
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Bluetooth performance when faced with interference

Magnus Karlsson started with ns-2 and the Bluetooth extensions and further extended it to support modeling of a data logger talking to a server in the presence of interference.[174]

He also implemented a tool to assist in taking experimental data concerning interference as a function of frequence and distance and generating the tables necessary for simulating a Bluetooth link in this environment.

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Bluetooth performance when faced with interference
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Bluetooth Hacking

BlueSniff [175] using a Ettus Research USRP to implement a Bluetooth protocol stack [176]. In 2009, the results were extended using aliasing to allow one USRP to receive all of the 80 channels [177].

T-BEAR - a suite of tools for auditing security in a Bluetooth environment.

Raúl Siles has a set of lecture slides[179] on Bluetooth Security that summarieze some of the concerns for the use of Bluetooth in a corporate environment.

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Bluetooth Hacking
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Further reading

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which is in turn based on Ch. 1, 2, and 3 of:

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Further reading
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Bluetooth: Piconets, Scatternets 439 of 439
Mobile and Wireless Network Architectures

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Period 3, 2010

9. Ultrawideband (UWB)

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

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Ultrawideband

"[a]n intentional radiator that, at any point in time, has a fractional equal to or greater than 0.20 or has a UWB bandwidth equal to or greater than 500MHz, regardless of the fractional bandwidth."

- US FCC

- Often implemented as ~picosecond impulses[1] $\Rightarrow$ Very low power (radiate power of 0.1mW to < 1µW (-30dBm)) - as the radio is only emitting for a **very** short time
- very robust to channel impairments such as multipath fading
- Digital technology $\Rightarrow$ easy for makers of digital chips to design/make/…
- US FCC gave regulatory approval 14 Feb. 2002
  - Intel demo'd a 100Mbps transmitter and receiver and expects to be able to get 500Mbps at a few meters dropping to 10Mbps at 10m
  - *http://www.freescale.com/webapp/sps/site/overview.jsp?nodeId=02XPgQhHPR0220* - Trinity chipset 100Mbps at less than 200mW
  - Wireless USB chipsets have been released, see Wisair, Sigma Designs, Alereon, …

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1. For the underlying theory for why short pulses should be used see [181] and [182]. For and introduction to impulse radio see [180].

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Ultrawideband (UWB) 441 of 444
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IEEE 802.15: Working Group for Wireless Personal Area Networks (WPAN)

IEEE 802 family -- "standards for low-complexity and low-power consumption wireless connectivity". _http://standards.ieee.org/wireless/overview.html#802.15_

IEEE 802.15 (_http://ieee802.org/15/)_ standards development projects:

IEEE 802.15.1	Mb/s WPAN/Bluetooth v1.x derivative work (cooperative effort with Bluetooth SIG, Inc. _http://www.bluetooth.com/_)
IEEE 802.15.2	Recommended Practice for Coexistence in Unlicensed Bands
IEEE 802.15.3	20+ Mb/s High Rate WPAN for Multimedia and Digital Imaging
IEEE 802.15.3a	110+ Mb/s Higher Rate Alternative PHY for 802.15.3 Uses pulse position modulation (PPM)
IEEE 802.14	200 kb/s max for interactive toys, sensor and automation needs

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IEEE 802.15: Working Group for Wireless Personal Area Networks (WPAN)
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UWB

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Ultrawideband (UWB) 443 of 444
Mobile and Wireless Network Architectures

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Further reading
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Ultrawideband (UWB) 444 of 444
Mobile and Wireless Network Architectures

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Period 3, 2010

10. Broadband Wireless Access (BWA)

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

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Broadband Wireless Access

Features:

- Data only
- downlink speeds in excess of 500Kbps at a distance of several km
- goal: wireless metropolitan area networking (WMAN), i.e., "last mile" connections

Several contenders:

- IEEE 802.16 aka Worldwide Microwave Interoperability Forum's **WiMAX** (supported by Intel and Nokia)
- European Telecommunication Standards Institutes (ETSI)'s BRAN HA (Broadband Radio Access Networks HiperAccess) or HiperMAN
- ArrayComm
- Beamreach
- Flarion' flash-OFDM - IP oriented
- IPWireless' UMTS-TDD (closely related to 3G's UMTS-FDD)
- Navini's synchronous CDMA (SCDMA) - IP oriented

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Broadband Wireless Access
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Broadband Wireless Access (BWA) 446 of 455
Mobile and Wireless Network Architectures

IEEE 802.16

Initial IEEE 802.16 [190] specification was only for Line-of-Sign environments in the 10 to 66 GHz range.

Several variants:

IEEE 802.16a	• Slotted TDMA (scheduled by base station) in a point-to-multipoint topology (mesh topologies are an option)
	• low latency
	• connection oriented
	• Features: ARQ, 3DES encryption, automatic power control
	• amendment to 802.16 - for 2GHz to 11GHz
	• Several physical (PHY) layers:
	    • Single Carrier PHY
	    • 256 point FFT OFDM PHY (common to WiMAX and ETSI HyperMAN)
	    • 2048 point FFT OFDMA PHY

802.16b	Concerns Quality of Service (QoS)
802.16c/d	Introduces system profiles and specifies combinations of options - goal: increased interoperability
802.16e	add mobility, packet oriented

Note: Attempts to harmonize ETSI HyperMAN and 802.16.

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IEEE 802.16
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WiMAX

For measurments of the performance of WiMAX in the field see the Masters thesis of Xin Bai [197].

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WiMAX
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¿Data only?

Could use Voice over IP techniques:

- Flextronics has developed a prototype flash-OFDM (Flarion) VoIP handset
- Nokia working on a WiMAX handset
- …

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¿Data only?
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IEEE 802.20 aka Mobile-Fi

Developed by IEEE Mobile Broadband Wireless Access (MBWA) WG [191]

- **designed** to carry **IP packets** with low latency
- designed for mobile broadband access
- symmetrical wireless rates from 1 .. 4Mbps
- uses licensed spectrum below 3.5GHz
- range upto 15km
- to exploit smart antennas

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IEEE 802.20 aka Mobile-Fi
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Mobile and Wireless Network Architectures

IEEE 802.22 Wireless Regional Area Networks (WRANs)

"The charter of IEEE 802.22, the Working Group on Wireless Regional Area Networks ("WRANs"), under the PAR approved by the IEEE-SA Standards Board is to develop a standard for a cognitive radio-based PHY/MAC/air_interface for use by license-exempt devices on a non-interfering basis in spectrum that is allocated to the TV Broadcast Service."

IEEE 802.22 Working Group on Wireless Regional Area Networks (WRANs) [192]

Two task groups:

IEEE 802.22.1	developing methods to protect low power secondary device users - such as wireless micro-phones
IEEE 802.11.2	developing recommended practices for installation and deployment

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IEEE 802.22 Wireless Regional Area Networks (WRANs) Broadband Wireless Access (BWA) 451
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All IP networks

Numerous efforts have shifted from simply using IP (rather than ATM) in the backbone and have been moving to an all IP network (i.e., IP directly to/from MS and in the infrastructure).

- Airvana Inc. (www.airvananet.com): all-IP architecture for radio access network equipment for 3G using CDMA2000 1x Evolution-Data Only (1xEV-DO) wireless technology, data rates up to 2.4 Megabits per second (Mbps) under ideal circumstances, with average sustained rates expected to be 300 to 600 kbps

Some view "4G" as the Fourth Generation **IP-based** wireless network.

Eliminates SS7 (Signaling System 7) telecommunications protocol

Flarion *http://www.flarion.com/* RadioRouter™ base stations used to build all-IP network

…

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All IP networks
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BWA

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Broadband Wireless Access (BWA) 453 of 455
Mobile and Wireless Network Architectures

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Broadband Wireless Access (BWA) 454 of 455
Mobile and Wireless Network Architectures

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Further reading

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Period 3, 2010

11. Sensor Networks

Lecture notes of G. Q. Maguire Jr.

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Mobile *ad hoc* Networks (MANETs)

Ad hoc networks exploit the links which are possible with their neighbors

Mobile *ad hoc* networks (MANETs) exploit the fact that as nodes move the set of neighbors may change.

There may exist gateways between a MANET and fixed infrastructures - see for example the combination of Mobile IP and MANETs (MIPMANET)[199]

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Mobile ad hoc Networks (MANETs)
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Sensor Networks 457 of 518
Mobile and Wireless Network Architectures

Sensor networks

(Wireless) Sensor networks is an emerging area of computer science, driven by advances in micro-electrical-mechanical system (MEMS) micro-sensors, wireless networking, and embedded processing [198].

Ad hoc networks of sensors target both commercial & military applications:

- environmental monitoring (e.g. traffic, habitat (HVAC), security, …)
- industrial sensing and diagnostics (devices which reports their usage, wear, problems occurring, …)
- infrastructures (e.g. power, water, waste disposal/stewardship, …)
- battlefield awareness (multi-target tracking, …)
- logistics (for example using "smart packages", "smart packaging" {for example monitoring tampering, temperature, shock, humidity, age, …}, …)
- personnel monitoring (health, safety, location, …)
- …

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Sensor networks
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Sensor Networks 458 of 518
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Spectrum of Concerns

- Hardware
- Architecture
- Physical Layer
- MAC Layer
- Applications
- Energy
- …

First we will examine some fundamental concepts in communication and networking, then we will proceed to talk about wireless sensor networks.

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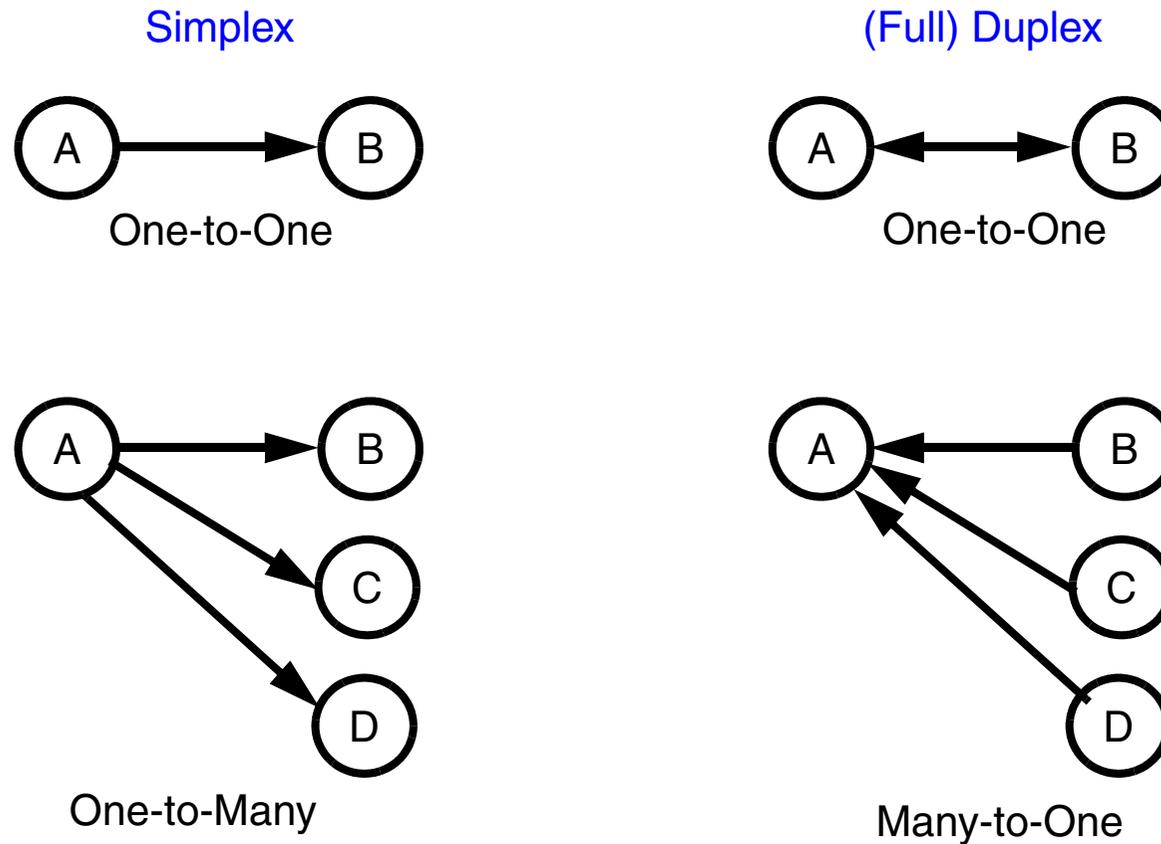Spectrum of Concerns

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Sensor Networks 459 of 518

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Patterns of Communication

Simplex

(Full) Duplex

Figure 42: Basic Patterns of (Direct) Communication

Asymmetry: communications between nodes may be asymmetric, i.e., because node α can hear node β, does **not** imply that α can transmit directly to node β.

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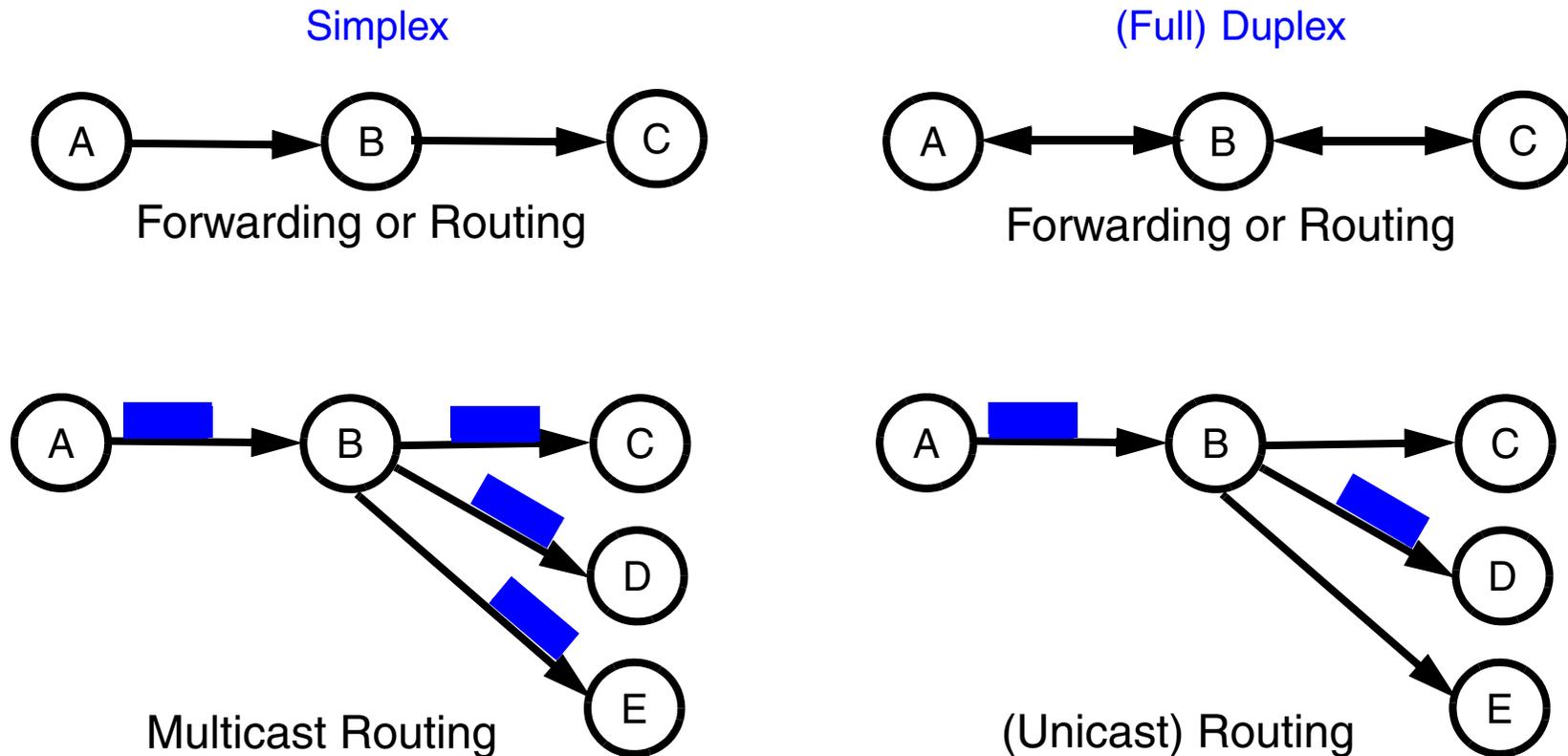Patterns of Communication
2010.01.21

Sensor Networks 460 of 518
Mobile and Wireless Network Architectures

Mediated Communication

Simplex

Forwarding or Routing

(Full) Duplex

Forwarding or Routing

Multicast Routing

(Unicast) Routing

Figure 43: Basic Patterns of (Indirect) Communication

B acts as an **intermediary** between A and C/D/E.

- **Forwarding** is based on **layer 2** (i.e., **link** layer) address
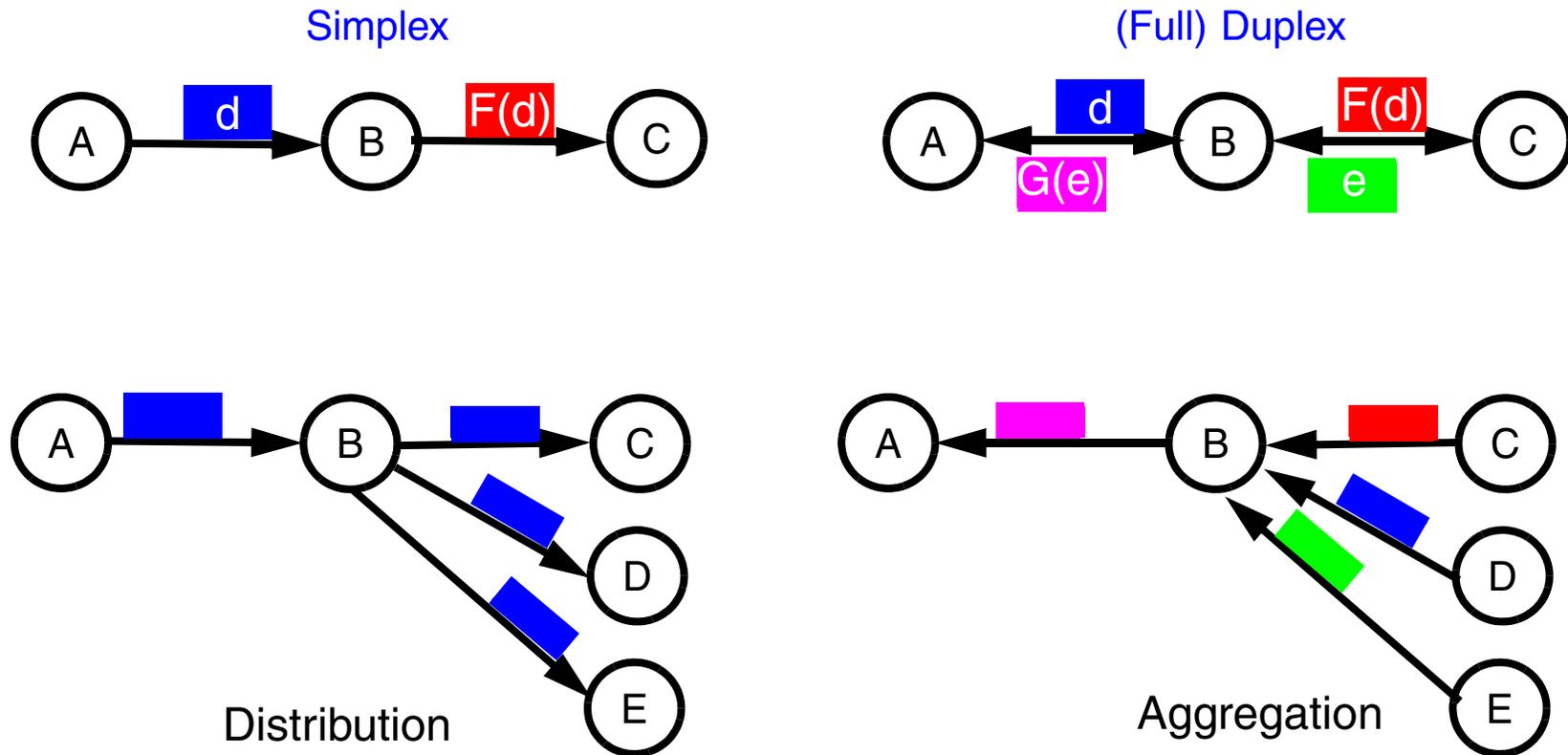- **Routing** is based on **layer 3** (i.e., **network** layer) address.

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Mediated Communication
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Mobile and Wireless Network Architectures

Transformations

Simplex

(Full) Duplex

Distribution

Aggregation

Figure 44: Transformation

- B acts as an **intermediary**

- B **operates** on the **data** as it passes through
  - examples: transcoding, translation, replication, averaging, …

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Transformations
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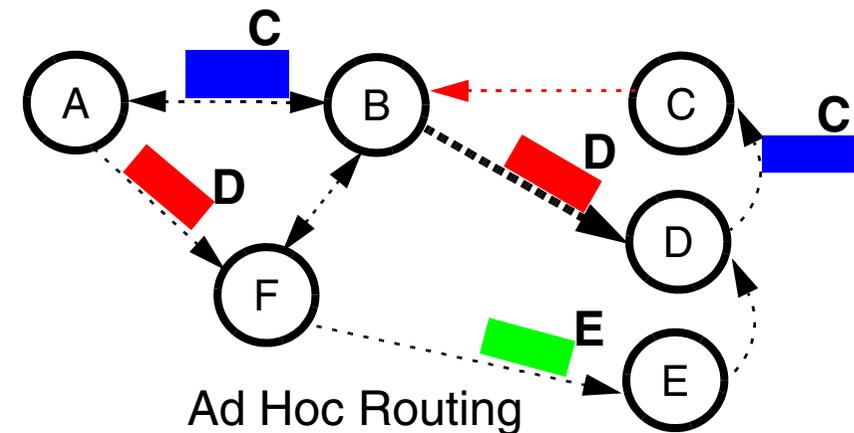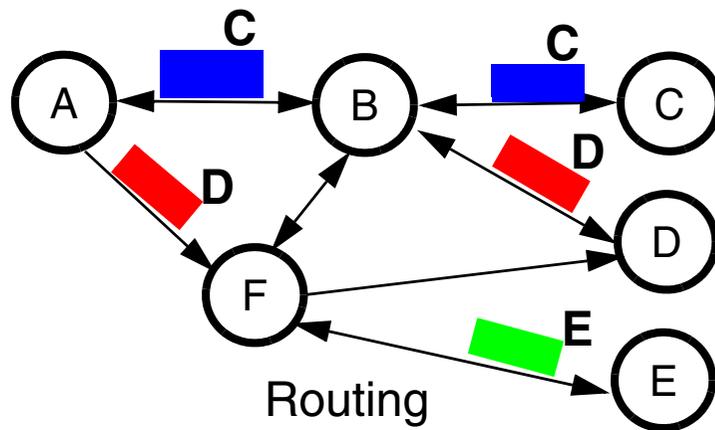Sensor Networks 462 of 518
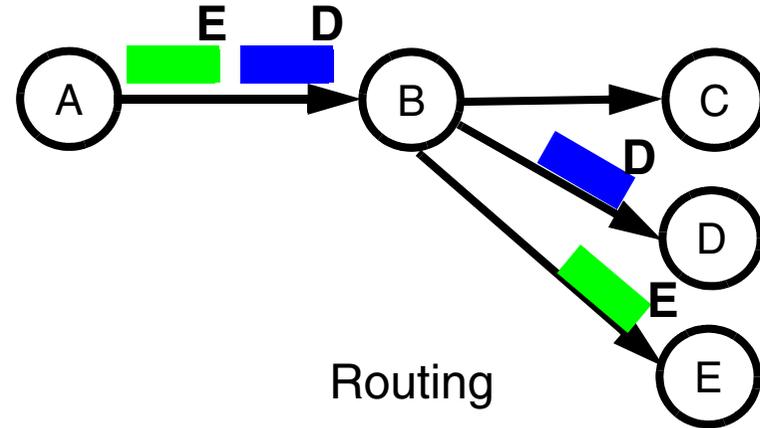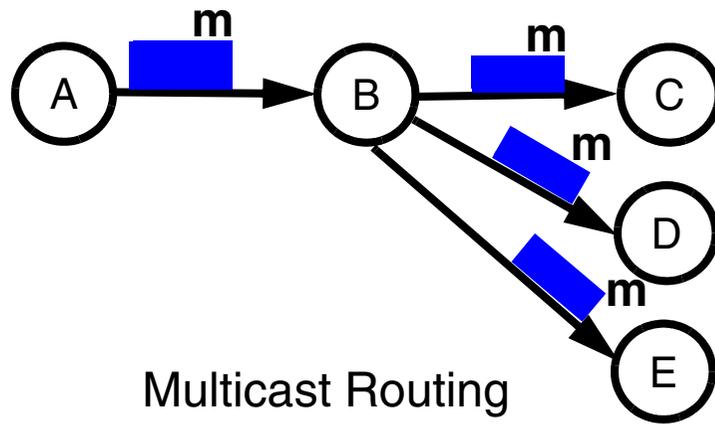Mobile and Wireless Network Architectures

Routing

Figure 45: Routing

- B/F/… acts as an intermediary between A and x
- B (or F) **routes** based on the **address -** this routing **choice** can be **time varying** (especially if the nodes are moving)

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Routing
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Sensor Networks 463 of 518
Mobile and Wireless Network Architectures

Ad hoc routing

Ad hoc routing supports self-configuration in the presence of wireless links and *node* mobility. Lots of alternatives:

- direct transmission,
- minimum transmission energy,
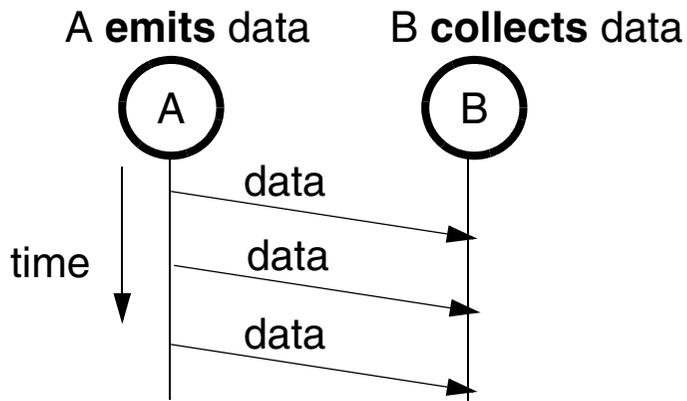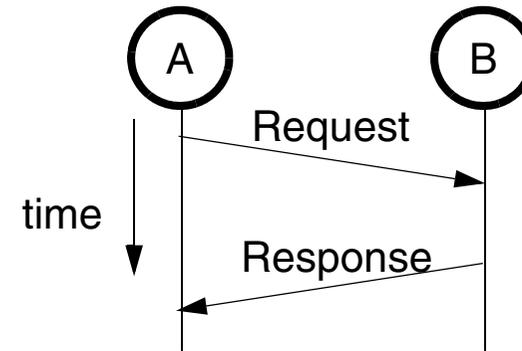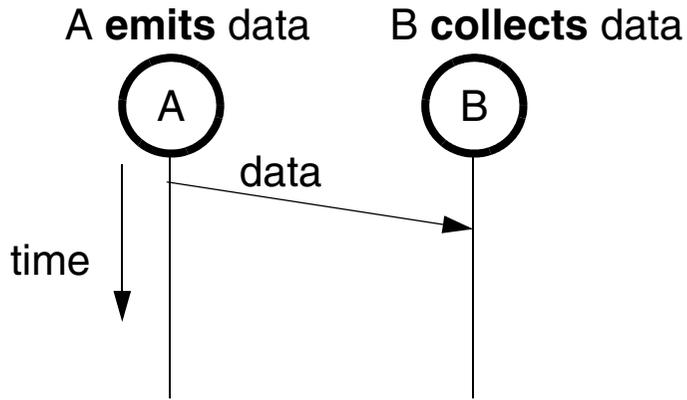- geographic routing,
- multi-hop routing, and
- clustering

Proactive and Reactive routing schemes:

- **Proactive** routing attempts to maintain routes to all destinations at all times, regardless of whether they are needed or not
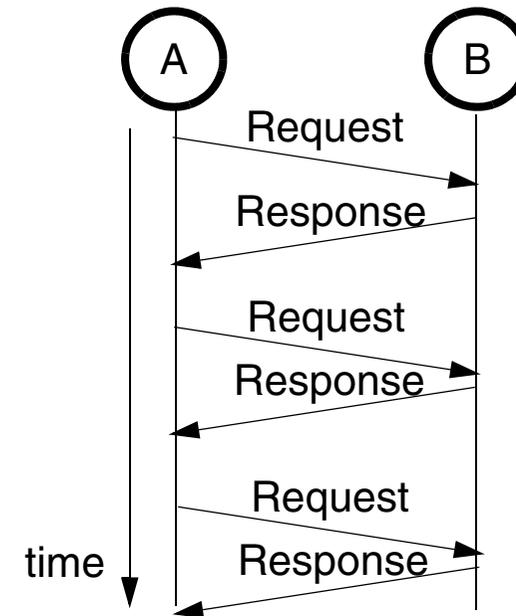- **Reactive** routing computes routes *only* when they are needed

Tradeoffs between routing traffic (and energy consumption) vs. **delay**.
Prof. D. Estrin and her WINS group offer a *hypothesis* that **Internet technologies + ad hoc routing** is sufficient to design sensor network applications [198].

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Ad hoc routing
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Sensor Networks 464 of 518
Mobile and Wireless Network Architectures

Patterns of Communication in time

A **emits** data   B **collects** data

A   B

data

time

A **emits** data   B **collects** data

A   B

data

data

data

time

Stream of data

A   B

Request

Response

time

A   B

Request

Response

Request

Response

Request

Response

time

Stream of transactions

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Patterns of Communication in time
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Sensor Networks 465 of 518
Mobile and Wireless Network Architectures
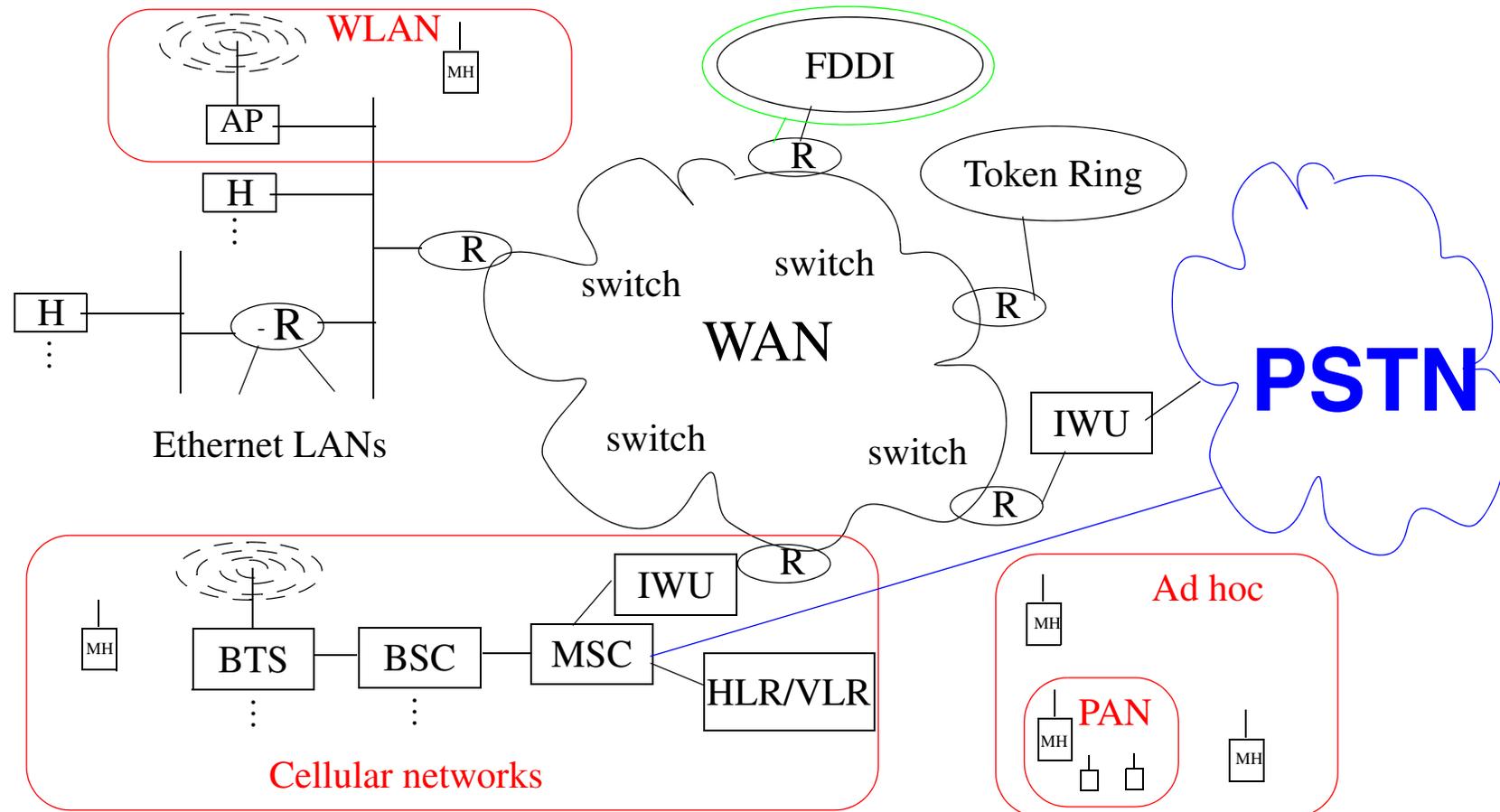
Internetworking

- Routers (R) interconnect (concatenate) multiple networks
- This accommodates multiple underlying hardware technologies by providing a way to interconnect **heterogeneous** networks and makes them inter-operate
- Facilitates disaggregation - since **functionality** can be located anywhere on the internet

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Internetworking
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Sensor Networks 466 of 518
Mobile and Wireless Network Architectures

Ad hoc mode vs. Infrastructure mode

Mobile stations communicate **directly** to each other with no access point (base station) support, i.e., peer-to-peer (**ad hoc**) networking
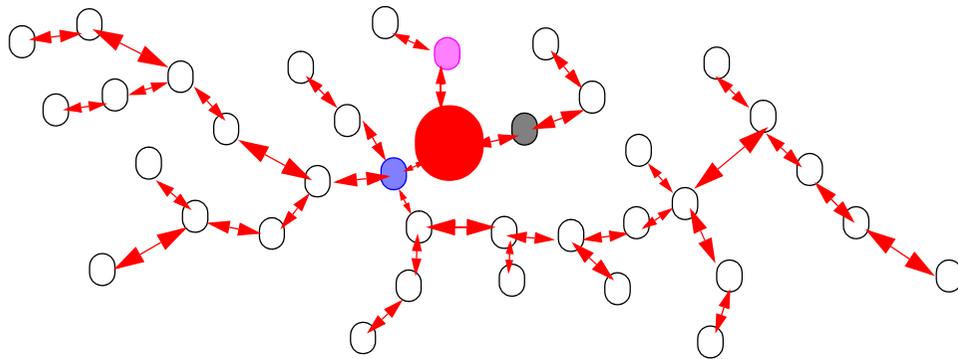
Mobile stations communicate **only** via access points (APs)
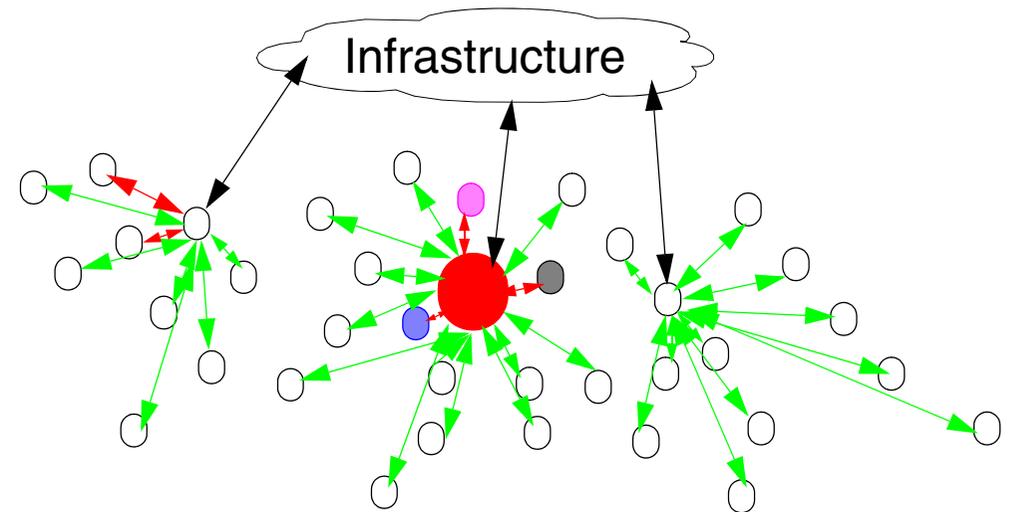
Adding Access Points (APs) - (provides connections to the infrastructure, but at the cost of requiring/creating an infrastructure):

- reduces **delay**
- increases **complexity** of some nodes (the access points)
- requires **connectivity** between APs and the **infrastructure**
- may *increase* **power** consumption

Possible to combine both modes {but not in 802.11 WLANs}.

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Internetworking
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Sensor Networks 467 of 518
Mobile and Wireless Network Architectures

DARPA/IPTO: BAA #99-16: Sensor Information Technology[1]

"... innovative and effective software for producing and communicating sensor information and also for effective and low-cost prototyping kits based on commercial off-the-shelf (COTS) components and/or government furnished equipment (GFE). The technology development is for a new DARPA program, SenseIT (Sensor Information Technology).

Whereas past sensor networks have been **single purpose** and **dependent on a central apparatus** for tasking/polling the sensors, the SenseIT program will pioneer a network-based approach in which the sensors can be **dynamically multi-tasked from multiple points**, i.e. each node will be capable of running multiple simultaneous applications on behalf of different exterior users. In an

---

1. http://www.darpa.mil/ipto/Solicitations/CBD_9916.html

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DARPA/IPTO: BAA #99-16: Sensor Information Technology
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Sensor Networks 468 of 518
Mobile and Wireless Network Architectures

ideal scenario, queries emanating from one point are automatically routed to the most appropriate sensor nodes, and the replies are collected and fused en route to the designated reporting point(s).

Distributed sensor networks … easily installed with little or no pre-planning, of being self-organizing, and of being capable of supporting sophisticated processing in the field. … **Sensors** will be *tightly integrated* with **a general purpose CPU**, **wireless communications**, and **memory**; multiple sensors can be associated with one node. **Short-range communication** among **10 to 10,000** sensor/computer nodes deployed in an irregular pattern will be supported. "

{*Emphasis* and **bold** added by Maguire}

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DARPA/IPTO: BAA #99-16: Sensor Information Technology
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Sensor Networks 469 of 518
Mobile and Wireless Network Architectures

Self-organizing sensor networks

Built from sensor nodes that:

- spontaneously create an *ad hoc* network vs. a traditionally **engineered** system structure
  - nodes may simply be scattered (throw at random, shell dispersed, cloud, …)
- assemble the network themselves
  - large to very large numbers (10^3 .. 10^{23}) of sensors
- **dynamically** adapt to device failure and degradation
  - individual failure is going to occur - with large numbers of nodes you can be certain that there will be failed nodes
- manage **movement** of sensor nodes, and
- react to changes in **task** and **network** requirements

$\Rightarrow$ due to their physical size and large number it is simply **not possible** to visit/configure/fix/... individual nodes

$\Rightarrow$ frequent changes in: position, reachability, power availability, tasking, …

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Self-organizing sensor networks
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Sensor Networks 470 of 518
Mobile and Wireless Network Architectures

Sensor nodes must be reconfigurable

Reconfigurable smart sensor nodes enable sensor devices:

- to be self-aware,
- self-reconfigurable, and
- autonomous

Benefits are:

- incremental deployment
- no central administration needed
- dynamically adapt to device failure and degradation as well as changes in task and network requirements (high flexibility), and
- support application-specific network and system services by mixing types of sensor nodes and applications

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Sensor nodes must be reconfigurable
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Sensor Networks 471 of 518
Mobile and Wireless Network Architectures

Low Energy Adaptive Clustering Hierarchy (LEACH)

Wendi (Rabiner) Heinzelman, "LEACH: Low Energy Adaptive Clustering Hierarchy", *http://www-mtl.mit.edu/~wendi/leach/leach.html* [218] and [219]

- designed for sensor networks where an end-user wants to remotely monitor the environment.

- The data from the individual nodes must be sent to a central base station, often located far from the sensor network

- Desirable properties for protocols on these networks:
  - Use 100's - 1000's of nodes
  - Maximize system lifetime
  - Maximize network coverage
  - Use uniform, battery-operated nodes

- using **distributed cluster formation** and **local processing** to reduce global communication along with randomized *rotation of the cluster-heads* $\Rightarrow$ allows LEACH to achieve the desired properties while being energy-efficient, hence extending system lifetime

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Low Energy Adaptive Clustering Hierarchy (LEACH)
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Sensor Networks 472 of 518
Mobile and Wireless Network Architectures

Protocols to disseminate information

Sensor Protocols for Information via Negotiation (SPIN) [220]
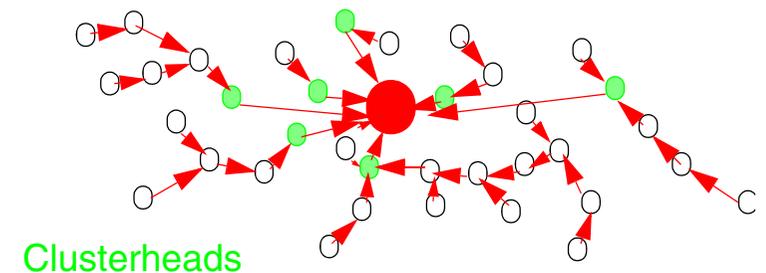
- a family of protocols used to efficiently **disseminate** information in a wireless sensor network

- flooding and gossiping waste valuable communication and energy resources sending redundant information throughout the network -- while not being resource-aware or resource-adaptive

- use **data negotiation** and **resource-adaptive algorithms**

- nodes assign a high-level name to their data, called **meta-data**, and perform meta-data negotiations before any data is transmitted $\Rightarrow$ avoids redundant data being sent throughout the network

- utilize current energy level of the node to adapt the protocol based on how much energy remains

- Simulation shows that SPIN is both more energy-efficient than flooding or gossiping while distributing data at the same rate or faster than either of these protocols.

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Protocols to disseminate information
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Sensor Networks 473 of 518
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Coordination vs. Centralization

Centralization $\Rightarrow$ single point of failure, bottleneck, and perhaps energy inefficient

Coordination $\Rightarrow$ exploiting the large numbers of nodes, highly redundant (hence fault tolerant), …

- another WINS hypothesis: sensor network coordination applications are better realized with **localized** algorithms [198]
  - with local (neighborhood) based communication - communication overhead scales well with increasing network size
  - algorithms are more robust to network partitioning and node failures (since they do not depend on global behaviors)

- Clustering
  - localized coordination via a cluster head
  - cluster head can do data aggregation (for example, summarizing local state)
  - can be a two layer model or a multi-layer model
    - communication at low layers, e.g. with-in a cluster, are short distance, short delay, hopefully low power
    - communication at higher layers, e.g. between clusters, are long distance, long delay, often require more power
    - not all choices of clusterheads are optimal

Clusterheads

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Coordination vs. Centralization
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Sensor Networks 474 of 518
Mobile and Wireless Network Architectures

Sensor fusion en route (a form of in-net processing)

Heidemann, et al. use Low-Level Naming $\Rightarrow$ software architecture with named data and enroute processing for multi-application sensor-network[201]

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Sensor fusion en route (a form of in-net processing)
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Sensor Networks 475 of 518
Mobile and Wireless Network Architectures

Data Aggregation

At each node receive data, use it in conjunction with local data, transmit new **aggregated** data

Typical operations

- scalar operations (such as sum, average, median, …)
- vector operations (such as logical operations)

Data aggregation reduces the volume of data that must be transmitted - this is very important to avoid **hotspots** in the network (i.e., lots of traffic all headed for the same places and competing to get there) and reduce the energy required to send *meaningful* data
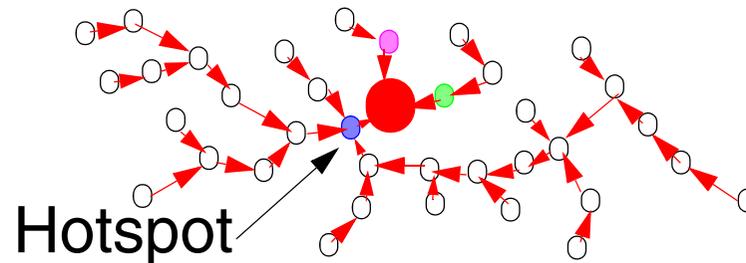

Hotspot

Figure 46: Hotspot

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Data Aggregation
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Sensor Networks 476 of 518
Mobile and Wireless Network Architectures

Directed diffusion

Promotes **in-network** processing by building on a data-centric vs. address-centric architecture for the distributed system/network

Using **data naming** as the lowest level of system organization vs. using an explicit sensor/node address, i.e., sensor #46879.

Sensors **publish** data (as **sources**) and clients **subscribe** to data (as **sinks**), both identify data by **attributes** (meta-data) vs. addresses (i.e., data is **not** tied to host identity) [235]

Clients subscriptions (i.e., interest in data) form gradients (with a magnitude and direction) along which direct the data flows (data may also be aggregated along the way)

Wide variety of data dissemination patterns possible: shortest path multicast tree, energy-efficient spanning tree, …

See also: [235], [236], [237], [236], [237], [238], [239],[240]

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Directed diffusion
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Sensor Networks 477 of 518
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Tasks and Events

Task (what to look for):

type = four-legged animal	// detect animal location

interval = 20 ms	// send back events every 20 ms
duration = 10 seconds	// ... for the next 10 seconds
rect = [-100, 100, 200, 400]	// from sensors within rectangular region

Attribute-value pairs; values could be instances, ranges, hierarchies, …

Event (when there is an observation that matches the task specifications):

type = four-legged animal	// type of animal detected

instance = elephant	// instance of this type
location = [125, 220]	// node location
confidence = 0.85	// confidence in the match
timestamp = 01:20:40	// event generation time

example values from [240]

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Tasks and Events
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Sensor Networks 478 of 518
Mobile and Wireless Network Architectures

How did the sensor know it was an elephant?

Signal: extracted from acoustic, seismic, or other data

Matching: waveform matching, …

Confidence: it might **not** be an elephant, but there is some degree of confidence it is versus other possibilities

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How did the sensor know it was an elephant?
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Sensor Networks 479 of 518
Mobile and Wireless Network Architectures

Caching of data

Intermediate nodes may keep local caches and satisfy requests from these caches

- ✔ reduces energy over having to propagate the requests all the way to the source and propagating the answer back
- ✔ increases scalability
- ✔ increases robustness
- ✘ may result in stale data

Caching prevents loops, since if the received data message matches a data cache entry, then there is no need to pass the message farther.

If the cache also retains information about the sink it can do **downconverting** of sample rates - so if one source only wants the data at half the rate of another source and these sources lie on different gradients the node can send data messages at the appropriate rate for the particular gradient[240].

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Caching of data
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Sensor Networks 480 of 518
Mobile and Wireless Network Architectures

Design space for Diffusion

Diffusion Element	Design Choices
Interest Propagation	• Flooding • Constrained or directional flooding based on location • Directional propagation based on previously cached data
Data Propagation	• Reinforcement of single path • Multipath delivery with selective quality along different paths • Multipath delivery with probabilistic forwarding
Data caching and aggregation	• For robust data delivery in the face of node failure • For coordinated sensing and data reduction
Reinforcement	• Rules for deciding when to reinforce • Rules for how many neighbors to reinforce • Negative reinforcement mechanisms and rules

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Design space for Diffusion
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Sensor Networks 481 of 518
Mobile and Wireless Network Architectures

Metrics for evaluating directed diffusion

Average dissipated energy   ratio of total dissipated energy per node in the network to the number of distinct events seen by sinks, e.g., average work per node in delivering useful information

Average delay   measures one-way latency between observation and it being received by each sink (for example, this defines temporal accuracy of location estimates)

Event delivery ratio   ratio of the number of distinct events received to the number originally sent

Generally results are compared to:

- **flooding**
- **omniscient multicast** (each source transmits its events along the shortest path multicast tree to the sink(s)

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Metrics for evaluating directed diffusion
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Sensor Networks 482 of 518
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Congestion

1 Either operate network *far* from congestion or

2 reduce congestion: down conversion, aggregation with data **quality reduction**, …

Note that option #1 is simply over provisioning.

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Congestion

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Sensor Networks 483 of 518

Mobile and Wireless Network Architectures

Tiered architectures

Combing a small number of more capable nodes as complements to very large numbers of very limited capability nodes

- Smallest system elements provide: **spatial diversity** and **short range sensing**

- More powerful elements provide more **sophisticated** and performance **intensive** processing functions (as they have greater resources)
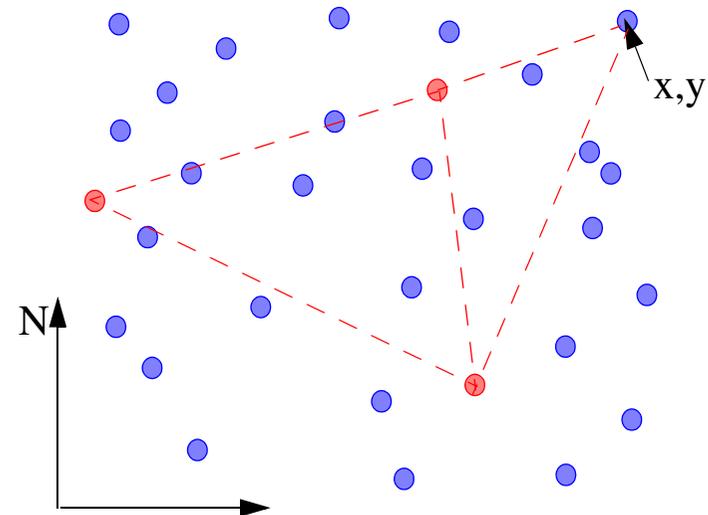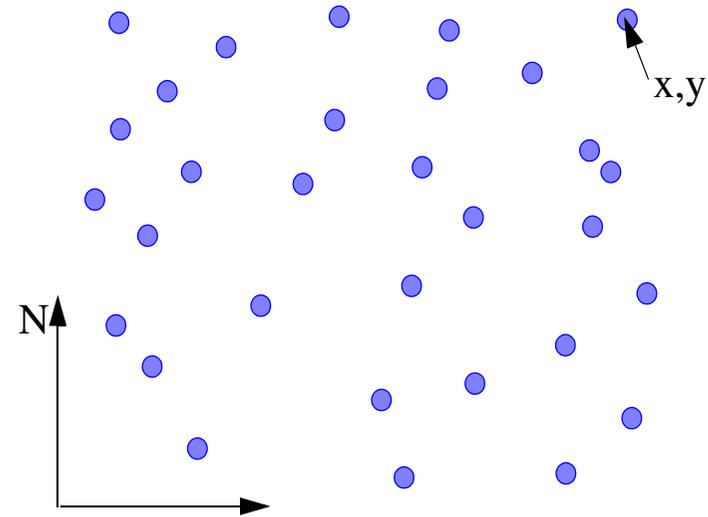
Could even exploit robotic elements (i.e., physically mobile nodes) that wonder over the sensor field delivering energy to depleted batteries/capacitors/fuel cells or that deliver (localization), i.e., coordinates to other nodes, or that deliver new fluids/gas/... (or expendibles) for sensors {or even **excreters** -- i.e., nodes that release and perhaps even locally manufacturer materials}

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Tiered architectures
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Sensor Networks 484 of 518
Mobile and Wireless Network Architectures

Localization

Some methods of localization:

- map based location
- surveyed location
- using external "markers"
- deriving relative location
- in-network, autonomous localization

- …

See [258], [259], [260], [261], [262], [263]

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Localization
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Sensor Networks 485 of 518
Mobile and Wireless Network Architectures

Mapping where sensors are

A frequent problem is to build a map of where the nodes are. Requires:

- a set of local measurements (at each node or some subset of nodes)
  - for example, measuring signal strength during preambles (as the pattern is well known)
- knowledge of the location of **some** ("marker") nodes
  - it is desirable that they should be far apart to provide a long base line
- target tolerance (i.e., how close solution do you need)

Compute the location of all the nodes, building upon the position of maker nodes - generally by doing **distributed constraint solving.**

Considerations:

- location estimation error and speed of convergence
- where should the markers be (number and distribution)
- complexity (**O**(time), **O**(space), **O**(communications), **O**(energy), …)
- robustness to errors (such as marker positioning errors, lost packets, errors in measurements, …)

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Mapping where sensors are
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Sensor Networks 486 of 518
Mobile and Wireless Network Architectures

Synchronization

- to coordinate sensor sampling
- to organize multiple hop transfers by defining a schedule (to avoid or reduce collisions)

Some approaches:

- high precision clocks at the end - then propagate them inward
  - UC Berkeley group (David Culler, Eric Brewer, Dave Wagner, Shankar Sastry, and Kris Pister) have experimented with timestamping a particular bit in a message - since the during the process of communication the transmitter and receiver are synchronized to a fraction of a bit)
- broadcast clocks (*ala* GPS)
- chip scale atomic clocks (CSAC - a DARPA program) [270], [271]

Coordinating and adapting node sleep schedules enable tradeoffs between fidelity, latency, and efficiency are emerging [230], [231], [232], [233], [241].

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Synchronization
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Sensor Networks 487 of 518
Mobile and Wireless Network Architectures

Building upon localization and synchronization

Forming sensor arrays which **exploit** their distribution:

- two visual viewpoints $\Rightarrow$ stereo imaging
- with N acoustic viewpoints $\Rightarrow$ phased array microphone arrays
- with N acceleration sensor viewpoints $\Rightarrow$ phased array seismic, geologic, footfall, vehicle movement, …
- with N visual viewpoints $\Rightarrow$ can use algorithms such as shape from shading, avoid occlusion by (moving or stationary) objects, …
- …

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Building upon localization and synchronization
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Sensor Networks 488 of 518
Mobile and Wireless Network Architectures

Securing what you send

Asymmetric digital signatures for the authentication are impractical:

- long signatures $\Rightarrow$ high communication overhead (50-1000 bytes per packet)
- very high computational (and energy) overhead to create and verify the signature
- Gennaro and Rohatgi
  - \>1 Kbyte of authentication information per packet, and
  - Rohatgi's improved *k*-time signature scheme: > 300 bytes per packet
- Authenticated streaming broadcast protocol (TESLA) [203]
  - uses too much communication and memory $\Rightarrow \mu$TESLA[202]
- If the sensor is sending a stream of data, then Secure RTP (SRTP) can be used [272]

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Securing what you send
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Sensor Networks 489 of 518
Mobile and Wireless Network Architectures

Sensors

DARPA projects:

- Ultra Low Power Wireless Sensor Project, Professor Charles G. Sodini, MIT `http://www-mtl.mit.edu/~jimg/project_top.html`
- PASTA project
- Network of Embedded Software Technology (NEST) Program
- Wireless Integrated Network Sensors (WINS) Project at UCLA [210]
- WEBDUST at Rutgers University

NSF also has an initative: Sensors and Sensor Networks

Commercial R&D

Compaq (nee HP) (WRL) Factoid Project

- a portable device small enough to be attached to a key chain, which collects announcements broadcast from devices in the environment, later these can be uploaded via a user's home basestation

Eye-Fi - attaching GPS coordinates to pictures and uploading them opportunistically from the camera via WiFi.

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Sensors

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Sensor Networks 490 of 518

Mobile and Wireless Network Architectures

Millennial Net/

http://www.millennial.net/

"reliable, low-power wireless sensor networks"

See for example their "i-Bean" wireless sensor networking device:

- 2 cm x 2 cm size
- 10 year lifetime on a coin cell - with one report sent per second
- analog and digital interfaces,
- radio transceiver, and
- microcontroller.

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Millennial Net/
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Sensor Networks 491 of 518
Mobile and Wireless Network Architectures

Smart dust: 1 cubic mm system

Driven by: advances in hardware and design $\Rightarrow$ reductions in <u>size</u>, <u>power consumption</u>, and <u>cost</u> for **digital circuitry**, **wireless communications**, and **micro electromechanical systems** (MEMS)

Professors Pister and Kahn leading the "smart dust" program[204].

Given limited volume battery supplies: ~1 J; potential power scavaging techniques would enable solar power (1J/day) or indoor lighting (1mJ/day).

However, processing requires about 1nJ per 32 instruction and 100nJ per bit transmitted (Bluetooth) and ~1nJ per bit as a target for picoradios (see also [207]).

Thus Pister and Kahn targetted using free-space optical transmission using external lasers reflected from MEMS corner-cube retroreflector (CCR) $\Rightarrow$ line-of-sight requirement + advantage of parallel read out using 2D sensors {i.e., a base station can listed to multiple transmitters as long as they appear in different pixels of the receiving sensor)

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Smart dust: 1 cubic mm system
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Sensor Networks 492 of 518
Mobile and Wireless Network Architectures

Berkeley Motes

Prof. Culler, and students at University of California, Berkeley have built motes as a sensor platform

CPU	8-bit, 4 MHz
Storage	8 Kbytes instruction Flash 512 bytes RAM 512 bytes EEPROM
Communication	916 MHz radio Bandwidth 10 Kbps
Operating system	TinyOS OS code space 3500 bytes event-driven OS
Available code space	4500 bytes

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Berkeley Motes
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Sensor Networks 493 of 518
Mobile and Wireless Network Architectures

University of California, Berkeley - Motes

Prototype device[212]:

- measures 1 inch by 1.5 inch

- a programmable processor AVR RISC processor (Atmel AT90LS8635)
  - 8-Kbyte In-System programmable Flash Program Memory - 1,000 Write/Erase Cycles
  - 544 bytes SRAM
  - 512 Byte EEPROM - 100,000 Write/Erase Cycles
  - 32 general-purpose registers
  - clock and timers:
    - Real-time Clock (RTC)
    - three flexible timer/counters with compare modes
    - programmable Watchdog Timer with internal oscillator
  - internal and external interrupts
  - a programmable serial UART
  - an SPI serial port
  - 8-channel 10-bit ADC
  - Up to 4 MIPS throughput at 4 Mhz (1MIPS / MHz)
  - 3-volt operation
  - Three Sleep Modes:
    - Idle 6.4 mA $\Rightarrow$ 19.2mW[1] - stops the CPU while allowing: SRAM, timer/counters, SPI port and interrupt system to continue functioning

---

1. Power Consumption at 4 MHz, 3V, 20°C

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University of California, Berkeley - Motes
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Sensor Networks 494 of 518
Mobile and Wireless Network Architectures

- – Power Save 1.9 mA $\Rightarrow$ 5.7mW - timer oscillator continues to run, allowing the user to maintain a timer base -- while the rest of the device is sleeping
- – Power-down <1 µA $\Rightarrow$ 3 µW- saves the register contents but freezes the oscillator, disabling all other chip functions until the next interrupt (or hardware reset)
- they have used the 44-lead, Thin (1.0 mm) Plastic Gull Wing Quad Flat Package (TQFP)

RF Monolothics TR1000 radio transceiver

- 916.5 Mhz fixed carrier frequency
- provisions for both on-off keyed (OOK) {upto 19.2 kbps} and amplitude-shift keyed (ASK) modulation operates upto 115.2 kbps

an extension bus for adding a wide variety of analog and digital sensors and actuators

Active Message model of communication

- each Active Message contains the name of a user-level handler to be invoked on a target node upon arrival and a data payload to pass in as arguments
- handler function extracts the message from the network and either processes the data or sends a response message
- The network is modeled as a pipeline with minimal buffering for messages.
- Message handlers must execute quickly and asynchronously

TinyOS [213]

- tiny event-driven operating system - occupies 178 bytes of memory
- propagates events in the time it takes to copy 1.25 bytes of memory
- context switches in the time it takes to copy 6 bytes of memory
- supports two level scheduling

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Sensor Networks 495 of 518
Mobile and Wireless Network Architectures

Variants of the system exist with Atmega128 processor and a Chipcon CC1000 radio. Other researchers have used simply the physical layer part of Bluetooth (i.e., not including any of the link and higher layers at all).

Message format:

- training sequence of alternating high and low bits (used to equalize the DC balance of the receiver)
- a flag byte was used to signal the beginning of the packet protocol: 01110110 (to differentiate itself more from the training sequence)
- a byte which specifies the total data bytes in the packet
- 1 .. 255 data byes
- a 16 bit CRC (cyclic-redundancy check)

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Sensor Networks 496 of 518
Mobile and Wireless Network Architectures

Motes Routing

Ad hoc routing layer

* determines the routing topology
* enables forwarding of real sensor data up the routing topology to a base-station

At the base-station, the data is now being forwarded into a vSpace base for storage and display.

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Sensor Networks 497 of 518
Mobile and Wireless Network Architectures

vSpace

Data is persistently stored using Distributed Data Structures (DDS)

- build on a distributed hash table
- allows multiple clients to simultaneously updating and querying the sensor data
- Queries comes through an HTTP interface
- Users can view an image of the current network routing topology
  - users can zoom in to see detailed information about any of the sensor nodes in the network
  - green lines show routing topology and red lines show connectivity
- They hope to display dynamically generated graphs of sensor data in multiple forms, including:
  - single node time plot
  - aggregate time plots
  - and multiple plots in a single plot

http://www.cs.berkeley.edu/~mikechen/vspace/

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Sensor Networks 498 of 518
Mobile and Wireless Network Architectures

Commercial sensor nodes

Crossbow MICA Motes & Sensors

http://www.xbow.com/Products/Wireless_Sensor_Networks.htm , based on UC Berkeley's mote with 2 AA batteries

Processor		Atmel Atmega 128L
	Speed	4MHz
	Flash	128K bytes
	SRAM	4K bytes
	EEPROM	4K bytes
	Serial Flash	4Mbit
	Serial Comms	UART
	permanent ID	64 bits
	A/D	10 bit ADC 8 channel
	Processor Current	5.5 mA active current, typical
		<20uA sleep mode, typ

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Sensor Networks 499 of 518
Mobile and Wireless Network Architectures

Radio	Frequency	916 MHz ISM band (or 433 MHz)
	Data Rate	40 Kbits/sec max
	Power	0.75 mW
	Radio Current Draw	12mA transmit current, typ
		1.8 mA receive current, typ
		<1uA sleep current, typ
		Radio Range 100 feet programmable
Expansion	51 pin connector	for plug-in sensor boards

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Sensor Networks 500 of 518
Mobile and Wireless Network Architectures

Sensor nodes - low power VLSI design

μ-Adaptive Multi-domain Power aware Sensors (μAMPS) project at MIT

http://www-mtl.mit.edu/research/icsystems/uamps/

AMPS-I: voltage scalable processor, adaptive transmit power, flexible error coding and aggressive subsystem shutdown

AMPS-II: integrated sensor node: a digital and an analog/RF ASIC (intermediate FPGA implementation of the digital part interfaced with the version-1 radio system.

See the Mobicom 2002 poster "Top Five Myths about the Energy Consumption of Wireless Communication" by Rex Min and Anantha Chandrakasan

http://www.mit.edu/~rmin/energymyths/

see also [206]

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Sensor nodes - low power VLSI design
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Sensor Networks 501 of 518
Mobile and Wireless Network Architectures

Rex Min's Myths

- ## MYTH#5. Abstraction gets in the way of energy savings
  - Instead: Create a power aware API - explictly define and expose tradeoffs:
    - set_max_energy(Joules), set_max_latency(ms), set_min_reliability(float probability), set_range(int nearest nodes, Note[] who, float meters)

- ## MYTH #4. Energy-quality scalability doesn't work for wireless
  - Create an API which gives you explicit control over energy vs. quality.

- ## MYTH #3. Derivatives of 802.11 will drive emerging low-power nets
  - Microsensors arrays with large numbers of sensors each with 2J to 2KJ of energy and 1 to 54kbps data rate vs. 20KJ to 20MJ for 802.11b at 1 to 54Mbps!

- ## MYTH#2. Communication energy scales
  - d = distance between the nodes, α = static power, digital signal processing, β = power amplifier, receiver sensitivity

$$E_{bit} = \alpha + \beta d^n$$

Radio	α	Maximum βd^n
2.4 KHz OOK (RFM TR1000 @ 916 MHz)	14 μJ	3.1 μJ
115.2 KHz ASK (RFM TR1000 @ 916 MHz)	372 nJ	65 nJ
11 Mbps Custom (MIT μAMPS-1 @ 2.4 GHz)	570 nJ	740 nJ
11 Mbps 802.11b (Cisco Aironet 350 @ 2.4GHz)	236 nJ	91 nJ
54 Mbps 802.11a (Atheros, ISSCC2002)	14.8 nJ	11 nJ

- ## MYTH#1. Multihop saves energy
  - Two hops are better than one -- NEVER! Since multihop energy > single hop energy.

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Rex Min's Myths
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Sensor Networks 502 of 518
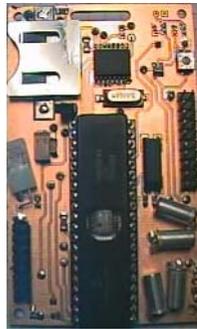Mobile and Wireless Network Architectures

SmartBadge

G. Q. Maguire Jr. (KTH) in conjunction with Mark T. Smith (then of HP Labs, now KTH) and H. W. Peter Beadle (then of the Univ. of Wollongong (Australia)):

- ID card sized, communicates via wireless link to badge server
- temperature, humidity, light, audio in/out, and tilt or 3-axis acceleration
- used in courses at both KTH and Univ. of Wollongong; and numerous MS thesis projects
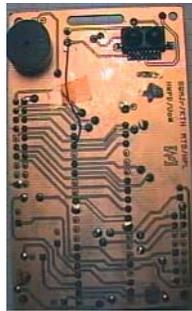
Version 1- Spring 1997

Version 3 - April 1998

Version 4 - February 2001

Figure 47: SmartBadge series

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SmartBadge
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Sensor Networks 503 of 518
Mobile and Wireless Network Architectures

Power

- Batteries
- Super capacitors
- Fuel cells
- Energy-harvesting utilizing
  - solar cells, movement to electricity conversion (mechanical, piezo-electrics, …)
  - DC boosters

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Power

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Sensor Networks 504 of 518

Mobile and Wireless Network Architectures

Dilemma

"Since communication is expensive in energy, the cost of the power management algorithm would swamp the savings from power management! **This illustrates the dilemma that so often arises in problems in sensor nets: the seemingly optimal way of solving a problem often results in algorithms whose communication energy costs exceed their benefits.** Therefore, a better strategy is to use algorithms that only shoot for good though sub optimal results but require only locally distributed processing with minimal communication costs."[205][1]

-- D. Estrin, L. Girod, G. Pottie, and M. Srivastava

$\Rightarrow$ *adaptive duty cycle* (based on needs of the neighborhood and applications, and the rate with which events are likely to happen) -- applies at multiple levels in the system

---

1. The emphasis is as in the original.

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Dilemma
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Sensor Networks 505 of 518
Mobile and Wireless Network Architectures

Sensor Modeling Language (SensorML)

SensorML provides an XML schema for defining the geometric, dynamic, and observational characteristics of a sensor. Sensors are devices for the measurement of physical quantities.

-- "Sensor Model Language (SensorML) for In-situ and Remote Sensors", Editor: Mike Botts, University of Alabama in Huntsville, Open GIS Consortium Inc., OpenGIS © Project
Document: OGC 02-026r4, Version: 0.7, 2002-12-20

contact: mike.botts@nsstc.uah.edu.

See *http://www.opengis.org/*

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Sensor Modeling Language (SensorML)
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Sensor Networks 506 of 518
Mobile and Wireless Network Architectures

IEEE 802.15: Working Group for Wireless Personal Area Networks (WPAN)

IEEE 802 family -- "standards for low-complexity and low-power consumption wireless connectivity". *http://standards.ieee.org/wireless/overview.html#802.15*

IEEE 802.15 (*http://ieee802.org/15/*) standards development projects:

IEEE 802.15.1	Mb/s WPAN/Bluetooth v1.x derivative work (cooperative effort with Bluetooth SIG, Inc. *http://www.bluetooth.com/*)
IEEE 802.15.2	Recommended Practice for Coexistence in Unlicensed Bands
IEEE 802.15.3	20+ Mb/s High Rate WPAN for Multimedia and Digital Imaging [268], [269]
IEEE 802.15.3a	110+ Mb/s Higher Rate Alternative PHY for 802.15.3 Uses pulse position modulation (PPM) [267]
IEEE 802.14	200 kb/s max for interactive toys, sensor and automation needs

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IEEE 802.15: Working Group for Wireless Personal Area Networks (WPAN)
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Sensor Networks 507 of 518
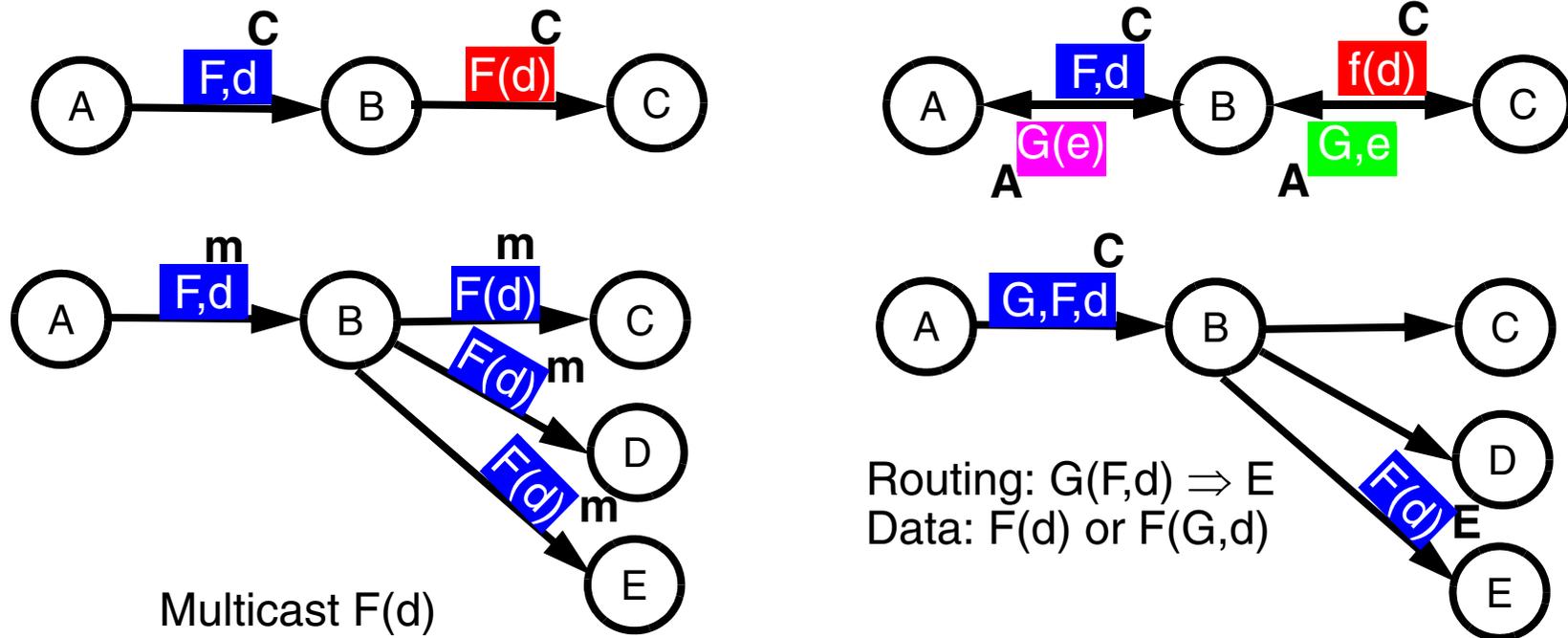Mobile and Wireless Network Architectures

Ultrawideband

"[a]n intentional radiator that, at any point in time, has a fractional equal to or greater than 0.20 or has a UWB bandwidth equal to or greater than 500MHz, regardless of the fractional bandwidth."

- US FCC

- Often implemented as ~picosecond impulses[1] $\Rightarrow$ Very low power (radiate power of 0.1mW to < 1μW (-30dBm)) - as the radio is only emitting for a **very** short time
- very robust to channel impairments such as multipath fading
- Digital technology $\Rightarrow$ easy for makers of digital chips to design/make/…
- US FCC gave regulatory approval 14 Feb. 2002
  - Intel demo'd a 100Mbps transmitter and receiver and expects to be able to get 500Mbps at a few meters dropping to 10Mbps at 10m
  - XtremeSpectrum (XSI) - http://www.xtremespectrum.com/ - Trinity chipset 100Mbps at less than 200mW

---

1. For the underlying theory for why short pulses should be used see [265] and [266]. For and introduction to impulse radio see [264].

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Ultrawideband
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Sensor Networks 508 of 518
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Active networks

Multicast F(d)

Routing: G(F,d) $\Rightarrow$ E
Data: F(d) or F(G,d)

B acts as an intermediary between A and x:

(1) **operates** on the **data** as it passes through using the "program" F and/or

(2) **routes** based on the computed **address** using a "program" G

Packets carry the **program** that each node on the path is to execute.
{Even the program(s) can be transformed as the packet propagates.}

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Active networks
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Sensor Networks 509 of 518
Mobile and Wireless Network Architectures

Methods used in for research in wireless sensor networks

- Analytic - frequently graph theoretical

- Simulation - frequently using "ns-2"

- Experiments
  - small scale
  - large scale

Very few large scale experiments

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Methods used in for research in wireless sensor networks
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Sensor Networks 510 of 518
Mobile and Wireless Network Architectures

Conferences and workshops

- 1st European Workshop on Wireless Sensor Networks (EWSN) - 19.-21 January 2004 in Berlin.
- Infocom
- Mobicom
- Mobihoc
- Fusion
- ICASSP

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Conferences and workshops

2010.01.21

Sensor Networks 511 of 518

Mobile and Wireless Network Architectures

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2010.01.21

Sensor Networks 513 of 518
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2010.01.21

Sensor Networks 515 of 518
Mobile and Wireless Network Architectures

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Sensor Networks 516 of 518
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2010.01.21

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IK2555 Mobile and Wireless Network Architectures

Period 3, 2010

12. Misc. topics

Lecture notes of G. Q. Maguire Jr.

For use in conjunction with Yi-Bing Lin and Ai-Chun Pang, *Wireless and Mobile All-IP Networks*, John Wiley & Sons; 2005, ISBN: 0-471-74922-2.

KTH Information and Communication Technology

Delay Tolerant Networks (DTNs)

Traditional applications generally assumed that to some degree there was:
(1) end-to-end connectivity, (2) low round trip time, and
(3) access to naming/caching/searching/… infrastructures.

Delay Tolerant Networks do not require these assumptions, thus nodes can communicate using an opportunistic exchange of messages (think of the messages as a propagating virus - moving from host to host) $\Rightarrow$ epidemic routing protocols[273].

Key issues include [274]:

- What application layer data units are bundled into the DTN-layer protocol bundles for transport?
- Actual mobility patterns (social networks, commuting patterns, …)

Metrics include [274]: Delivery ratio, Delivery Delay, and Delivery Cost

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Delay Tolerant Networks (DTNs)
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Misc. topics 520 of 545
Mobile and Wireless Network Architectures

Space Data Corporation

Space Data Corporation *http://www.spacedata.net/* to provide low data rate wireless (messaging and later voice) service to rural and suburban US (about 90% of the land mass, but only 20% of the population); Piggyback their repeaters on US National Weather Service biodegradable latex weather balloons "SkySites".

Each balloon goes up to about 100,000 feet ~ 30km and stays there for ~1.5 days; balloons are launched from 70 sites twice each day; the repeater has power for 16 hours (12 for operation and the rest as a reserve). They expect to use 50,000 balloons per year, each repeater costs US$300

Their business model does not depend on any recovery of balloons (although they are adding GPS to theirs)

- US National Weather Service gets 18% of their back - they put a mailing address and promise to pay the postage on their payloads
- lots of knowledge of winds from 60 years of weather balloons

Space Data has a license for 1.4 MHz of bandwidth nationwide (license US$4.2M)

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Space Data Corporation
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Misc. topics 521 of 545
Mobile and Wireless Network Architectures

Intelligent/Smart Spaces

Knowing what is around you is very useful for configuring devices and offering services, there are several proposals for how to do this:

- SUN's Jini
- Microsoft's Universal Plug-and-Play

For further information see Theo Kanter's dissertation "Adaptive Personal Mobile Communication -- Service Architecture and Protocols":

http://ps.verkstad.net/Thesis/Final/theoDissertation.pdf

and also his defense slides:

http://ps.verkstad.net/Thesis/Defense/theoDefense.pdf

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Intelligent/Smart Spaces
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Misc. topics 522 of 545
Mobile and Wireless Network Architectures

If WLANs are widely available

- How many different places to do you frequently spend time?
- What would happen if you had WLAN access in X% of these places? (perhaps with X > 90%)
- What if you also had VoIP service in all the places you have WLAN access?
  - For example, via a Cisco Wireless IP Phone 7920

$\Rightarrow$ Is there a business case for 3G in urban areas?

$\Rightarrow$ Is there a business case for 3G anywhere?

Handoffs for real-time media: J-O Vatn's dissertation [275]

Broadcom Wi-Fi reference design using their new BCM1160 Mobile VoIP processor and BCM94318SD WLAN, in addition to their BCM4318 AirForce OneChip which integrates 802.11b/g Baseband, MAC, and radio.

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If WLANs are widely available
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Misc. topics 523 of 545
Mobile and Wireless Network Architectures

Unlicensed Mobile Access (UMA)

To provide access to GSM and GPRS mobile services over unlicensed spectrum technologies, e.g., Bluetooth and 802.11:
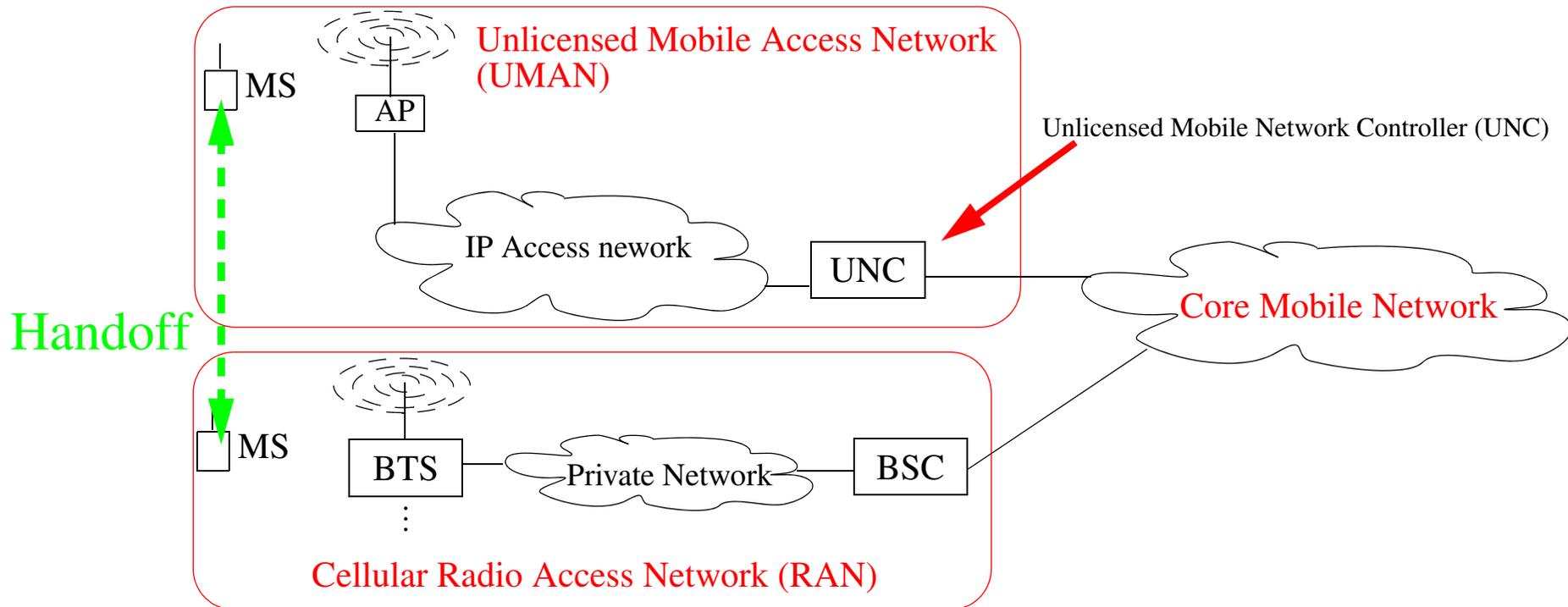
Unlicensed Mobile Network Controller (UNC) plays a role similar to the BSC in 3GPP, but also must deal with Authentication and Autorization. MS must be at least **dual** mode. See: *http://www.umatechnology.org/*

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Unlicensed Mobile Access (UMA)
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Misc. topics 524 of 545
Mobile and Wireless Network Architectures

Note that the emphasis in UMA is for **operators** to be able to offer to their subscribers the ability to roam to and handoff to/from various unlicensed wireless access networks.

This link connects via the AP and some network carrying IP packets. Its specification is outside of the UMA functional architecture.

Figure 48: UMA Functional Architecture

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Unlicensed Mobile Access (UMA)
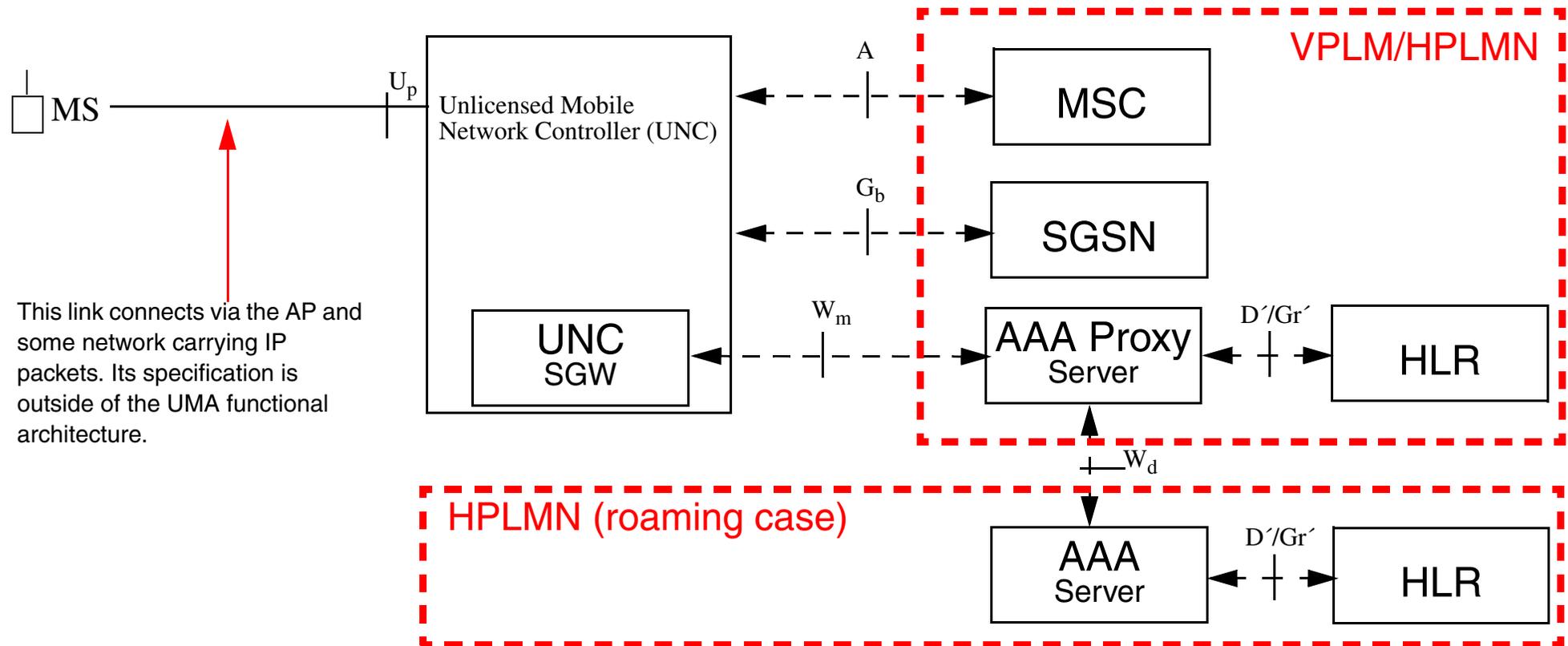
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Misc. topics 525 of 545

Mobile and Wireless Network Architectures

Near Field Communications

Goal is wireless communications by "touch" or proximity.

- I know whom I'm talking with because I'm beside them.
- I'm indicating that object - by touching it.

Focus is point-to-point over **very short** distances, base on RFID technology at 13.56MHz, data rates upto 424 kilobits/s

Some of the relevant standards:

- ISO 14443 - Proximity Card
- ISO 15693 - Vicinity Card

See also www.14443.org

For example, a NFC phone could act as your transit card (due to the computing capabilities and battery power - it could implemented better security than the storage only cards - such as those used in Stockholm) - it can even be a context dependent multi-card (i.e., able to implement many virtual cards).

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Near Field Communications

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Misc. topics 526 of 545

Mobile and Wireless Network Architectures

Radio Frequency Identification

<< see the course *IS2500: RFID Systems http://web.it.kth.se/~msmith/IS2500_RFID_Systems.html*
taught by Prof. Mark T. Smith >>

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Radio Frequency Identification

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Misc. topics 527 of 545

Mobile and Wireless Network Architectures

Cognitive Radios

What kind of radio should a Software Defined Radio be for the purposes needed now and in the current environment?

This is the question behind Cognitive Radios[283], first raised in a licentiate [281] and dissertation [282] by Joseph Mitola III at KTH.

Today cognitive radios have been accepted as a class of radios by the U.S. FCC and there are quite a number of reseach groups exploring this area and others such as:

♦ Dynamic Spectrum usage

♦ Secondary spectrum users

See IEEE J-SAC SI on Adaptive, Spectrum Agile, and Cognitive Wireless Networks [195].

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Cognitive Radios
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Misc. topics 528 of 545
Mobile and Wireless Network Architectures

New mobile services

According to P. Andersson, et al. one of the main reasons for the failure by telecommunication operators to sell new mobile services to enterprise customers was their lack of understanding of the inner logics of these businesses - as opposed to selling mobile subscriptions which were basically the same as fixed subscriptions. [see P. Andersson, et al. *Mobile Organizations*, to be published (as quoted on pg. 52 of Chapter 2: "Mobile Offerings, Mobility and the Creation of Value from Wireless Offerings" by Per Andersson, Ulf Essler, & Christopher Rosenqvist in *Beyond Mobility*, Per Andersson, Ulf Essler, and Bertil Thorngren (Eds.), EFI Yearbook 2007, Studentlitteratur, ISBN 978-91-44-04928-1).]

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New mobile services
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Misc. topics 529 of 545
Mobile and Wireless Network Architectures

Free

Chris Anderson's *Free: The Future of a Radical Price* [287] discusses how businesses can make money in an age when lots of things are free (i.e., a price of $0.00)

A key insight is that in the digital economy, when the "margin cost" is near zero, then round down to zero.

$\Rightarrow$ Feeconomics

$\Rightarrow$ Freemium (a free version and a matching premium version)

He gives interesting example of how air travel can be free (pg. 19), how a DVR can be free (pg. 21), how everything in a store can be free (pg.60), how a car can be free (pg.81), healthcare (pg. 104), trading stocks (pg. 113), webmail (pg. 115), an exclusive conference (pg. 117), directory assistance (pg. 122), silverware (pg. 141), music CDs (pg. 155), textbooks (pg. 160), university education (pg. 185), and second hand goods (pg. 188).

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Free
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Misc. topics 530 of 545
Mobile and Wireless Network Architectures

Too cheap to meter

"It is not too much to expect that our children will enjoy in their homes electricity too cheap to meter, -- will know of great periodic regional famines in the world only as matters of history, -- will travel effortlessly over the seas and under them and through the air with a minimum of danger at great speeds, -- and will experience a lifespan far longer than ours as disease yields and man comes to understand what causes him to age."

-- Lewis L. Strauss, Chairman of the U.S. Atomic Energy Commission
In an address to the National Association of Science Writers
16 Sept. 1954

Today three other technologies are approaching the too cheap to measure point:

• computing power
• digital storage
• communication bandwidth

$\Rightarrow$ faster, better, cheaper -- a "triple play" for on-line services

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Too cheap to meter
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Misc. topics 531 of 545
Mobile and Wireless Network Architectures

Working for free

Once you have food, shelter, … (Maslow's subsistance needs[1]) people move on to social needs, esteem needs, and "self-actualization" - using their cognitive surplus (the energy and knowledge that isn't used for your "job")- page 189 of [287]

Hence the importance of:

- community
- visibility
- because I like to do it ("fun")

These are driving forces behind open source software/hardware, web pages, social networks, Wikipedia, … .

See Andrew Lih, *The Wikipedia Revolution: How a Bunch of Nobodies Created the World's Greatest Encyclopedia* [288]

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1. "Hierarchy of Needs": physiological, safety, social, esteem, self-actualization; see Abraham H. Maslow, Motivationand Personality, Harper 1954

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Working for free
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Misc. topics 532 of 545
Mobile and Wireless Network Architectures

What Would Google Do?

Jeff Jarvis' *What Would Google Do?* (WWGD) [289] explores re-thinking how you you do business by finding a new world view and seeing things differently

The book is not about what would Google as a business do, but rather what seeing the world as Google sees it - then thinking differently about solving problems could do[1].

Rather than thinking about "Will it make money?", start with:

- Will users think it is cool?
- Will it scale to support 100 million users?
- Is there a positive feedback cycle as it grows?

See *Buzzmachine.com* for more about the book

For a counterpoint see:

Ken Auletta, *Googled: The End of the World as We Know It* [290]

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1. I refer to it as "enlighted self-interest"

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What Would Google Do?
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Misc. topics 533 of 545
Mobile and Wireless Network Architectures

Future work

To combine:

- **Mobility**: WLAN + GPRS (via a private complete GSM/GPRS system
  http://csd.ssvl.kth.se/monaco/main.htm)

- **Security**: IPsec, TLS, SRTP+MIKEY, … + SIP $\Rightarrow$ secure VoIP

- **Context and location awareness**: minimizing manual (re-)configuration as users move about and facilitating their interaction with each other & the things around them - Adaptive and Context-Aware Services (ACAS)[1]

$\Rightarrow$ **New services**: such as audio services - managing a 3D (or 4D) audio environment, *automatic* call diversion, …

In a challenging environment of **socially correlated** user movements (i.e., classes, meetings, etc.)

Questions: What services do students *want*? Which services do they *need*? How will this *change interactions* with other students, teachers, staff, … .

---

1. *http://psi.verkstad.net/acas/* (part of AWSI *http://www.wireless.kth.se/AWSI/*)

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Future work
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Misc. topics 534 of 545
Mobile and Wireless Network Architectures

- **Why audio?** Because users can utilize audio interaction **while** *on the move*
- **Why PDAs?** Because they support both computing and communication in a small form-factor, it is possible to have multiple wireless interfaces, audio is good enough quality to use for entertainment (MP3files, streaming audio, voice interaction, and interactive voice), and we can have **enough** devices **which people will use on the move** to start to understand the effects of **correlation** and the **demands on the underlying infrastructure**[1].

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1. HP grant "Applied Mobile Tech. Solutions in Learning Environments"

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Future work
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Misc. topics 535 of 545
Mobile and Wireless Network Architectures

New Players

Public services + Profit + Altruism

- ## Community networks
  - Municipal governments: City of Philadelphia
  - Cooperatives
  - Communities of like minded users: Phon, …
  - Regional and National Governments
  - International organizations, potentially including Non-government Organizations (NGOs)
- ## Commercial networks: Google, …
  - Another path to their users
- ## Business networks
  - For their own users (ala PBX like systems and LANs)
  - Open to non-employees (perhaps for a price) - see for example Cruise ships [284]
- ## Existing communications operators
  - but not in their usual locations (for example, as virtual network operators)
  - raise of national roaming - see Johan Hultell's dissertation [285]

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New Players
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Misc. topics 536 of 545
Mobile and Wireless Network Architectures

Cooperative Wireless Access

Johan Hultell[285] points out that it is possible for operators to share access to their networks (ala national roaming) and that this leads to a reduction in the operators revenue of ~10% while the users decrease their cost per bit by ~50%!
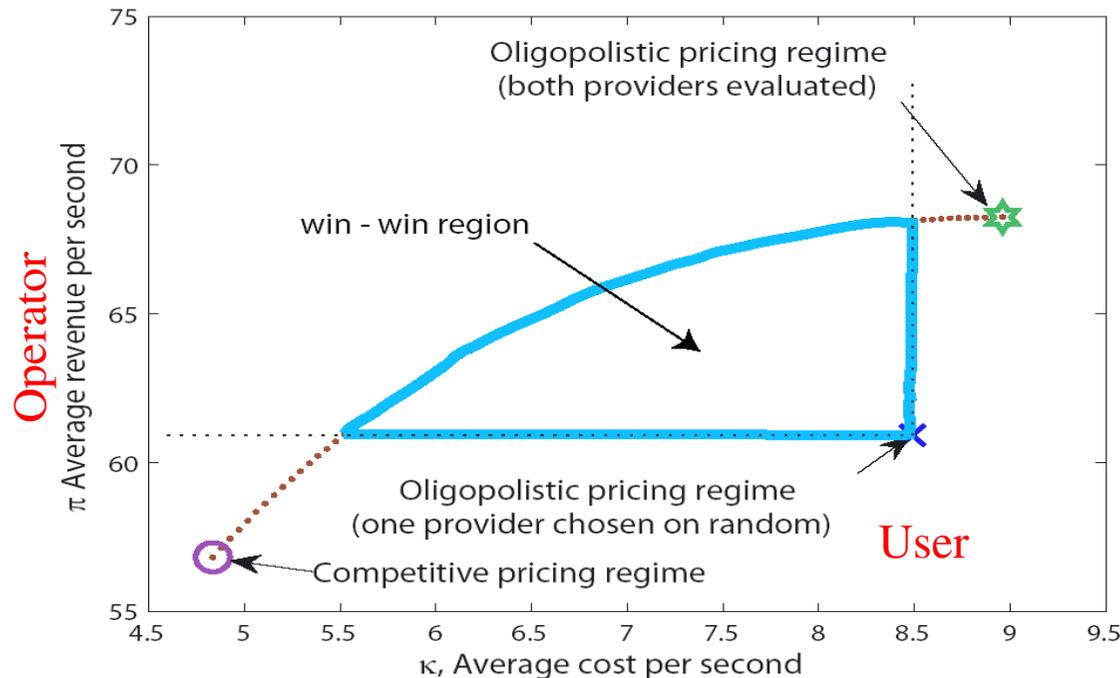

Figure 49: Solution space for two providers coexisting (each with an average demand of 1 user/s/AP) Figure 6.15 on page 199 of the dissertation of Johan Hultell[285] (appears with his permission)

The advantages of resource pooling are also examined in another recent paper[286]. Advantages include: better handling of bursty traffic, increased robustness against link failure, and easier traffic engineering.

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Cooperative Wireless Access
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Misc. topics 537 of 545
Mobile and Wireless Network Architectures

Resource pooling

Damon Wischik, Mark Handley, and Marcelo Bagnulo Braun in their article "The Resource Pooling Principle" [286] define resource pooling as:

"Resource pooling means making a collection of networked resources behave as though they make up a single pooled resource. The general method of resource pooling is to build mechanisms for shifting load between various parts of the network."

They go on to make two observations:

1 "Resource pooling is often the only practical way to achieve resilience at acceptable cost."

2 "Resource pooling is also a cost-effective way to achieve flexibility and high utilization."

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Resource pooling
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Misc. topics 538 of 545
Mobile and Wireless Network Architectures

Resource pooling examples

Resource pooling can be used for:

- sharing lines/links/sites
- sharing storage
- computing power

This leads to grid computing, computing clouds (or Cloud Computing), …

Consider the proposal for Green IT by Bill St. Arnaud of Canada's CANARIE:

http://green-broadband.blogspot.com/ - put server farms in places with local renewable energy supplies - then move the bits to/from the user

⇒ moving Gigabits/second vs. Gigawatts

This implies the use of dense wavelength division multiplexing (DWDM) over optical fibers from these (often remote) sites to where the users are.

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Resource pooling
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Misc. topics 539 of 545
Mobile and Wireless Network Architectures

What is in the near future?

- Mobile phones today are roughly the same computing power as PCs of 2007.

- Access link data rates are increasingly "broadband" data rates.

- Foldable displays, heads up displays, projection displays, etc. are increasing the virtual size of the device's screen.

- Displays are advancing from color to 3D.

- Phones are not only getting GPS and **sensors**, but also supersensors - such as long range lenses for the camera(s).

- Phones are increasingly the default router for other wireless devices, such as sensors and other peripherals.

- The devices will provide natural language interfaces (speech, writing, signing, etc.) and provide the user with an augmented reality experience.

- Ambient Networking (local devices communicating and using each others information and resources) - potentially leads to emergent behavior.

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What is in the near future?
2010.01.21

Misc. topics 540 of 545
Mobile and Wireless Network Architectures

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2010.01.21

Misc. topics 541 of 545
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2010.01.21

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2010.01.21

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2010.01.21

Misc. topics 545 of 545

Mobile and Wireless Network Architectures